

# QUAL2K:

A Modeling Framework for Simulating River and Stream Water Quality (Version 2.11)

## Documentation



The Mystic River at Medford, MA

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## **1 INTRODUCTION**

QUAL2K (or Q2K) is a river and stream water quality model that is intended to represent a modernized version of the QUAL2E (or Q2E) model (Brown and Barnwell 1987). Q2K is similar to Q2E in the following respects:

- One dimensional. The channel is well-mixed vertically and laterally.
- Branching. The system can consist of a mainstem river with branched tributaries.
- Steady state hydraulics. Non-uniform, steady flow is simulated.
- Diel heat budget. The heat budget and temperature are simulated as a function of meteorology on a diel time scale.
- Diel water-quality kinetics. All water quality variables are simulated on a diel time scale.
- Heat and mass inputs. Point and non-point loads and withdrawals are simulated.

The QUAL2K framework includes the following new elements:

- Software Environment and Interface. Q2K is implemented within the Microsoft Windows environment. Numerical computations are programmed in Fortran 90. Excel is used as the graphical user interface. All interface operations are programmed in the Microsoft Office macro language: Visual Basic for Applications (VBA).
- Model segmentation. Q2E segments the system into river reaches comprised of equally spaced elements. Q2K also divides the system into reaches and elements. However, in contrast to Q2E, the element size for Q2K can vary from reach to reach. In addition, multiple loadings and withdrawals can be input to any element.
- Carbonaceous BOD speciation. Q2K uses two forms of carbonaceous BOD to represent organic carbon. These forms are a slowly oxidizing form (slow CBOD) and a rapidly oxidizing form (fast CBOD).
- Anoxia. Q2K accommodates anoxia by reducing oxidation reactions to zero at low oxygen levels. In addition, denitrification is modeled as a first-order reaction that becomes pronounced at low oxygen concentrations.
- Sediment-water interactions. Sediment-water fluxes of dissolved oxygen and nutrients can be simulated internally rather than being prescribed. That is, oxygen (SOD) and nutrient fluxes are simulated as a function of settling particulate organic matter, reactions within the sediments, and the concentrations of soluble forms in the overlying waters.
- Bottom algae. The model explicitly simulates attached bottom algae. These algae have variable stoichiometry.
- Light extinction. Light extinction is calculated as a function of algae, detritus and inorganic solids.
- pH. Both alkalinity and total inorganic carbon are simulated. The river's pH is then computed based on these two quantities.
- Pathogens. A generic pathogen is simulated. Pathogen removal is determined as a function of temperature, light, and settling.
- Reach specific kinetic parameters. Q2K allows you to specify many of the kinetic parameters on a reach-specific basis.
- Weirs and waterfalls. The hydraulics of weirs as well as the effect of weirs and waterfalls on gas transfer are explicitly included.

## 2 GETTING STARTED

As presently configured, an Excel workbook serves as the interface for QUAL2K. That is, all input and output as well as model execution are implemented from within Excel. All interface functions are programmed in Excel's macro language: Visual Basic for Applications (VBA). All numerical calculations are implemented in Fortran 90 for speed of execution. The following material provides a step-by-step description of how the model can be set up on your computer and used to perform a simulation.

<u>Step 1:</u> Copy the file, Q2Kv2\_11.zip, to a directory (e.g., C:\). When this file is unzipped, it will set up a subdirectory, Q2Kv2\_11 which includes an Excel file (Q2KMasterv2\_11.xls), and an executable file (Q2KFortran2\_11.exe). The first is the Q2K interface that allows you to run Q2K and display its results. The second is the Fortran executable that actually performs the model computations. These two files must always be in the same directory for the model to run properly. Note that after you run the model, some assisting files will be automatically created by the Fortran executable file to exchange information with Excel.

**<u>NOTE:</u> DO NOT DELETE THE .zip file.** If for some reason, you modify Q2K in a way that makes it unusable, you can always use the zip file to reinstall the model.

Step 2: Create a subdirectory off of C:\Q2Kv2\_11 called DataFiles.

<u>Step 3:</u> Open Excel and make sure that your macro security level is set to medium (Figure 1). This can be done using the menu commands: <u>Tools</u>  $\rightarrow$  <u>Macro</u>  $\rightarrow$  <u>Security</u>. Make certain that the <u>Medium radio button is selected</u>.

Security	? 🛛
Security Level Trusted Publishers	
Very High. Only macros installed in to run. All other signed and unsigned and un	
<ul> <li>High. Only signed macros from tru- run. Unsigned macros are automa</li> </ul>	
Medium. You can choose whether macros.	or not to run potentially unsafe
Low (not recommended). You are unsafe macros. Use this setting of software installed, or you have ch you open.	
	OK Cancel

## Figure 1 The Excel Macro Security Level dialogue box. In order to run Q2K, the <u>M</u>edium level of security should be selected.

<u>Step 4:</u> Open Q2KMasterFortranv2\_11.xls. When you do this, the Macro Security Dialogue Box will be displayed (Figure 2).



## Figure 2 The Excel Macro security dialogue box. In order to run Q2K, the Enable Macros button must be selected.

Click on the **<u>E</u>nable Macros** button.

<u>Step 5:</u> On the QUAL2K Worksheet, go to cell B10 and enter the path to the DataFiles directory: C:\QUAL2K\DataFiles as shown in Figure 3.

2	A	В	С	D	E	F			
1	QUAL2K FORTRAN								
2	Stream Water Quality Model								
3	Steve Chapra, Hua Ta		sn/						
		o and Grey renetier							
4	Version 2.11b8								
5									
6									
7	System ID:				Open Old				
8	River name	Boulder Creek			File				
9	Saved file name	BC092187v0211b8			гне	I.			
10	Directory where file saved	c:\Q2Kv2_11\DataFiles							
11	Month	9			Run				
12	Day	21			Fortran				
13	Year	1987				100			
14	Local time hours to UTC	.7							
15	Daylight savings time	Yes							
16	Calculation:								
17	Calculation step	0.1	hours						
	Final time	30	day						
19	Solution method (integration)	Euler							
	Solution method (pH)	Brent							
21	Time zone	Mountain Standard Time							
22	Program determined calc step	0.093750	hours						
23	Time of last calculation	0.17	minutes						
24	Time of sunrise	6:46 AM							
25	Time of solar noon	12:53 PM							
26	Time of sunset	6:59 PM							
27	Photoperiod	12.22	hours						

#### Figure 3 The QUAL2K Worksheet showing the entry of the file path into cell B10.

Step 6: Click on the Run Fortran button.

