

Geomorphometry in RiverTools

1 *Peckham S.D.*

2 **history and development of RiverTools •**
 3 **preparing a DEM for your study area •**
 4 **kinds of information that can be**
 5 **extracted using RiverTools and DEMs •**
 6 **special visualisation tools in RiverTools •**
 7 **what makes the RiverTools software**
 8 **unique?**

9 18.1 Getting started

10 RiverTools is a software toolkit with a user-
 11 friendly, point-and-click interface that was
 12 specifically designed for working with DEMs and
 13 extracting hydrologic information from them. As
 14 explained in previous chapters, there is a lot of
 15 useful information that can be extracted from
 16 DEMs since topography exerts a major control
 17 on hydrologic fluxes, visibility, solar irradiation,
 18 biological communities, accessibility and many
 19 human activities. RiverTools has been commer-
 20 cially available since 1998, is well-tested and has
 21 been continually improved over the years in re-
 22 sponse to the release of new elevation data sets
 23 and algorithms and ongoing feedback from a
 24 global community of users. All algorithms bal-
 25 ance work between available RAM and efficient
 26 I/O to files to ensure good performance even
 27 on very large DEMs (i.e. 400 million pixels or
 28 more). RiverTools is a product of Rivix, LLC
 29 (www.rivix.com) and is available for Windows,
 30 Mac OS X and Solaris.

31 RiverTools 3.0 comes with an installation CD
 32 and sample data CD but the installer can also
 33 be downloaded from www.rivertools.com. It

uses the industry-standard InstallShield installer 34
 and is therefore easy to install or uninstall. 35
 The HTML-based help system and user's guide 36
 includes a set of illustrated tutorials, a glos- 37
 sary, step-by-step explanations of how to per- 38
 form many common tasks, a description of each 39
 dialog and a set of executive summaries for major 40
 DEM data sets and formats. All of the RiverTools 41
 file formats are nonproprietary and are explained 42
 in detail in an appendix to the user's guide. In 43
 addition, each dialog has a Help button at the 44
 bottom that jumps directly to the relevant sec- 45
 tion of the user's guide. 46

The purpose of this chapter is to provide an 47
 overview of what RiverTools can do and how it 48
 can be used to rapidly perform a variety of tasks 49
 with elevation data. §18.1.1 explains the layout 50
 of the RiverTools menus and dialogs. §18.2 briefly 51
 discusses GIS issues such as ellipsoids and map 52
 projections. §18.3 introduces some tools in the 53
 Prepare menu that simplify the task of preparing 54
 a DEM that spans a given area of interest. §18.4 55
 discusses how dialogs in the Extract menu can 56
 be used to extract various grid layers and masks 57
 from a DEM. §18.5 highlights some of the visu- 58
 alisation tools in the Display menu and §18.5.1 59
 introduces some of the Interactive Window Tools 60
 that can be used to query and interact with an 61
 image. 62

63 18.1.1 The RiverTools menu and dialogs

RiverTools 3.0 can be started by double-clicking 64
 on a shortcut icon or by selecting it from a list 65
 of programs in the Windows Start menu. After 66
 a startup image is displayed, the Main Window 67
 appears with a set of pull-down menus across the 68
 top labeled: *File, Prepare, Extract, Display, An-* 69

1 *alyze, Window, User* and *Help*. Each pull-down
 2 menu contains numerous entries, and sometimes
 3 cascading menus with additional entries. Select-
 4 ing one of these entries usually opens a point-
 5 and-click dialog that can be used to change var-
 6 ious settings for the selected task. Buttons la-
 7 beled *Start, Help* and *Close* are located at the
 8 bottom of most dialogs. Clicking on the *Start*
 9 button begins the task with the current settings.
 10 Clicking on the *Help* button opens a browser win-
 11 dow to a context-specific help page and clicking
 12 on a *Close* or *Cancel* button dismisses the dialog.

13 The **File menu** contains tools for opening
 14 data sets, importing and exporting data in many
 15 different formats, and for changing and/or sav-
 16 ing various program settings and preferences.
 17 The **Prepare menu** contains a collection of
 18 tools that can be used at the beginning of a
 19 project to prepare a DEM for further analysis,
 20 such as mosaicking and sub-setting tiles, replac-
 21 ing bad values, uncompressing files and changing
 22 DEM attributes such as elevation units, byte or-
 23 der, orientation and data type. The **Extract**
 24 **menu** contains a large set of tools for extracting
 25 new grid layers (e.g. slope, curvature and con-
 26 tributing area), vectors (e.g. channels and basin
 27 boundaries) and masks (e.g. lakes and basins)
 28 from a DEM or a previously extracted grid layer.
 29 The **Display menu** has a collection of different
 30 visualisation tools such as density plots, contour
 31 plots, shaded relief, surface plots, river network
 32 maps, multi-layer plots and many more. Images
 33 can be displayed with any of 17 different map
 34 projections or without a map projection.

35 There is also an extensive set of Interactive
 36 Window Tools that makes it easy to query and
 37 zoom into these images to extract additional in-
 38 formation. The **Analyze menu** has a number
 39 of tools for analysing and plotting terrain and
 40 watershed attributes that have been measured
 41 with the extraction tools. Graphics windows can
 42 be managed with a set of tools in the **Window**
 43 **menu** and RiverTools can be extended by users
 44 with plug-ins that appear in the **User menu**.

18.2 Advanced GIS functionality

18.2.1 Fixed-angle and fixed-length grid cells

48 Virtually all elevation data providers distribute
 49 raster DEMs in one of two basic forms. In the *ge-*
 50 *ographic* or *fixed-angle* form, the underlying grid
 51 mesh is defined by lines of latitude and longitude
 52 on the surface of a chosen ellipsoid model and
 53 each grid cell spans a fixed angular distance such
 54 as 3 arcsec. Lines of constant latitude (parallels)
 55 and lines of constant longitude (meridians) al-
 56 ways intersect at right angles. However, since
 57 the meridians intersect at the poles, the distance
 58 between two meridians depends on which paral-
 59 lel that you measure along. This distance varies
 60 with the cosine of the latitude and is largest at
 61 the equator and zero at the poles. So while
 62 each grid cell spans a fixed angle, its width is
 63 a function of its latitude. The fixed-angle type
 64 of DEM is the most common and is used for all
 65 global or near-global elevation data sets such as
 66 SRTM, USGS 1-Degree, NED, DTED, GLOBE,
 67 ETOPO2, GTOPO30, MOLA and many others.

68 The second basic type of raster DEM is the
 69 “*fixed-length*” form, where both the east-west
 70 and north-south dimensions of each grid cell span
 71 a fixed distance such as 30 metres. This type of
 72 DEM is commonly used for high-resolution el-
 73 evation data that spans a small geographic ex-
 74 tent so that the Earth’s surface can be treated
 75 as essentially planar. They are almost always
 76 created using a Transverse Mercator projection
 77 such as Universal Transverse Mercator (UTM).
 78 Examples include USGS 7.5-Minute quad DEMs,
 79 most LiDAR DEMs and many state and munic-
 80 ipal DEMs. When mosaicked to cover large re-
 81 gions, fixed-length DEMs suffer from distortion
 82 and lead to inaccurate calculations of lengths,
 83 slopes, curvatures and contributing areas.