#### If the program does not work correctly...

There are two primary reasons why the program would not work properly. First, you may be using an old version of Microsoft Office. Although Excel is downwardly compatible for some earlier versions, Q2K will not work with very old versions.

Second, you may have made a mistake in implementing the preceding steps. A common mistake is to have mistyped the file path that you entered in cell B10. For example, suppose that you mistyped the path as C:\Q2KFortranv2\_11\DataFles. If this is the case, you will receive an error message (Figure 4).



## Figure 4 An error message that will occur if you type the incorrect file path into cell B10 on the QUAL2K Worksheet.

If this occurs, click OK. This will terminate the run and bring you back to the **QUAL2K Worksheet** where you can correct the file path entry.

#### If the program works correctly...

QUAL2K will begin to execute. A window will open showing the progress of the Fortran computations (Figure 5).



Figure 5 This window is displayed showing the progress of the model computations as executed in Fortran. It allows you to follow the progress of a model run.

The program is set up to simulate a fictitious river with a mainstem along with two tributaries. If the program works properly, the following dialogue box will appear when the run is completed:

Q2K FO	RTRAN
(į)	C:\Q2Kv2_07\Q2KFORTRAN2_07.exe is finished!
	ок

Press OK and the following dialogue box will be displayed:

plot options		<b>X</b>		
Select tributary to plot:	Mainstem - Mainstem headwa			
ОК	Cancel	Apply		

This box allows you to choose the parts of the system that you want to plot. As shown, it defaults to the river's Mainstem. Press OK to see the travel time for the Mainstem. Note that all plots are updated when you press OK.

To switch to see the plots for one of the tributaries, you would press the button on the upper left of the screen



This causes the plot options dialogue box to be displayed. The pulldown can then be used to select another tributary.

<u>Step 7:</u> On the QUAL2K Worksheet click on the Open Old File button. Browse to get to the directory: C:\Q2KFortranv2\_11\DataFiles. You should see that a new file has been created with the name that was specified in cell B9 (in the case of the example in Figure 3, BogusExample.q2k). Click on the Cancel button to return to Q2K.

Note that every time that Q2K is run, a data file will be created with the file name specified in cell B9 on the **QUAL2K Worksheet** (Figure 3). The program automatically affixes the extension .q2k to the file name. Since this will overwrite previous versions of the file, make certain to change the file name when you perform a new application.

Now that you have successfully run Q2K on your computer, the following pages are devoted to documenting the science that underlies the model.

## **3 SEGMENTATION AND HYDRAULICS**

The model represents a river as a series of reaches. These represent stretches of river that have constant hydraulic characteristics (e.g., slope, bottom width, etc.). As depicted in Figure 6, the reaches are numbered in ascending order starting from the headwater of the river's main stem. Notice that both point and non-point sources and point and non-point withdrawals (abstractions) can be positioned anywhere along the channel's length.



Figure 6 QUAL2K segmentation scheme for a river with no tributaries.

For systems with tributaries (Figure 7), the reaches are numbered in ascending order starting at reach 1 at the headwater of the main stem. When a junction with a tributary is reached, the numbering continues at that tributary's headwater. Observe that both the headwaters and the tributaries are also numbered consecutively following a sequencing scheme similar to the reaches. Note also that the major branches of the system (that is, the main stem and each of the tributaries) are referred to as segments. This distinction has practical importance because the software provides plots of model output on a segment basis. That is, the software generates individual plots for the main stem as well as each of the tributaries.



(a) A river with tributaries

#### (b) Q2K reach representation

# Figure 7 QUAL2K segmentation scheme for (*a*) a river with tributaries. The Q2K reach representation in (*b*) illustrates the reach, headwater and tributary numbering schemes.

Finally, any model reach can be further divided into a series of equally-spaced elements. As in Figure 8, this is done by merely specifying the number of elements that are desired.





In summary, the nomenclature used to describe the way in which Q2K organizes river topology is as follows:

- Reach. A length of river with constant hydraulic characteristics.
- Element. The model's fundamental computational unit which consists of an equal length subdivision of a reach.
- Segment. A collection of reaches representing a branch of the system. These consist of the main stem as well as each tributary.
- Headwater. The upper boundary of a model segment.

#### 3.1 Flow Balance

As described in the last section, Q2K's most fundamental unit is the element. A steady-state flow balance is implemented for each model element as (Figure 9)

$$Q_i = Q_{i-1} + Q_{in,i} - Q_{out,i}$$
(1)

where  $Q_i$  = outflow from element *i* into the downstream element *i* + 1 [m<sup>3</sup>/d],  $Q_{i-1}$  = inflow from the upstream element *i* - 1 [m<sup>3</sup>/d],  $Q_{in,i}$  is the total inflow into the element from point and nonpoint sources [m<sup>3</sup>/d], and  $Q_{out,i}$  is the total outflow from the element due to point and nonpoint withdrawals [m<sup>3</sup>/d]. Thus, the downstream outflow is simply the difference between inflow and source gains minus withdrawal losses.



Figure 9 Element flow balance.

The total inflow from sources is computed as

$$Q_{in,i} = \sum_{j=1}^{psi} Q_{ps,i,j} + \sum_{j=1}^{npsi} Q_{nps,i,j}$$
(2)

where  $Q_{ps,i,j}$  is the *j*th point source inflow to element *i* [m<sup>3</sup>/d], *psi* = the total number of point sources to element *i*,  $Q_{nps,i,j}$  is the *j*th non-point source inflow to element *i* [m<sup>3</sup>/d], and *npsi* = the total number of non-point source inflows to element *i*.

The total outflow from withdrawals is computed as

$$Q_{out,i} = \sum_{j=1}^{pai} Q_{pa,i,j} + \sum_{j=1}^{npai} Q_{npa,i,j}$$
(3)

where  $Q_{pa,i,j}$  is the *j*th point withdrawal outflow from element *i* [m<sup>3</sup>/d], *pai* = the total number of point withdrawals from element *i*,  $Q_{npa,i,j}$  is the *j*th non-point withdrawal outflow from element *i* [m<sup>3</sup>/d], and *npai* = the total number of non-point withdrawal flows from element *i*.

The non-point sources and withdrawals are modeled as line sources. As in Figure 10, the nonpoint source or withdrawal is demarcated by its starting and ending kilometer points. Its flow is then distributed to or from each element in a length-weighted fashion.