18.2.2 Ellipsoids and projections

84 Unlike most GIS programs, RiverTools always
 85 takes the latitude-dependence of grid cell dimen-
 86 sions into account when computing any type of
 87

length, slope or area in a *geographic* or fixed-angle DEM. It does this by integrating directly on the ellipsoid model that was used to create the DEM. In addition, when measuring *straight-line* distance between any two points on an ellipsoid, the highly accurate Sodano algorithm is used (Sodano, 1965). Other GIS programs project the fixed-angle elevation data with a fixed-length map projection such as UTM and then compute all length, slope and area measurements in the projected and therefore distorted DEM.

In RiverTools, various properties of the DEM such as its pixel geometry (fixed-angle or fixed-length), number of rows and columns and bounding box can be viewed (and edited if necessary) with the View DEM Info dialog in the File menu. When working with a fixed-angle DEM, the user should set the ellipsoid model to the one that was used in the creation of the original DEM data. This is done by opening the Set Preferences dialog in the File menu and selecting the Planet Info panel. A list of 51 built-in ellipsoid models for Earth are provided in a droplist, as well as information for several other planets and moons. The ellipsoid models that were used to create several of the major DEM data sets is provided in the RiverTools documentation. Most modern DEM data sets and all GPS units now use the WGS84 ellipsoid model and this is the default. Since maps and images are necessarily two-dimensional, RiverTools also offers 17 different map projections for display purposes via the Map Projection Info dialog in the Display menu.

18.3 Preparing DEMs for a study area

18.3.1 Importing DEMs

Since elevation and bathymetric data is distributed in many different data formats, the first step when working with DEMs is to import the data, that is, to convert it to the format that is used by the analysis software. The DEM formats that can currently be imported include: ARC BIL, ARC FLT, ENVI Raster, Flat Binary, SDTS Raster Profile (USGS), USGS Standard

ASCII, CDED, DTED Level 0, 1 or 2, GeoTIFF, NOAA/NOS EEZ Bathymetry, GMT Raster (netCDF), GRD98 Raster, ASTER, MOLA (for Mars), SRTM, ARC Gridded ASCII, Gridded ASCII, and Irregular XYZ ASCII. While some DEMs simply store the elevations as numbers in text (or ASCII) files, this is an extremely inefficient format, both in terms of the size of the data files and the time required for any type of processing. Because of this, elevation data providers and commercial software developers usually use a binary data format as their native format and then provide a query tool such as the Value Zoom tool in RiverTools for viewing DEM and grid values.

A simple, efficient and commonly used format consists of storing elevation values as binary numbers with 2, 4 or 8 bytes devoted to each number, depending on whether the DEM data type is integer (2 bytes), long integer (4 bytes), floating point (4 bytes) or double-precision (8 bytes). The numbers are written to the binary file row by row, starting with the top (usually northernmost) row — this is referred to as *row major format*. The size of the binary file is then simply the product of the number of columns, the number of rows and the number of bytes used per elevation value. All of the descriptive or georeferencing information for the DEM, such as the number of rows and columns, pixel dimensions, data type, byte order, bounding box coordinates and so on is then stored in a separate text file with the same filename prefix as the binary data file and a standard three-letter extension. This basic format is used by ARC BIL, ARC FLT, ENVI Raster, MOLA, SRTM, RTG and many others. Many of the other common formats, such as SDTS Raster, GeoTIFF and netCDF also store the elevation data in binary, row major format but add descriptive header information into the same file, either before or after the data.

To import a DEM into RiverTools, you choose Import DEM from the File menu and then select the format of the DEM you want to import. If the format is one that is a special-case of the RiverTools Grid (RTG) format (listed above),

1 then the binary data file can be used directly and
 2 only a RiverTools Information (RTI) file needs to
 3 be created. You can import many DEMs that
 4 have the same format as a batch job by entering
 5 a “*matching wildcard*” (an asterisk) in both the
 6 input and output filename boxes. For example,
 7 to import all of the SRTM tiles in a given di-
 8 rectory or folder that start with “N30”, you can
 9 type “N30*.hgt” into both filename boxes.

10 Elevation data is sometimes distributed as
 11 irregularly-spaced XYZ triples in a multi-column
 12 text file. RiverTools has an import tool for grid-
 13 ding this type of elevation data. In the cur-
 14 rent version, Delaunay triangulation is used but
 15 in the next release six additional gridding algo-
 16 rithms will be added.

17 18.3.2 Mosaicking DEM Tiles

18 The second step in preparing a DEM that spans
 19 a given area of interest is to mosaic many in-
 20 dividual tiles to create a seamless DEM for the
 21 area. These tiles are typically of uniform size
 22 and are distributed by DEM providers in sepa-
 23 rate files. For example, SRTM tiles span a re-
 24 gion on the Earth’s surface that is one degree of
 25 latitude by one degree of longitude and have di-
 26 mensions of either 1201×1201 (3 arc second grid
 27 cells) or 3601×3601 (1 arc second grid cells).

28 To mosaic or subset DEM tiles in RiverTools,
 29 you first choose Patch RTG DEMs from the Pre-
 30 pare menu. This opens an Add/Remove dialog
 31 that makes it easy to add each of the tiles that
 32 you wish to mosaic to a list (Fig. 18.1a). Tiles
 33 can be viewed individually by clicking on the file-
 34 name for the tile and then on the Preview but-
 35 ton. Similarly, their georeferencing information
 36 can be viewed by clicking on the *View Infofile*
 37 button. Tiles with incompatible georeferencing
 38 information may sometimes need to be prepro-
 39 cessed in some way (e.g. units converted from
 40 feet to metres or subsampled to have the same
 41 grid cell size) and this can easily be done with
 42 the Convert Grid dialog in the Prepare menu.

43 The file selection dialog that is used to add
 44 tiles to the list provides a filtering option for
 45 showing only the files with names that match

46 a specified pattern. This dialog also allows mul-
 47 tiple files to be selected at once by holding down
 48 the shift key while selecting files. If these two fea-
 49 tures are used, even large numbers of tiles can be
 50 rapidly added to the list. The *Add/Remove* dia-
 51 log itself has an Options menu with a Save List
 52 entry that allows you to save the current list of
 53 tiles to a text file. You can then later select the
 54 Use Saved List option to instantly add the saved
 55 list of files to the dialog.

56 Once you have finished adding DEM tiles to
 57 the list, you can type a prefix into the dialog
 58 for the DEM to be created and then click on
 59 the Start button to display the DEM Patching
 60 Preview Window (Fig. 18.1b). This shaded re-
 61 lief image in this window shows how all of the
 62 tiles fit together. You can then click and drag
 63 within the image to select the subregion that is
 64 of interest with a “*rubber band box*”, or select
 65 the entire region spanned by the tiles by clicking
 66 the right mouse button. It is usually best to se-
 67 lect the smallest rectangular region that encloses
 68 the river basin of interest. If you can’t discern
 69 the basin boundary, you can easily iterate the
 70 process a couple of times since everything is au-
 71 tomatized. The *DEM Patching Preview* window
 72 has its own Options menu near the top and be-
 73 gins with the entry *Save New DEM*. A button
 74 with the same label is also available just below
 75 the image. These are two different ways of doing
 76 the same thing, namely to read data from each of
 77 the DEM tiles to create a new DEM that spans
 78 the selected region. If there are any “*missing*
 79 *tiles*” that intersect the region of interest (per-
 80 haps in the ocean) they are automatically filled
 81 with nodata values. Other entries in the Options
 82 menu allow you to do things like (1) label each
 83 tile with its filename, (2) “*burn in*” the rubber
 84 band box and labels and (3) save the preview
 85 image in any of several common image formats.
 86 Once your new DEM has been created, it is au-
 87 tomatically selected just as if you had opened it
 88 with the Open Data Set dialog in the File menu.
 89 You can view its attributes using the *View DEM*
 90 *Info* tool in the File menu.

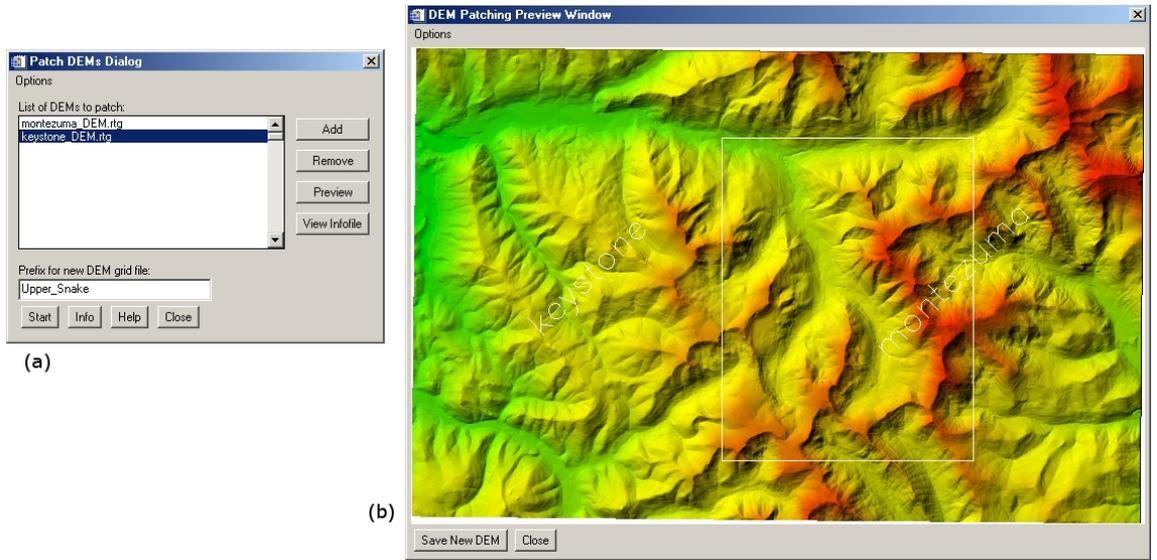


Fig. 18.1: (a) The Patch RTG DEM dialog; (b) The DEM Patching Preview window with subregion selected with a rubber-band box and both tiles labeled with filename prefixes. (© Rivix, LLC, used with permission)

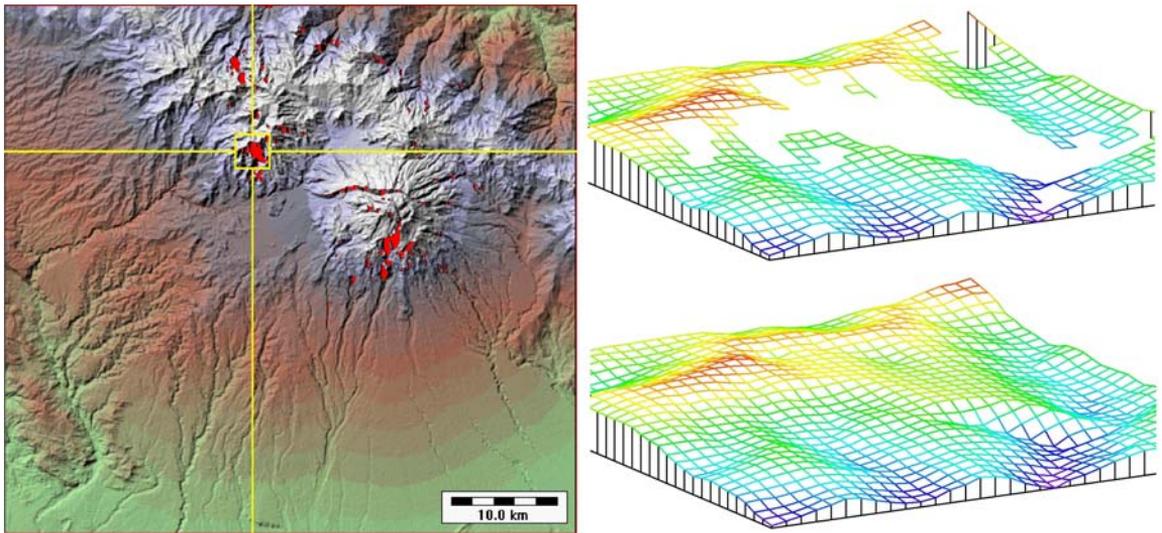


Fig. 18.2: A yellow box and crosshairs on a shaded relief image shows the location of a hole (red) in an SRTM DEM for Volcan Baru, Panama. The two images on the right show wire mesh surface plots of the area near the hole, before and after using the Repair Bad Values tool. (© Rivix, LLC, used with permission)

18.3.3 Replacing Bad Values

Sometimes a third step is required to prepare a DEM that spans a region of interest. In SRTM tiles, for example, there are often nodata “holes” in high-relief areas that were not in the line of sight of the instrument aboard the Space Shuttle that was used to measure the terrain heights. These holes usually span small areas between 1 and 20 grid cells but can be larger. For most types of analysis, these holes must be repaired prior to further processing. RiverTools has a *Replace Bad Values* tool in the Prepare menu that fills these holes with reasonable values by iteratively averaging from the edges of the holes until the hole is filled. The output filename should usually be changed to have a new prefix and the compound extension `_DEM.rtg`. (Fig. 18.2) shows the result of applying this tool to an SRTM DEM for Volcan Baru, in Panama.

18.4 Extracting land-surface parameters and objects from DEMs

18.4.1 Extracting a D8 Flow Grid

Once you have a DEM for an area of interest, there are a surprising number of additional grid layers, polygons, profiles and other objects that can be extracted with software tools and which are useful for various applications. Some of these were discussed in §7. Fig. 18.3 shows several land-surface parameters and objects that were extracted for the Baranja Hill case study DEM and which will be discussed throughout this section. A DEM with 5-meter grid cells was created from a source DEM with 25-meter grid cells via binlinear interpolation followed by smoothing with a 5×5 moving window, using the RiverTools Grid Calculator. This smoother DEM was used for creating the images shown except for Fig. 18.3(d).

A D8 flow grid is perhaps the most fundamental grid layer that can be derived from a DEM, as it is a necessary first step before extracting many other objects. RiverTools makes it easy to create

a D8 flow grid and offers multiple options for resolving the ambiguity of flow direction within pits and flats. Choosing Flow Grid (D8) from the Extract menu opens a dialog which shows the available options. The default pit resolution method is “*Fill all depressions*”. In most cases, filling all depressions will produce a satisfactory result since it handles the typically very large number of nested, artificial depressions that occur in DEMs and even provides reasonable flow paths through chains of lakes. However, support for closed basins is also provided and is necessary for cases where flow paths terminate in the interior of a DEM, such as at sinkholes, landlocked lakes or craters. The default flat resolution method is “*Iterative linking*”. As long as the entire boundary of a river basin is contained within the bounding box of the DEM, each of the flat resolution methods will almost always produce flow directions within flat areas of the basin that send water in the right direction, despite the absence of a local elevation gradient (see the discussion of edge effects in §7).