#### 3.2 Hydraulic Characteristics

Once the outflow for each element is computed, the depth and velocity are calculated in one of three ways: weirs, rating curves, and Manning equations. The program decides among these options in the following manner:

- If weir height and width are entered, the weir option is implemented.
- If the weir height and width are zero and rating curve coefficients are entered (a and  $\alpha$ ), the rating curve option is implemented.
- If neither of the previous conditions is met, Q2K uses the Manning equation.

#### 3.2.1 Weirs

Figure 11 shows how weirs are represented in Q2K. Note that a weir can only occur at the end of a reach consisting of a single element. The symbols shown in Figure 11 are defined as:  $H_i =$ the depth of the element upstream of the weir [m],  $H_{i+1} =$  the depth of the element downstream of the weir [m],  $elev_{2i} =$  the elevation above sea level of the tail end of the upstream element [m],  $elev_{1i+1} =$  the elevation above sea level of the head end of the downstream element [m],  $H_w =$  the height of the weir above elev<sub>2i</sub> [m],  $H_d =$  the drop between the elevation above sea level of the surface of element *i* and element *i*+1 [m],  $H_h =$  the head above the weir [m],  $B_w =$  the width of the weir [m]. Note that the width of the weir can differ from the width of the element,  $B_i$ .



Figure 11 A sharp-crested weir occurring at the boundary between two reaches.

For a sharp-crested weir where  $H_h/H_w < 0.4$ , flow is related to head by (Finnemore and Franzini 2002)

$$Q_i = 1.83B_w H_h^{3/2} \tag{4}$$

where  $Q_i$  is the outflow from the element upstream of the weir in m<sup>3</sup>/s, and  $B_w$  and  $H_h$  are in m. Equation (4) can be solved for

$$H_h = \left(\frac{Q_i}{1.83B_w}\right)^{2/3}$$
(5)

This result can then be used to compute the depth of element *i*,

$$H_i = H_w + H_h \tag{6}$$

and the drop over the weir

$$H_{d} = e l e v 2_{i} + H_{i} - e l e v 1_{i+1} - H_{i+1}$$
(7)

Note that this drop is used to compute oxygen and carbon dioxide gas transfer due to the weir (see pages 57 and 63).

The cross-sectional area, velocity, surface area and volume of element *i* can then be computed as

$$A_{c,i} = B_i H_i \tag{8}$$

$$U_i = \frac{Q_i}{A_{c,i}} \tag{9}$$

$$A_{s,i} = B_i \Delta x_i$$

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$$V_i = B_i H_i \Delta x_i$$

where  $B_i$  = the width of element *i*,  $\Delta x_i$  = the length of element *i*. Note that for reaches with weirs, the reach width must be entered. This value is entered in the column AA (labeled "Bottom Width") of the Reach Worksheet.

#### 3.2.2 Rating Curves

Power equations (sometimes called Leopold-Maddox relationships) can be used to relate mean velocity and depth to flow for the elements in a reach,

$$U = aQ^b \tag{10}$$

$$H = \alpha Q^{\beta} \tag{11}$$

where a, b,  $\alpha$  and  $\beta$  are empirical coefficients that are determined from velocity-discharge and stage-discharge rating curves, respectively. The values of velocity and depth can then be employed to determine the cross-sectional area and width by

$$A_c = \frac{Q}{U} \tag{12}$$

$$B = \frac{A_c}{H} \tag{13}$$

The surface area and volume of the element can then be computed as

 $A_s = B\Delta x$ 

 $V=BH\Delta x$ 

The exponents b and  $\beta$  typically take on values listed in Table 1. Note that the sum of b and  $\beta$  must be less than or equal to 1. If this is not the case, the width will decrease with increasing flow. If their sum equals 1, the channel is rectangular.

## Table 1 Typical values for the exponents of rating curves used to determine velocity and depth from flow (Barnwell et al. 1989).

Equation	Exponent	Typical value	Range
$U = aQ^b$	b	0.43	0.4-0.6
$H = \alpha Q^{\beta}$	β	0.45	0.3-0.5

In some applications, you might want to specify constant values of depth and velocity that do not vary with flow. This can be done by setting the exponents b and  $\beta$  to zero and setting a equal to the desired velocity and  $\alpha$  equal to the desired depth.

#### 3.2.3 Manning Equation

Each element in a particular reach can be idealized as a trapezoidal channel (Figure 12). Under conditions of steady flow, the Manning equation can be used to express the relationship between flow and depth as

$$Q = \frac{S_0^{1/2}}{n} \frac{A_c^{5/3}}{P^{2/3}}$$
(14)

where  $Q = \text{flow } [\text{m}^3/\text{s}]^1$ ,  $S_0 = \text{bottom slope } [\text{m/m}]$ , n = the Manning roughness coefficient,  $A_c = \text{the cross-sectional area } [\text{m}^2]$ , and P = the wetted perimeter [m].



#### Figure 12 Trapezoidal channel.

The cross-sectional area of a trapezoidal channel is computed as

$$A_c = \left[B_0 + 0.5(s_{s1} + s_{s2})H\right] H$$
(15)

where  $B_0$  = bottom width [m],  $s_{s1}$  and  $s_{s2}$  = the two side slopes as shown in Figure 12 [m/m], and H = element depth [m].

The wetted perimeter is computed as

$$P = B_0 + H\sqrt{s_{s1}^2 + 1} + H\sqrt{s_{s2}^2 + 1}$$
(16)

After substituting Eqs. (15) and (16), Eq. (14) can be solved iteratively for depth (Chapra and Canale 2006),

$$H_{k} = \frac{(Qn)^{3/5} \left(B_{0} + H_{k-1}\sqrt{s_{s1}^{2} + 1} + H_{k-1}\sqrt{s_{s2}^{2} + 1}\right)^{2/5}}{S^{3/10} \left[B_{0} + 0.5(s_{s1} + s_{s2})H_{k-1}\right]}$$
(17)

where k = 1, 2, ..., n, where n = the number of iterations. An initial guess of  $H_0 = 0$  is employed. The method is terminated when the estimated error falls below a specified value of 0.001%. The estimated error is calculated as

<sup>&</sup>lt;sup>1</sup> Notice that time is measured in seconds in this and other formulas used to characterize hydraulics. This is how the computations are implemented within Q2K. However, once the hydraulic characteristics are determined they are converted to day units to be compatible with other computations.

$$\varepsilon_a = \left| \frac{H_{k+1} - H_k}{H_{k+1}} \right| \times 100\% \tag{18}$$

The cross-sectional area is determined with Eq. (15) and the velocity can then be determined from the continuity equation,

$$U = \frac{Q}{A_c}$$
(19)

The average element width, B [m], is computed as

$$B = \frac{A_c}{H}$$
(20)

The top width,  $B_1$  [m], is computed as

$$B_1 = B_0 + (s_{s1} + s_{s2})H$$

The surface area and volume of the element can then be computed as

$$A_s = B_1 \Delta x$$

 $V = BH\Delta x$ 

Suggested values for the Manning coefficient are listed in Table 2. Manning's n typically varies with flow and depth (Gordon et al. 1992). As the depth decreases at low flow, the relative roughness usually increases. Typical published values of Manning's n, which range from about 0.015 for smooth channels to about 0.15 for rough natural channels, are representative of conditions when the flow is at the bankfull capacity (Rosgen, 1996). Critical conditions of depth for evaluating water quality are generally much less than bankfull depth, and the relative roughness may be much higher.