Within broad, flat valleys, however, the “*iterative linking*” method (Jenson, 1985, 1991) produces multiple streamlines that flow parallel to one another until there is a bend in the axis of the valley that causes them to merge. The main problem with these parallel flow paths is that the point at which one stream merges into another (the confluence) is often displaced downstream a considerable distance from where it should be. The “*Imposed gradients*” option uses the method published by Garbrecht and Martz (1997) to create a cross-valley elevation gradient in flats and tends to produce a single flow path near the center of the valley. However, this method sometimes results in two parallel flow paths near the center of valleys instead of one. The “*Imposed gradients plus*” option was developed by Rivix to merge any parallel flow path pairs (in flats) into a single flow path.

Note: Increasing the vertical or horizontal resolution of DEMs does not eliminate artificial pits and flats and can even increase their numbers.

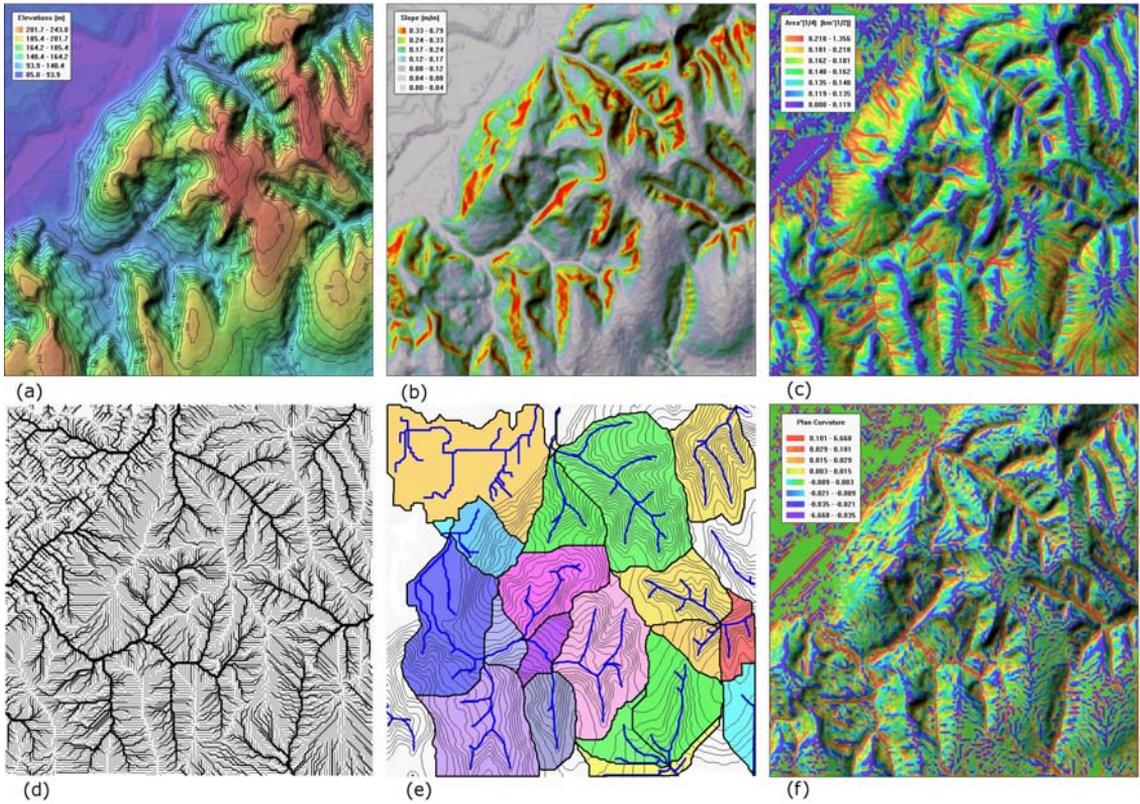


Fig. 18.3: (a) Shaded relief image with labeled contour line overlay; (b) Shaded image of a D8 slope grid; (c) Shaded image of a total contributing area grid, extracted using the mass flux method; (d) Drainage pattern obtained by plotting all D8 flow vectors; (e) Watershed subunits with overlaid contours and channels (blue), using a D8 area threshold of 0.025 km²; (f) Shaded image of plan curvature, extracted using the method of Zevenbergen–Thorne. (© Rivix, LLC, used with permission)

18.4.2 Extracting and Saving a Basin Outlet

Once you have created a D8 flow grid, there is an easy-to-use graphical tool in RiverTools for precisely selecting which grid cell you want to use as a basin outlet. Choosing Basin Outlet from the Extract menu opens a dialog. Clicking on the dialog’s Start button produces an image (shaded relief or density plot) that shows the entire DEM. If you then click within the image window, a streamline from the place where you clicked to the edge of the DEM will be overplotted on the image. You can move the mouse and click again to select and plot another streamline. Some of

the streamlines will flow into the main channel of your basin of interest and some will flow into other, disjoint basins. Once you have selected a streamline that flows through the point you wish to use as a basin outlet, you can then use the slider in the dialog to move a red/white indicator along the streamline to your desired basin outlet point.

The precise grid cell coordinates are printed in the *Output Log* window, and you can click on the arrow buttons beside the slider to select any grid cell along the streamline, even if the image dimensions are many times smaller than the DEM dimensions. This graphical tool is designed so that you are sure to select a grid cell

1 for the basin outlet that lies along any stream-
 2 line that you select, instead of a few pixels to one
 3 side or the other. Once you have selected a grid
 4 cell as a basin outlet with this two-step graphi-
 5 cal process, you simply click on the Save Outlet
 6 button in the dialog to save the coordinates in a
 7 text file with the extension “`_basin.txt`”.

8 These coordinates identify the watershed that
 9 is of interest to you and are used by subsequent
 10 processing routines. Additional basic info for the
 11 basin will be appended to this file as you com-
 12 plete additional processing steps. By allowing
 13 any number of *basin prefixes* in addition to the
 14 *data prefix* associated with the DEM filename,
 15 RiverTools makes it easy to identify several wa-
 16 tersheds in a given DEM and extract information
 17 for each of them separately while allowing them
 18 to share the same D8 flow grid and other data
 19 layers. You can change the basin prefix at any
 20 time using the *Change Basin Prefix* dialog in the
 21 File menu. This tells RiverTools which watershed
 22 you want to work with.

23 18.4.3 Extracting a River Network

24 A river network can be viewed as a tree graph
 25 with its root at a particular grid cell, the out-
 26 let grid cell. The *Extract* \mapsto *RT Treefile* dialog
 27 extracts the “*drainage tree*” for the watershed
 28 that drains to the outlet grid cell that you se-
 29 lected previously and saved. This is a raster to
 30 vector step that builds and saves the topology of
 31 the river network and also measures and saves a
 32 large number of attributes in a RiverTools vector
 33 (RTV) file with compound extension `_tree.rtv`.
 34 The *Extract* \mapsto *River Network* dialog can then be
 35 used to distinguish between flow vectors on hill-
 36 slopes and those that correspond to channels in a
 37 river network. The flow vectors on the hillslopes
 38 are *pruned away* and the remaining stream chan-
 39 nels are saved in another RTV file with extension
 40 `_links.rtv`, along with numerous attributes. A
 41 variety of different pruning methods have been
 42 proposed in the literature and each has its own
 43 list of pros and cons. Fig. 18.4 shows a river
 44 network extracted from SRTM data for the Jing
 45 River in China.

46 RiverTools supports pruning by D8 contribut-
 47 ing area, by Horton-Strahler order, or by follow-
 48 ing each streamline from its starting point on
 49 a divide to the first inflection point (transition
 50 from convex to concave). In addition, you can
 51 use any grid, such as a grid created with the
 52 Grid Calculator (via *Extract* \mapsto *Derived Grid*)
 53 together with any threshold value to define your
 54 own pruning method. The real test of a pruning
 55 method is whether the locations of channel
 56 heads correspond to their actual locations in the
 57 landscape, and this can only be verified by field
 58 observations. Montgomery and Dietrich (1989,
 59 1992) provide some guidance on this issue. See
 60 Fig. 7.4 on page 141 for additional information
 61 on pruning methods.