## Table 2 The Manning roughness coefficient for various open channel surfaces (from Chow et al. 1988).

MATERIAL	n
Man-made channels	
Concrete	0.012
Gravel bottom with sides:	
Concrete	0.020
mortared stone	0.023
Riprap	0.033
Natural stream channels	
Clean, straight	0.025-0.04
Clean, winding and some weeds	0.03-0.05
Weeds and pools, winding	0.05
Mountain streams with boulders	0.04-0.10
Heavy brush, timber	0.05-0.20

#### 3.2.4 Waterfalls

In Section 3.2.1, the drop of water over a weir was computed. This value is needed in order to compute the enhanced reaeration that occurs in such cases. In addition to weirs, such drops can also occur at waterfalls (Figure 13). Note that waterfalls can only occur at the end of a reach.



Figure 13 A waterfall occurring at the boundary between two reaches.

QUAL2K computes such drops for cases where the elevation above sea level drops abruptly at the boundary between two reaches. Equation (7) is used to compute the drop. It should be noted that the drop is only calculated when the elevation above sea level at the downstream end of a reach is greater than at the beginning of the next downstream reach; that is,  $elev_{2i} > elev_{1i+1}$ .

#### 3.3 Travel Time

The residence time of each element is computed as

$$\tau_k = \frac{V_k}{Q_k} \tag{21}$$

where  $\tau_k$  = the residence time of the  $k^{\text{th}}$  element [d],  $V_k$  = the volume of the  $k^{\text{th}}$  element [m<sup>3</sup>] =  $A_{c,k}\Delta x_k$ ,  $A_{c,k}$  = the cross-sectional area of the  $k^{\text{th}}$  element [m<sup>2</sup>], and  $\Delta x_k$  = the length of the  $k^{\text{th}}$  element [m]. These times are then accumulated to determine the travel time along each of the river's segments (that is, either the main stem or one of the tributaries). For example, the travel time from the headwater to the downstream end of the *j*th element in a segment is computed as,

$$t_{t,j} = \sum_{k=1}^{j} \tau_k \tag{22}$$

where  $t_{t,j}$  = the travel time [d].

#### 3.4 Longitudinal Dispersion

Two options are used to determine the longitudinal dispersion for a boundary between two elements. First, the user can simply enter estimated values on the **Reach Worksheet**. If the user

does not enter values, a formula is employed to internally compute dispersion based on the channel's hydraulics (Fischer et al. 1979),

$$E_{p,i} = 0.011 \frac{U_i^2 B_i^2}{H_i U_i^*}$$
(23)

where  $E_{p,i}$  = the longitudinal dispersion between elements *i* and *i* + 1 [m<sup>2</sup>/s],  $U_i$  = velocity [m/s],  $B_i$  = width [m],  $H_i$  = mean depth [m], and  $U_i^*$  = shear velocity [m/s], which is related to more fundamental characteristics by

$$U_i^* = \sqrt{gH_iS_i} \tag{24}$$

where g = acceleration due to gravity  $[= 9.81 \text{ m/s}^2]$  and S = channel slope [dimensionless].

After computing or prescribing  $E_{p,i}$ , the numerical dispersion is computed as

$$E_{n,i} = \frac{U_i \Delta x_i}{2} \tag{25}$$

The model dispersion  $E_i$  (i.e., the value used in the model calculations) is then computed as follows:

- If  $E_{n,i} \le E_{p,i}$ , the model dispersion,  $E_i$  is set to  $E_{p,i} E_{n,i}$ .
- If  $E_{n,i} > E_{p,i}$ , the model dispersion is set to zero.

For the latter case, the resulting dispersion will be greater than the physical dispersion. Thus, dispersive mixing will be higher than reality. It should be noted that for most steady-state rivers, the impact of this overestimation on concentration gradients will be negligible. If the discrepancy is significant, the only alternative is to make element lengths smaller so that the numerical dispersion becomes smaller than the physical dispersion.

#### **4 TEMPERATURE MODEL**

As in Figure 14, the heat balance takes into account heat transfers from adjacent elements, loads, withdrawals, the atmosphere, and the sediments. A heat balance can be written for element *i* as

$$\frac{dT_{i}}{dt} = \frac{Q_{i-1}}{V_{i}}T_{i-1} - \frac{Q_{i}}{V_{i}}T_{i} - \frac{Q_{out,i}}{V_{i}}T_{i} + \frac{E_{i-1}'}{V_{i}}(T_{i-1} - T_{i}) + \frac{E_{i}'}{V_{i}}(T_{i+1} - T_{i}) + \frac{W_{h,i}}{\rho_{w}C_{pw}V_{i}}\left(\frac{m^{3}}{10^{6} \text{ cm}^{3}}\right) + \frac{J_{a,i}}{\rho_{w}C_{pw}H_{i}}\left(\frac{m}{100 \text{ cm}}\right) + \frac{J_{s,i}}{\rho_{w}C_{pw}H_{i}}\left(\frac{m}{100 \text{ cm}}\right)$$
(26)

where  $T_i$  = temperature in element *i* [°C], *t* = time [d],  $E'_i$  = the bulk dispersion coefficient between elements *i* and *i* + 1 [m<sup>3</sup>/d],  $W_{h,i}$  = the net heat load from point and non-point sources into element *i* [cal/d],  $\rho_w$  = the density of water [g/cm<sup>3</sup>],  $C_{pw}$  = the specific heat of water [cal/(g °C)],  $J_{a,i}$  = the air-water heat flux [cal/(cm<sup>2</sup> d)], and  $J_{s,i}$  = the sediment-water heat flux [cal/(cm<sup>2</sup> d)].



Figure 14 Heat balance for an element.

The bulk dispersion coefficient is computed as

$$E'_{i} = \frac{E_{i}A_{c,i}}{(\Delta x_{i} + \Delta x_{i+1})/2}$$
(27)

Note that two types of boundary condition are used at the river's downstream terminus: (1) a zero dispersion condition (natural boundary condition) and (2) a prescribed downstream boundary condition (Dirichlet boundary condition). The choice between these options is made on the **Downstream Worksheet**.

The net heat load from sources is computed as (recall Eq. 2)

$$W_{h,i} = \rho C_p \left[ \sum_{j=1}^{psi} Q_{ps,i,j} T_{psi,j} + \sum_{j=1}^{npsi} Q_{nps,i,j} T_{npsi,j} \right]$$
(28)

where  $T_{ps,i,j}$  is the temperature of the *j*th point source for element *i* [°C], and  $T_{nps,i,j}$  is the temperature of the *j*th non-point source temperature for element *i* [°C].

#### 4.1 Surface Heat Flux

As depicted in Figure 15, surface heat exchange is modeled as a combination of five processes:

$$J_{h} = I(0) + J_{an} - J_{br} - J_{c} - J_{e}$$
<sup>(29)</sup>

where I(0) = net solar shortwave radiation at the water surface,  $J_{an}$  = net atmospheric longwave radiation,  $J_{br}$  = longwave back radiation from the water,  $J_c$  = conduction, and  $J_e$  = evaporation. All fluxes are expressed as cal/cm<sup>2</sup>/d.



Figure 15 The components of surface heat exchange.

#### 4.1.1 Solar Radiation

The model computes the amount of solar radiation entering the water at a particular latitude  $(L_{at})$  and longitude  $(L_{lm})$  on the earth's surface. This quantity is a function of the radiation at the top of the earth's atmosphere which is attenuated by atmospheric transmission, cloud cover, reflection, and shade,

$$I(0) = I_0 \qquad a_t \qquad a_c \qquad (1 - R_s) \qquad (1 - S_f)$$
  
extraterrestrial atmospheric cloud reflection shading  
radiation attenuation (30)

where I(0) = solar radiation at the water surface [cal/cm<sup>2</sup>/d],  $I_0 = \text{extraterrestrial radiation (i.e., at the top of the earth's atmosphere) [cal/cm<sup>2</sup>/d]$ ,  $a_t = \text{atmospheric attenuation}$ ,  $a_c = \text{cloud}$  attenuation,  $R_s = \text{albedo (fraction reflected)}$ , and  $S_f = \text{effective shade (fraction blocked by vegetation and topography)}$ .

Extraterrestrial radiation. The extraterrestrial radiation is computed as (TVA 1972)

$$I_0 = \frac{W_0}{r^2} \sin \alpha \tag{31}$$

where  $W_0$  = the solar constant [1367 W/m<sup>2</sup> or 2823 cal/cm<sup>2</sup>/d], r = normalized radius of the earth's orbit (i.e., the ratio of actual earth-sun distance to mean earth-sun distance), and  $\alpha$  = the sun's altitude [radians], which can be computed as

$$\sin \alpha = \sin \delta \sin L_{at} + \cos \delta \cos L_{at} \cos(\tau)$$
(32)

where  $\delta$  = solar declination [radians],  $L_{at}$  = local latitude [radians], and  $\tau$  = the local hour angle of the sun [radians].

The local hour angle in radians is given by

$$\tau = \left(\frac{trueSolarTime}{4} - 180\right)\frac{\pi}{180}$$
(33)

where:

$$trueSolarTime = localTime + eqtime - 4 \times L_{lm} - 60 \times timezone$$
(34)

where *trueSolarTime* is the solar time determined from the actual position of the sun in the sky [minutes], *localTime* is the local time in minutes (local standard time),  $L_{lm}$  is the local longitude (positive decimal degrees for the western hemisphere), and *timezone* is the local time zone in hours relative to Greenwich Mean Time (e.g. –8 hours for Pacific Standard Time; the local time zone is selected on the **QUAL2K Worksheet**). The value of *eqtime* represents the difference between true solar time and mean solar time in minutes of time.

QUAL2K calculates the solar declination, hour angle, solar altitude, and normalized radius (distance between the earth and sun), as well as the times of sunrise and sunset using the Meeus (1999) algorithms as implemented by NOAA's Surface Radiation Research Branch (<u>www.srrb.noaa.gov/highlights/sunrise/azel.html</u>). The NOAA method for solar position that is used in QUAL2K also includes a correction for the effect of atmospheric refraction. The complete calculation method that is used to determine the solar position, sunrise, and sunset is presented in Appendix B.

The photoperiod f [hours] is computed as

$$f = t_{ss} - t_{sr}$$
(35)

where  $t_{ss}$  = time of sunset [hours] and  $t_{sr}$  = time of sunrise [hours].

Atmospheric attenuation. Various methods have been published to estimate the fraction of the atmospheric attenuation from a clear sky  $(a_t)$ . Two alternative methods are available in QUAL2K to estimate  $a_t$  (Note that the solar radiation model is selected on the Light and Heat Worksheet of QUAL2K):

#### 1) Bras (default)

The Bras (1990) method computes  $a_t$  as:

$$a_t = e^{-n_{fad}a_1 m} \tag{36}$$

where  $n_{fac}$  is an atmospheric turbidity factor that varies from approximately 2 for clear skies to 4 or 5 for smoggy urban areas. The molecular scattering coefficient ( $a_1$ ) is calculated as

$$a_1 = 0.128 - 0.054 \log_{10} m \tag{37}$$

where *m* is the optical air mass, calculated as

$$m = \frac{1}{\sin \alpha + 0.15(\alpha_d + 3.885)^{-1.253}}$$
(38)

where  $\alpha_d$  is the sun's altitude in degrees from the horizon =  $\alpha \times (180^{\circ}/\pi)$ .

#### 2) Ryan and Stolzenbach

The Ryan and Stolzenbach (1972) model computes  $a_t$  from ground surface elevation and solar altitude as:

$$a_t = a_{tc}^{-m} \left(\frac{288 - 0.0065 elev}{288}\right)^{5.256}$$
(39)

where  $a_{tc}$  is the atmospheric transmission coefficient (0.70-0.91, typically approximately 0.8), and *elev* is the ground surface elevation in meters.