Fig. 18.4: Jing River in the Loess Plateau of China, extracted from SRTM data with 3-arcsec grid cells.

62 Once you have completed the *Extract* \mapsto *RT*
 63 *Treefile* and *Extract* \mapsto *River Network* process-
 64 ing steps, you will find that your working di-
 65 rectory now contains many additional files with
 66 the same basin prefix and different filename
 67 extensions. Each of these files contains in-
 68 formation that is useful for subsequent analy-
 69 sis. Three of these files end with the com-
 70 pound extensions `_tree.rtv`, `_links.rtv` and
 71 `_streams.rtv`. These RTV files contain net-

1 work topology as well as many measured at-
 2 tributes. For example, the attributes stored in
 3 the *stream file* for each Horton-Strahler stream
 4 are: upstream end pixel ID, downstream end
 5 pixel ID, Strahler order, drainage area, straight-
 6 line length, along-channel length, elevation drop,
 7 straight-line slope, along-channel slope, total
 8 length (of all channels upstream), Shreve mag-
 9 nitude, length of longest channel, relief, network
 10 diameter, absolute sinuosity, drainage density,
 11 source density, number of links per stream, and
 12 number of tributaries of various orders. RTV
 13 files and their attributes can also be exported as
 14 shapefiles with the *Export Vector* \mapsto *Channels*
 15 dialog in the File menu.

16 18.4.4 Extracting Grids

17 ***D8-based Grids*** Once you have a D8 flow grid
 18 for a DEM, there are a large number of addi-
 19 tional grid layers that can be extracted within
 20 the D8 framework. RiverTools currently has 14
 21 different options in the *Extract* \mapsto *D8-based Grid*
 22 menu. D8 area grids and slope grids are perhaps
 23 the best-known (see §7), but many other useful
 24 grid layers can be defined and computed, includ-
 25 ing grids of flow distance, relief, watershed sub-
 26 units and many others. Each of these derived
 27 grids inherits the same georeferencing informa-
 28 tion as the DEM.

29 ***D-Infinity Grids*** As explained in §7, the
 30 D-Infinity algorithms introduced by Tarboton
 31 (1997) utilise a continuous flow or aspect angle
 32 and can capture the geometry of divergent flow
 33 by allowing “*flow*” to more than one of the eight
 34 neighbouring grid cells. These grids can be com-
 35 puted in RiverTools by selecting options from the
 36 *Extract* \mapsto *D-Infinity Grid* menu.

37 ***Mass Flux Grids*** As also explained in §7,
 38 the RiverTools Mass Flux algorithms provide an
 39 even better method for capturing the complex
 40 geometry of divergent and convergent flow and
 41 its effect on total contributing area (TCA) and
 42 specific contributing area (SCA). These grids
 43 can be computed in RiverTools by selecting op-
 44 tions from the *Extract* \mapsto *Mass Flux Grid* menu.
 45 Fig. 18.5 and Fig. 18.3(c) show examples of con-

tributing area grids computed via this method. 46
 Fig. 18.6 shows continuous-angle flow vectors in 47
 the vicinity of a channel junction or fork that 48
 were extracted using the Mass Flux method and 49
 then displayed with one of the interactive win- 50
 dow tools. 51

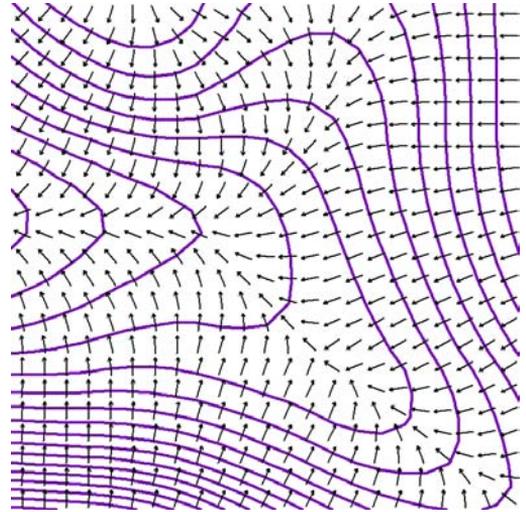


Fig. 18.6: Continuous-angle flow vectors in the vicinity of a channel junction or fork, extracted using the Mass Flux method. (© Rivix, LLC, used with permission)

52 ***Finite Difference Grids*** RiverTools can
 53 compute many standard morphometric param-
 54 eters such as slope, aspect, first and second deriva-
 55 tives, and five different types of curvature. It
 56 currently does this using the well-known method
 57 of Zevenbergen and Thorne (1987) that fits a
 58 *partial quartic* surface to the (3×3) neighbour-
 59 hood of each pixel in the input DEM and saves
 60 the resulting grid as a RiverTools Grid (RTG) file.
 61 Additional methods are planned for inclusion in
 62 the next release. These grids can be computed
 63 by selecting options from the *Extract* \mapsto *Finite*
 64 *Difference Grid* menu.

65 ***Other Derived Grids*** The *Extract* \mapsto *De-*
 66 *derived Grids* menu lists several other tools for cre-
 67 ating grids. The most powerful of these is the
 68 Grid Calculator that can create a new grid as
 69 a function of up to three existing grids without
 70 requiring the user to write a script. For exam-

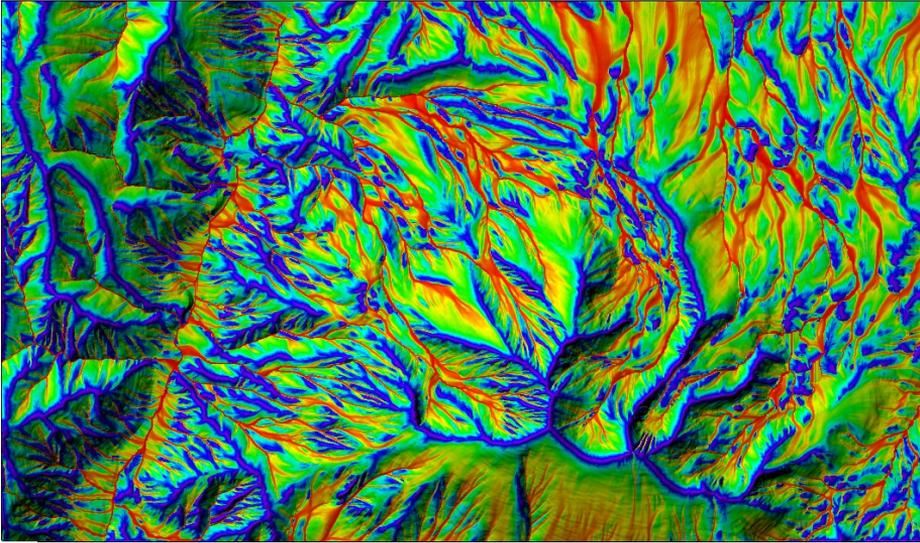


Fig. 18.5: A relief-shaded image of a TCA grid for Mt. Sopris, Colorado, that was created using the Mass Flux method. Areas with a large TCA are shown in red while areas with a small TCA value (e.g. ridgelines) are shown in blue and purple. Complex flow paths are clearly visible and results are superior to both the D8 and D-infinity methods. (© Rivix, LLC, used with permission)

1 ple, it can be used to create any type of *wet-*
 2 *ness index* grid from grids of slope and specific
 3 area. The dialog resembles a standard scientific
 4 calculator. In addition to the operators shown,
 5 any IDL command that operates on 2D arrays
 6 (i.e. grids) can be typed into the function text
 7 box. The Restricted to RTM tool lets you create
 8 grids in which masked values are reassigned to
 9 have nodata values. For example, this tool can
 10 be used to create a new DEM in which every
 11 grid cell that lies outside of a given watershed's
 12 boundary is assigned the nodata value.