Direct measurements of solar radiation are available at some locations. For example, NOAA's Integrated Surface Irradiance Study (ISIS) has data from various stations across the United States (http://www.atdd.noaa.gov/isis.htm). The selection of either the Bras or Ryan-Stolzenbach solar radiation model and the appropriate atmospheric turbidity factor or atmospheric transmission coefficient for a particular application should ideally be guided by a comparison of predicted solar radiation with measured values at a reference location.

Cloud Attenuation. Attenuation of solar radiation due to cloud cover is computed with

$$a_c = 1 - 0.65 C_L^2 \tag{40}$$

where  $C_L$  = fraction of the sky covered with clouds.

Reflectivity. Reflectivity is calculated as

$$R_s = A \alpha_d^{\ B} \tag{41}$$

where A and B are coefficients related to cloud cover (Table 3).

Cloudiness	Clear		Scattered		Broken		Overcast	
$C_L$	(	)	0.1-0.5		0.5-0.9		1.0	
Coefficients	Α	В	A	В	Α	В	A	В
	1.18	-0.77	2.20	-0.97	0.95	-0.75	0.35	-0.45

Table 3 Coefficients used to calculate reflectivit	y based on cloud cover.
--	-------------------------

**Shade.** Shade is an input variable for the QUAL2K model. Shade is defined as the fraction of potential solar radiation that is blocked by topography and vegetation. An Excel/VBA program named 'Shade.xls' is available from the Washington Department of Ecology to estimate shade from topography and riparian vegetation (Ecology 2003). Input values of integrated hourly estimates of shade for each reach are entered on the **Shade Worksheet** of QUAL2K.

#### 4.1.2 Atmospheric Long-wave Radiation

The downward flux of longwave radiation from the atmosphere is one of the largest terms in the surface heat balance. This flux can be calculated using the Stefan-Boltzmann law

$$J_{an} = \sigma (T_{air} + 273)^4 \quad \varepsilon_{sky} \quad (1 - R_L)$$
(42)

where  $\sigma$  = the Stefan-Boltzmann constant = 11.7x10<sup>-8</sup> cal/(cm<sup>2</sup> d K<sup>4</sup>),  $T_{air}$  = air temperature [°C],  $\varepsilon_{sky}$  = effective emissivity of the atmosphere [dimensionless], and  $R_L$  = longwave reflection coefficient [dimensionless]. Emissivity is the ratio of the longwave radiation from an object compared with the radiation from a perfect emitter at the same temperature. The reflection coefficient is generally small and is assumed to equal 0.03.

The atmospheric longwave radiation model is selected on the **Light and Heat Worksheet** of QUAL2K. Three alternative methods are available for use in QUAL2K to represent the effective emissivity ( $\varepsilon_{skv}$ ):

#### 1) Brunt (default)

Brunt's (1932) equation is an empirical model that has been commonly used in water-quality models (Thomann and Mueller 1987),

$$\varepsilon_{clear} = A_a + A_b \sqrt{e_{air}}$$

where  $A_a$  and  $A_b$  are empirical coefficients. Values of  $A_a$  have been reported to range from about 0.5 to 0.7 and values of  $A_b$  have been reported to range from about 0.031 to 0.076 mmHg<sup>-0.5</sup> for a wide range of atmospheric conditions. QUAL2K uses a default mid-range value of  $A_a = 0.6$  together with a value of  $A_b = 0.031$  mmHg<sup>-0.5</sup> if the Brunt method is selected on the **Light and Heat Worksheet**.

#### 2) Brutsaert

The Brutsaert equation is physically-based instead of empirically derived and has been shown to yield satisfactory results over a wide range of atmospheric conditions of air temperature and humidity at intermediate latitudes for conditions above freezing (Brutsaert, 1982).

$$\varepsilon_{clear} = 1.24 \left(\frac{1.333224e_{air}}{T_a}\right)^{1/7}$$

where  $e_{air}$  is the air vapor pressure [mm Hg], and  $T_a$  is the air temperature in °K. The factor of 1.333224 converts the vapor pressure from mm Hg to millibars. The air vapor pressure [in mm Hg] is computed as (Raudkivi 1979):

$$e_{air} = 4.596e^{\frac{17.27T_d}{237.3+T_d}}$$
(43)

where  $T_d$  = the dew-point temperature [°C].

#### 3) Koberg

Koberg (1964) reported that the  $A_a$  in Brunt's formula depends on both air temperature and the ratio of the incident solar radiation to the clear-sky radiation ( $R_{sc}$ ). As in Figure 16, he presented a series of curves indicating that  $A_a$  increases with  $T_{air}$  and decreases with  $R_{sc}$  with  $A_b$  held constant at 0.0263 millibars<sup>-0.5</sup> (about 0.031 mmHg<sup>-0.5</sup>).

The following polynomial is used in Q2K to provide a continuous approximation of Koberg's curves.

$$A_a = a_k T_{air}^2 + b_k T_{air} + c_k$$

where

$$\begin{aligned} a_k &= -0.00076437 R_{sc}^3 + 0.00121134 R_{sc}^2 - 0.00073087 R_{sc} + 0.0001106 \\ b_k &= 0.12796842 R_{sc}^3 - 0.2204455 R_{sc}^2 + 0.13397992 R_{sc} - 0.02586655 \\ c_k &= -3.25272249 R_{sc}^3 + 5.65909609 R_{sc}^2 - 3.43402413 R_{sc} + 1.43052757 \end{aligned}$$

The fit of this polynomial to points sampled from Koberg's curves are depicted in Figure 16. Note that an upper limit of 0.735 is prescribed for  $A_a$ .



# Figure 16 The points are sampled from Koberg's family of curves for determining the value of the *A<sub>a</sub>* constant in Brunt's equation for atmospheric longwave radiation (Koberg, 1964). The lines are the functional representation used in Q2K.

For cloudy conditions the atmospheric emissivity may increase as a result of increased water vapor content. High cirrus clouds may have a negligible effect on atmospheric emissivity, but lower stratus and cumulus clouds may have a significant effect. The Koberg method accounts for the effect of clouds on the emissivity of longwave radiation in the determination of the  $A_a$  coefficient. The Brunt and Brutsaert methods determine emissivity of a clear sky and do not account for the effect of clouds. Therefore, if the Brunt or Brutsaert methods are selected, then the effective atmospheric emissivity for cloudy skies ( $\varepsilon_{sky}$ ) is estimated from the clear sky emissivity by using a nonlinear function of the fractional cloud cover ( $C_L$ ) of the form (TVA, 1972):

$$\varepsilon_{sky} = \varepsilon_{clear} \left( 1 + 0.17 C_L^2 \right) \tag{44}$$

The selection of the longwave model for a particular application should ideally be guided by a comparison of predicted results with measured values at a reference location. However, direct measurements are rarely collected. The Brutsaert method is recommended to represent a wide range of atmospheric conditions.