13 18.4.5 Extracting Masks or Regions of 14 Interest

15 Within grid layers one often wishes to restrict
 16 attention or analysis to particular *regions of in-*
 17 *terest* or *polygons*, such as watersheds, lakes,
 18 craters, or places with elevation greater than
 19 some value. In order to display or perform any
 20 kind of analysis for such a region, we need to
 21 know which grid cells are in the region and which
 22 are not. This is equivalent to knowing the spa-

23 tial coordinates of its boundary. A large number
 24 of different attributes can be associated with any
 25 such polygon, such as its area, perimeter, diam-
 26 eter (maximum distance between any two points
 27 on the boundary), average elevation, maximum
 28 flow distance or centroid coordinates. RiverTools
 29 Mask (RTM) files provide a simple and compact
 30 way to store one or more *masked regions* in a file.
 31 A complete description of RTM files is given in
 32 an appendix to the User's Guide.

33 There are a number of different tools in the
 34 *Extract* \mapsto *Mask* submenu that can be used to
 35 create RTM files. For example, watershed poly-
 36 gons of various kinds can be extracted with the
 37 Sub-basin Mask tool, lake polygons can be ex-
 38 tracted with the Connected-to-Seed Mask tool,
 39 and *threshold* polygons can be extracted with
 40 the Grid Threshold Mask tool. Creative use of
 41 these tools can solve a large number of GIS-query
 42 problems. RTM files that record the locations of
 43 single or multi-pixel pits are created automati-
 44 cally by the *Extract* \mapsto *Flow Grid (D8)* tool. A
 45 tessellation of watershed *subunits* can be created
 46 with the *Extract* \mapsto *D8-based Grid* \mapsto *Watershed*

1 *Subunits* tool. RTM files can also be merged by
 2 the *Merge Files* tool in the *Prepare* menu. Given
 3 an RTM file for a region of interest, the *Export*
 4 *Vector* \mapsto *Boundaries* tool in the File menu can
 5 create an ESRI shapefile for the polygon and
 6 can also compute and save 36 optional attributes
 7 (new in the next release).

8 18.4.6 Extracting Functions

9 Hypsometric curves or **area-altitude func-**
 10 **tions** have a long history (Strahler, 1952; Pike
 11 and Wilson, 1971; Howard, 1990) and River-
 12 Tools can extract this and several other func-
 13 tions from a DEM (Fig. 18.7). The **width func-**
 14 **tion** (Kirkby, 1976; Gupta et al., 1980; Trout-
 15 man and Karlinger, 1984) and closely related
 16 **area-distance function** measure the fraction
 17 of a watershed (as number of links or percent
 18 area) that is at any given flow distance from
 19 the outlet (*Extract* \mapsto *Function menu*) and are
 20 tied to the instantaneous unit hydrograph con-
 21 cept. The **cumulative area function** (Rigon
 22 et al., 1993; Peckham, 1995b) measures the frac-
 23 tion of a watershed that has a contributing area
 24 greater than any given value (*Extract* \mapsto *Chan-*
 25 *nel Links* \mapsto *Link CDF*). **Empirical cumula-**
 26 **tive distribution functions** (ECDFs) (Peck-
 27 ham, 1995b; Peckham and Gupta, 1999) for en-
 28 sembles of basins of different Strahler orders have
 29 been shown to exhibit statistical self-similarity:
 30 *Analyze* \mapsto *Strahler streams* \mapsto *Stream CDFs*.
 31 It has been suggested by Willgoose et al. (2003)
 32 that some of these functions can be used together
 33 to measure the correspondence between real and
 34 simulated landscapes.

35 18.5 Visualisation tools

36 RiverTools has a rich set of visualisation tools,
 37 many of which are centrally located in the **Dis-**
 38 **play menu**. Each tool provides numerous op-
 39 tions which are explained in context-specific help
 40 pages, available by clicking on the Help button at
 41 the bottom of the dialog. After changing the set-
 42 tings in the dialog, you click on the Start button
 43 to create the image. There are too many display

44 tools and options to describe each one in detail
 45 here, so the purpose of this section is to provide a
 46 high-level overview. Many of the tools have their
 47 own colour controls, but colour schemes can also
 48 be set globally with the **Set Colors** dialog and
 49 saved with the **Set Preferences** dialog. Both of
 50 these are launched from the File menu. Most of
 51 the images created by tools in the Display menu
 52 can be shown with a map projection, and the
 53 projection can be configured with the **Map Pro-**
 54 **jection Info** dialog at the bottom of the menu.
 55 Menus labelled *Options*, *Tools* and *Info* at the
 56 top of image windows provide additional func-
 57 tionality, such as the ability to print an image or
 58 save it in any of several popular image formats.
 59 The Tools menu contains a large number of In-
 60 teractive Window Tools that will be highlighted
 61 in the next section.

62 The **Density Plot** tool creates colour-by-
 63 number plots, and offers many different types of
 64 contrast-enhancing ‘*stretches*’ including linear,
 65 logarithmic, power-law and histogram equalisa-
 66 tion. For example, contributing area grids are
 67 best viewed with a power-law stretch, due to
 68 the fact that there are a small number of grid
 69 cells with very large values and a large number
 70 with very small values. The **Contour Plot** tool
 71 makes it easy to create either standard or filled
 72 contour plots (or both as a multi-layer plot) and
 73 provides a large number of options such as the
 74 ability to control the line style, width and colour
 75 of each contour line. Colour shaded relief images
 76 with different colour tables and lighting condi-
 77 tions can easily be created with the **Shaded Re-**
 78 **lief** tool (Fig. 18.8). There is also a tool called
 79 **Shaded Aspect** that simply uses D8 flow direc-
 80 tion values with special colour tables to visualise
 81 DEM texture. A **Masked Region** tool allows
 82 you to display the boundaries or interiors of one
 83 or more “*mask cells*” or polygons (e.g. basins,
 84 pits, lakes, etc.) which are stored in RTM (River-
 85 Tools Mask) files with the extension *.rtm*. A re-
 86 lated tool is the **ESRI Shapefile** tool which has
 87 numerous options for plotting vector data that
 88 is stored in a shapefile, including points, poly-
 89 lines and polygons. (Shapefiles may be created
 90 from RTV and RTM files with the *Export Vector*

1 \mapsto *Channels* and *Export Vector* \mapsto *Boundaries*
 2 tools in the File menu.) A button labeled *View*
 3 *Attr. Table* at the bottom of this dialog displays
 4 a shapefile’s attribute table, and the table can
 5 be sorted by clicking on column headings. Digital
 6 Line Graph (DLG) data in the now-standard
 7 SDTS format can be displayed by itself or as a
 8 vector overlay with the **DLG–SDTS** tool.