#### 4.1.3 Water Long-wave Radiation

The back radiation from the water surface is represented by the Stefan-Boltzmann law,

$$J_{br} = \varepsilon \sigma (T + 273)^4 \tag{45}$$

where  $\varepsilon$  = emissivity of water (= 0.97) and *T* = the water temperature [°C].

#### 4.1.4 Conduction and Convection

*Conduction* is the transfer of heat from molecule to molecule when matter of different temperatures are brought into contact. *Convection* is heat transfer that occurs due to mass movement of fluids. Both can occur at the air-water interface and can be described by,

$$J_{c} = c_{1} f(U_{w})(T_{s} - T_{air})$$
(46)

where  $c_1$  = Bowen's coefficient (= 0.47 mmHg/°C). The term,  $f(U_w)$ , defines the dependence of the transfer on wind velocity over the water surface where  $U_w$  is the wind speed measured a fixed distance above the water surface.

Many relationships exist to define the wind dependence. Bras (1990), Edinger et al. (1974), and Shanahan (1984) provide reviews of various methods. Some researchers have proposed that conduction/convection and evaporation are negligible in the absence of wind (e.g. Marciano and Harbeck, 1952), which is consistent with the assumption that only molecular processes contribute to the transfer of mass and heat without wind (Edinger et al. 1974). Others have shown that significant conduction/convection and evaporation can occur in the absence of wind (e.g. Brady Graves and Geyer 1969, Harbeck 1962, Ryan and Harleman 1971, Helfrich et al. 1982, and

Adams et al. 1987). This latter opinion has gained favor (Edinger et al. 1974), especially for waterbodies that exhibit water temperatures that are greater than the air temperature.

Brady, Graves, and Geyer (1969) pointed out that if the water surface temperature is warmer than the air temperature, then "the air adjacent to the water surface would tend to become both warmer and more moist than that above it, thereby (due to both of these factors) becoming less dense. The resulting vertical convective air currents ... might be expected to achieve much higher rates of heat and mass transfer from the water surface [even in the absence of wind] than would be possible by molecular diffusion alone" (Edinger et al. 1974). Water temperatures in natural waterbodies are frequently greater than the air temperature, especially at night.

Edinger et al. (1974) recommend that the relationship that was proposed by Brady, Graves and Geyer (1969) based on data from cooling ponds, could be representative of most environmental conditions. Shanahan (1984) recommends that the Lake Hefner equation (Marciano and Harbeck, 1952) is appropriate for natural waters in which the water temperature is less than the air temperature. Shanahan also recommends that the Ryan and Harleman (1971) equation as recalibrated by Helfrich et al. (1982) is best suited for waterbodies that experience water temperatures that are greater than the air temperature. Adams et al. (1987) revisited the Ryan and Harleman and Helfrich et al. models and proposed another recalibration using additional data for waterbodies that exhibit water temperatures that are greater than the air temperature.

Three options are available on the Light and Heat Worksheet in QUAL2K to calculate  $f(U_w)$ :

#### 1) Brady, Graves, and Geyer (default)

$$f(U_w) = 19.0 + 0.95U_w^2$$

where  $U_w$  = wind speed at a height of 7 m [m/s].

#### 2) Adams 1

Adams et al. (1987) updated the work of Ryan and Harleman (1971) and Helfrich et al. (1982) to derive an empirical model of the wind speed function for heated waters that accounts for the enhancement of convection currents when the virtual temperature difference between the water and air ( $\Delta \theta_v$  in degrees F) is greater than zero. Two wind functions reported by Adams et al., also known as the East Mesa method, are implemented in QUAL2K (wind speed in these equations is at a height of 2m).

This formulation uses an empirical function to estimate the effect of convection currents caused by virtual temperature differences between water and air, and the Harbeck (1962) equation is used to represent the contribution to conduction/convection and evaporation that is not due to convection currents caused by high virtual water temperature.

$$f(U_w) = 0.271 \sqrt{(22.4\Delta\theta_v^{1/3})^2 + (24.2A_{acres,i}^{-0.05}U_{w,mph})^2}$$

where  $U_{w,mph}$  is wind speed in mph and  $A_{acres,i}$  is surface area of element i in acres. The constant 0.271 converts the original units of BTU ft<sup>-2</sup> day<sup>-1</sup> mmHg<sup>-1</sup> to cal cm<sup>-2</sup> day<sup>-1</sup> mmHg<sup>-1</sup>.

#### 3) Adams 2

This formulation uses an empirical function of virtual temperature differences with the Marciano and Harbeck (1952) equation for the contribution to conduction/convection and evaporation that is not due to the high virtual water temperature

$$f(U_w) = 0.271 \sqrt{(22.4\Delta\theta_v^{1/3})^2 + (17U_{w,mph})^2}$$

Virtual temperature is defined as the temperature of dry air that has the same density as air under the in situ conditions of humidity. The virtual temperature difference between the water and air ( $\Delta \theta_v$  in °F) accounts for the buoyancy of the moist air above a heated water surface. The virtual temperature difference is estimated from water temperature ( $T_{wf}$  in °F), air temperature ( $T_{air,f}$  in °F), vapor pressure of water and air ( $e_s$  and  $e_{air}$  in mmHg), and the atmospheric pressure ( $p_{atm}$  is estimated as standard atmospheric pressure of 760 mmHg in QUAL2K):

$$\Delta\theta_{v} = \left(\frac{T_{w,f} + 460}{1 + 0.378e_{s} / p_{atm}} - 460\right) - \left(\frac{T_{air,f} + 460}{1 + 0.378e_{air} / p_{atm}} - 460\right)$$
(47)

The height of wind speed measurements is also an important consideration for estimating conduction/convection and evaporation. QUAL2K internally adjusts the wind speed to the correct height for the wind function that is selected on the **Light and Heat Worksheet**. The input values for wind speed on the **Wind Speed Worksheet** in QUAL2K are assumed to be representative of conditions at a height of 7 meters above the water surface. To convert wind speed measurements  $(U_{w,z} \text{ in m/s})$  taken at any height ( $z_w$  in meters) to the equivalent conditions at a height of z = 7 m for input to the **Wind Speed Worksheet** of QUAL2K, the exponential wind law equation may be used (TVA, 1972):

$$U_{w} = U_{wz} \left(\frac{z}{z_{w}}\right)^{0.15}$$
(48)

For example, if wind speed data were collected from a height of 2 m, then the wind speed at 7 m for input to the **Wind Speed Worksheet** of QUAL2K would be estimated by multiplying the measured wind speed by a factor of 1.2.