9 The **Function** tool in the Display menu reads
 10 data from a multi-column text file and creates a
 11 plot of any two columns. There are several places
 12 in RiverTools where data can be saved to a multi-
 13 column text file (e.g. longitudinal profiles) and
 14 later displayed with this tool. Perspective-view
 15 plots for an entire DEM can be displayed with
 16 the **Surface Plot** tool as wire-mesh, lego-style
 17 or shaded. For larger DEMs, however, better re-
 18 sults are obtained with the Surface Zoom window
 19 tool which is explained in the next section. Ex-
 20 tracted river networks, which are saved in RTV
 21 (RiverTools Vector) files can be displayed with
 22 the **River Network** tool, or first exported via
 23 *File* \mapsto *Export Vector* \mapsto *Channels* and displayed
 24 with the ESRI Shapefile tool. Using the **Multi-**
 25 **Layer Plot** tool, images created by many of the
 26 tools in the Display menu can be overlaid, that
 27 is, any number of vector plots can be overlaid on
 28 any raster image.

29 One of the most powerful tools in the Display
 30 menu is the **Grid Sequence** tool. This tool
 31 is for use with RTS (RiverTools Sequence) files,
 32 which are a simple extension¹ of the RTG (River-
 33 Tools Grid) format. RTS files contain a grid
 34 sequence, or grid stack, usually with the same
 35 georeferencing as the DEM. Grids in the stack
 36 are usually indexed by time and are typically
 37 created with a spatially-distributed model that
 38 computes how values in every grid cell change
 39 over time. For example, a distributed hydrologic
 40 model called TopoFlow² can be used as a plug-in
 41 to RiverTools (see §25). TopoFlow computes the
 42 time evolution of dynamic quantities (e.g. water
 43 depth, velocity, discharge, etc.) and can save the
 44 resulting sequence of grids as an RTS file. Land-

scape evolution models also generate grid stacks
 that show how elevations change over time. This
 tool can show a grid stack as an animation or
 save it in the AVI movie format. It allows you
 to jump to a particular frame, change colours
 and much more. The Options menu at the top
 of the dialog has many additional options and
 there is also a Tools menu that has tools for in-
 teractively exploring grid stack data, such as the
Time Profile and **Animated Profile** tools.

18.5.1 Interactive Window Tools

As mentioned previously, image windows that
 are created with the tools in the Display menu
 typically have three menus near the top of the
 window labelled Options, Tools and Info. In
 RiverTools, the entries in an Options menu rep-
 resent simple things that you can do to the win-
 dow, such as resize it, print it, close it or save
 the image to a file. The entries in a Tools menu
 represent ways that you can use the mouse and
 cursor to interact with or query the image. Here
 again we will simply give a high-level overview
 of several of these tools, but more information is
 provided in the user’s guide.

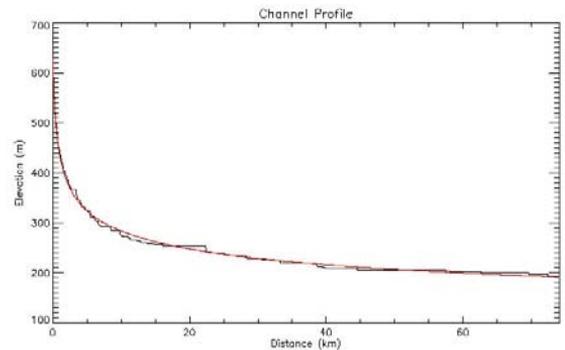


Fig. 18.9: Longitudinal profile plot created for a main channel of the Beaver Creek DEM with the Channel Profile tool.

The **Line Profile** tool lets you click and drag
 in an image to draw a transect and then opens
 another small window to display the elevation
 values along that transect. Note that this new
 window has its own Options menu that lets you

¹All of the RiverTools formats are nonproprietary and are explained in detail in an appendix to the user’s guide.

²<http://instaar.colorado.edu/topoflow/>

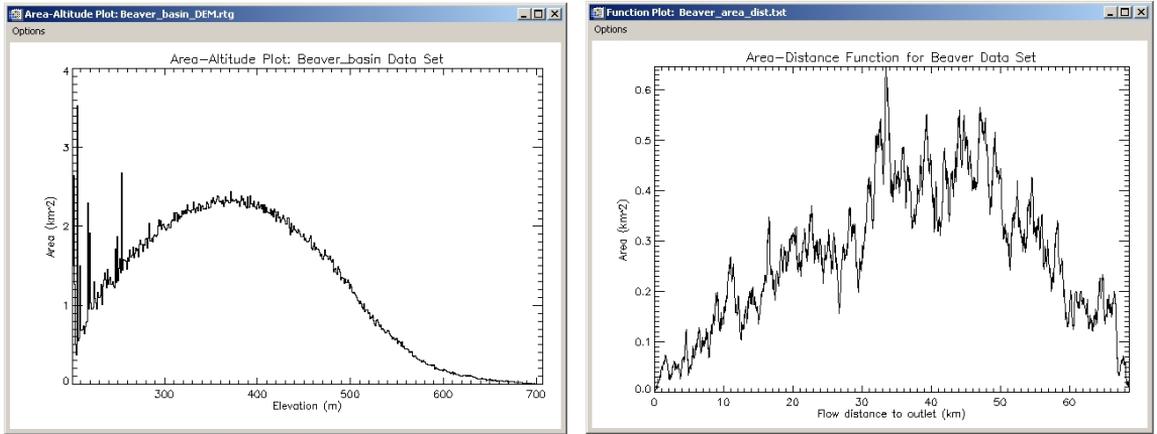


Fig. 18.7: Functions extracted from a DEM for Beaver Creek, Kentucky: (a) an area-altitude plot and (b) an area-distance plot.

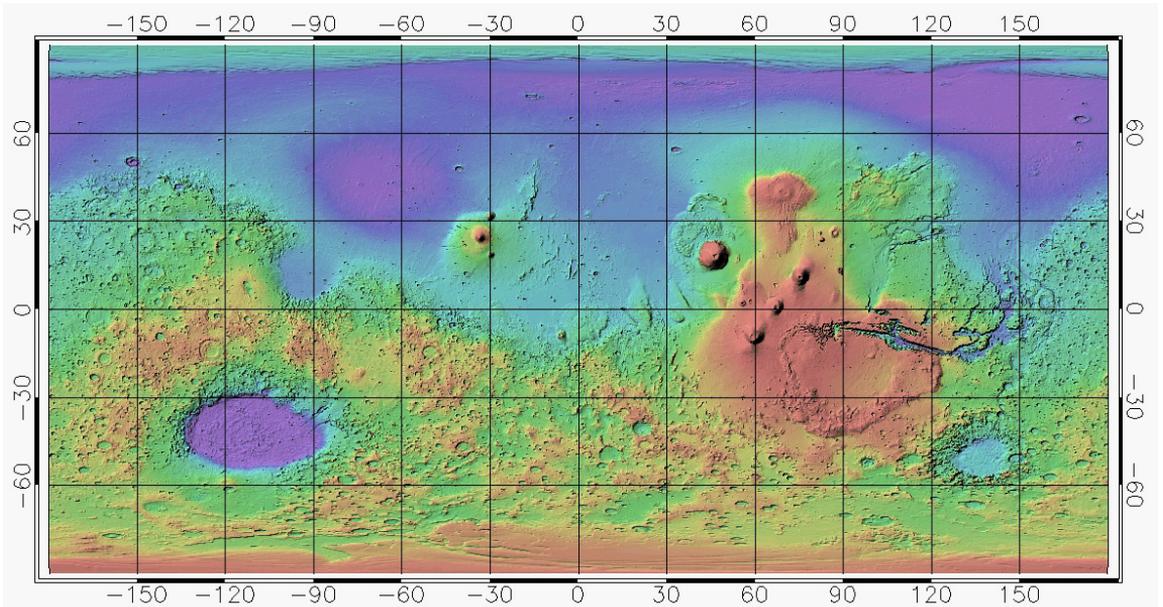


Fig. 18.8: High-resolution MOLA (Mars Orbiter Laser Altimeter) DEM displayed in RiverTools: colour shaded relief image for planet Mars shown by the cylindrical equidistant map projection.

1 do things like save the actual profile data to a
 2 multi-column text file. The **Channel Profile**
 3 tool is similar (Fig. 18.9), except that you click
 4 somewhere in the image and then the flow path
 5 or streamline from the place where you clicked
 6 to the edge of the DEM is overlaid on the image.
 7 The elevations (or optionally, the values in any
 8 other grid) along that streamline are plotted vs.
 9 distance along the streamline in another small
 10 window. Again, the Options menu of this new
 11 window has numerous entries.

12 The **Reach Info** tool is similar to the Chan-
 13 nel Profile tool but opens an additional dialog
 14 with sliders that let you graphically select the up-
 15 stream and downstream endpoints of any reach
 16 contained within the streamline and displays var-
 17 ious attributes of that reach. If you select **Vec-**
 18 **tor Zoom** from the Tools menu and then click
 19 in the image, crosshairs are overlaid on the im-
 20 age and a small window is displayed that shows
 21 grid cell boundaries, D8 flow paths and contour
 22 lines in the vicinity of where you clicked.

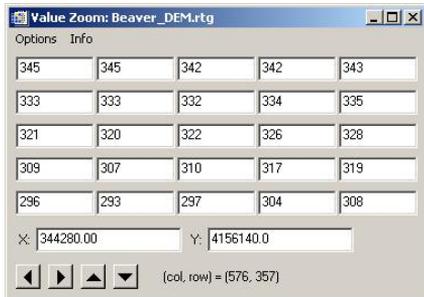


Fig. 18.10: The Value Zoom dialog.

23 The **Value Zoom** tool is similar but displays
 24 actual grid values as numbers and also shows the
 25 coordinates of the selected grid cell (Fig. 18.10).
 26 This tool has many other capabilities listed in its
 27 Options menu, such as the ability to edit grids or
 28 jump to specified coordinates. Perspective, wire
 29 mesh plots are more effective when applied to
 30 smaller regions rather than to entire DEMs, so
 31 the **Surface Zoom** tool provides a powerful way
 32 to interactively explore a landscape (Fig. 18.11).
 33 This tool has many settings at the bottom of the
 34 display window and many entries in its Options

35 menu. The **Density Zoom** and **Relief Zoom**
 36 tools show density plots (see last section) and
 37 shaded relief plots at full resolution for a selected
 38 region even though the main image may show the
 39 entire area of the DEM at a greatly reduced res-
 40 olution. All of the Zoom-tools are automatically
 41 linked, so that they all update when you move
 42 the mouse to another location in the image. The
 43 **Add Scale Bar**, **Add Colour Bar**, **Add Text**
 44 and **Add Marker** tools can be used to interac-
 45 tively annotate an image prior to saving it to an
 46 image file with *Options* \mapsto *Save Window*.

47 Finally, the **Flood Image** tool allows you to
 48 change the colour of all pixels below a given el-
 49 evation to blue, either instantly or as an anima-
 50 tion. It is a useful visualisation tool but does not
 51 model the dynamics of an actual flood.

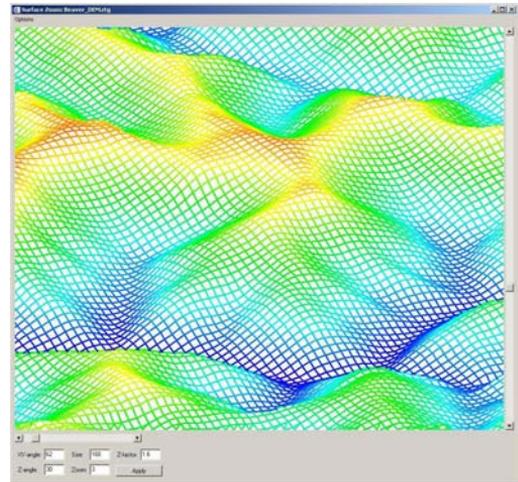


Fig. 18.11: The Surface Zoom display window.

18.6 Summary Points

52 RiverTools is a powerful but easy-to-use toolkit
 53 for visualising and extracting information from
 54 digital elevation data. It has an intuitive,
 55 point-and-click graphical interface, an extensive
 56 HTML-based help system and much of the power
 57 of a full-featured GIS even though its main fo-
 58 cus is on digital elevation data. It also contains
 59 state-of-the-art algorithms for computing geo-
 60

1 morphometric quantities, such as the new Mass
 2 Flux method for computing contributing area.
 3 This unique combination of features makes it
 4 ideal for teaching courses in hydrology, landscape
 5 ecology and geomorphology. RiverTools can import
 6 a wide variety of DEM formats as well as
 7 vector data in the ESRI shapefile and DLG-
 8 SDTS formats. It works well together with other
 9 GIS software since it can also export raster data
 10 in several common formats (via *File* \mapsto *Export*
 11 *Grid*) and vector data in the industry-standard
 12 shapefile format (via *File* \mapsto *Export Vector*).
 13 Publication-quality graphics and posters are easily
 14 created and annotated. Many built-in features
 15 including a graphical Grid Calculator and
 16 support for wildcards in many places where an
 17 input filename is required (to allow batch processing)
 18 mean that writing scripts is usually not
 19 necessary. However, in cases where scripting is
 20 required, users have the option to purchase another
 21 product called IDL (Interactive Data Language,
 22 a product of ITT Visual Information Solutions,
 23 www.ittvis.com) that can be used to
 24 write extensions to RiverTools. This option provides
 25 access to all of the features of the IDL programming
 26 language in addition to a large set of documented,
 27 low-level RiverTools commands for customisation.
 28 Users can also extend RiverTools with free User
 29 menu plug-ins, such as a landscape evolution model
 30 called Erode and a spatially-distributed hydrologic
 31 model called TopoFlow.

32 RiverTools has been developed and refined over
 33 many years around three central themes, namely
 34 (1) ease of use, (2) ability to handle very large
 35 DEMs (whatever the task) and (3) accuracy of
 36 measurements. With regard to ease of use, Rivix
 37 has worked with users for many years to develop
 38 a user-friendly graphical interface and HTML
 39 help system. As for the ability to rapidly extract
 40 information from very large DEMs, this has
 41 driven the development of advanced algorithms
 42 that efficiently distribute the computational
 43 workload between available RAM and I/O to files.
 44 These types of algorithms are used throughout
 45 RiverTools. Finally, RiverTools and MicroDEM
 46 may be the only GIS applications that always
 47 take the latitude-dependence of pixel

48 geometry into account when working with geographic
 49 DEMs. All lengths, slopes and areas are
 50 computed by integrating on the surface of the
 51 appropriate ellipsoid model to avoid the geometric
 52 distortion that is associated with map projections.
 53 This feature is especially important when
 54 working with DEMs at the regional, continental
 55 or global scale.

Important sources:

- 56 ★ Rivix LLC, 2004. *RiverTools 3.0 User's*
 57 *Guide*. Rivix Limited Liability Company,
 58 Broomfield, CO, 218 pp. 59 60
- 61 ★ <http://rivertools.com> — RiverTools
 62 website.
- 63 ★ <http://instaar.colorado.edu/topoflow/>
 64 — TopoFlow Website.
- 65 ★ <http://www.ittvis.com> — ITT Visual In-
 66 formation Solutions.