#### 4.1.5 Evaporation and Condensation

The heat loss due to evaporation can be represented by Dalton's law,

$$J_e = f(U_w)(e_s - e_{air}) \tag{49}$$

where  $e_s$  = the saturation vapor pressure at the water surface [mmHg], and  $e_{air}$  = the air vapor pressure [mmHg]. The saturation vapor pressure is computed as

$$e_{air} = 4.596e^{\frac{17.27T}{237.3+T}}$$
(50)

#### 4.2 Sediment-Water Heat Transfer

A heat balance for bottom sediment underlying a water element *i* can be written as

$$\frac{dT_{s,i}}{dt} = -\frac{J_{s,i}}{\rho_s C_{ps} H_{sed,i}}$$
(51)

where  $T_{s,i}$  = the temperature of the bottom sediment below element *i* [°C],  $J_{s,i}$  = the sedimentwater heat flux [cal/(cm<sup>2</sup> d)],  $\rho_s$  = the density of the sediments [g/cm<sup>3</sup>],  $C_{ps}$  = the specific heat of the sediments [cal/(g °C)], and  $H_{sed,i}$  = the effective thickness of the sediment layer [cm].

The flux from the sediments to the water can be computed as

$$J_{s,i} = \rho_s C_{ps} \frac{\alpha_s}{H_{sed,i}/2} (T_{si} - T_i) \times \frac{86,400 \,\mathrm{s}}{\mathrm{d}}$$
(52)

where  $\alpha_s$  = the sediment thermal diffusivity [cm<sup>2</sup>/s].

The thermal properties of some natural sediments along with its components are summarized in Table 4. Note that soft, gelatinous sediments found in the deposition zones of lakes are very porous and approach the values for water. Some very slow, impounded rivers may approach such a state. However, rivers tend to have coarser sediments with significant fractions of sands, gravels and stones. Upland streams can have bottoms that are dominated by boulders and rock substrates.

## Table 4 Thermal properties for natural sediments and the materials that comprise natural sediments.

Table 4. Thermal properties of various materials

Type of material	thermal (	conductivity	thermal o	liffusivity	ρ	$C_p$	Р Ср	reference
	w/m/°C	cal/s/cm/°C	m²/s	cm²/s	g/cm3	cal/(g °C)	cal/(cm^3 °C)	
Sediment samples					•	,		
Mud Flat	1.82	0.0044	4.80E-07	0.0048			0.906	(1)
Sand	2.50	0.0060	7.90E-07	0.0079			0.757	
Mud Sand	1.80	0.0043	5.10E-07	0.0051			0.844	
Mud	1.70	0.0041	4.50E-07	0.0045			0.903	
Wet Sand	1.67	0.0040	7.00E-07	0.0070			0.570	(2)
Sand 23% saturation with water	1.82	0.0044	1.26E-06	0.0126			0.345	(3)
Wet Peat	0.36	0.0009	1.20E-07	0.0012			0.717	(2)
Rock	1.76	0.0042	1.18E-06	0.0118			0.357	(4)
Loam 75% saturation with water	1.78	0.0043	6.00E-07	0.0060			0.709	(3)
Lake, gelatinous sediments	0.46	0.0011	2.00E-07	0.0020			0.550	(5)
Concrete canal	1.55	0.0037	8.00E-07	0.0080	2.200	0.210	0.460	
Average of sediment samples:	1.57	0.0037	6.45E-07	0.0064			0.647	
Miscellaneous measurements:								
Lake, shoreline	0.59	0.0014						(5)
Lake soft sediments			3.25E-07	0.0033				
Lake, with sand			4.00E-07	0.0040				
River, sand bed			7.70E-07	0.0077				
Component materials:	0.50	0.0014	4 40 5 07	0.0014	4 000		4 000	
Water	0.59	0.0014	1.40E-07	0.0014	1.000	0.999	1.000	(6) "
Clay	1.30	0.0031	9.80E-07	0.0098	1.490	0.210	0.310	
Soil, dry	1.09	0.0026	3.70E-07	0.0037	1.500	0.465	0.700	
Sand	0.59	0.0014	4.70E-07	0.0047	1.520	0.190	0.290	
Soil, wet	1.80	0.0043	4.50E-07	0.0045	1.810	0.525	0.950	
Granite	2.89	0.0069	1.27E-06	0.0127	2.700	0.202	0.540	"
Average of composite materials:	1.37	0.0033	6.13E-07	0.0061	1.670	0.432	0.632	

(1) Andrews and Rodvey (1980)

(2) Geiger (1965)

(3) Nakshabandi and Kohnke (1965)

(4) Chow (1964) and Carslaw and Jaeger (1959)

(5) Hutchinson 1957, Jobson 1977, and Likens and Johnson 1969

(6) Cengel, Grigull, Mills, Bejan, Kreith and Bohn

Inspection of the component properties of Table 4 suggests that the presence of solid material in stream sediments leads to a higher coefficient of thermal diffusivity than that for water or porous lake sediments. In Q2K, we suggest a default value of  $0.005 \text{ cm}^2/\text{s}$  for this quantity.

In addition, specific heat tends to decrease with density. Thus, the product of these two quantities tends to be more constant than the multiplicands. Nevertheless, it appears that the presence of solid material in stream sediments leads to a lower product than that for water or gelatinous lake sediments. In Q2K, we suggest default values of  $\rho_s = 1.6$  g/cm<sup>3</sup> and  $C_{ps} = 0.4$  cal/(g °C). This corresponds to a product of 0.64 cal/(cm<sup>3</sup> °C) for this quantity. Finally, as derived in Appendix C, the sediment thickness is set by default to 10 cm in order to capture the effect of the sediments on the diel heat budget for the water.

## **5 CONSTITUENT MODEL**

#### 5.1 Constituents and General Mass Balance

The model constituents are listed in Table 5.

Variable	Symbol	Units*
Conductivity	S	μmhos
Inorganic suspended solids	$m_i$	mgD/L
Dissolved oxygen	0	mgO <sub>2</sub> /L
Slowly reacting CBOD	$c_s$	mgO <sub>2</sub> /L
Fast reacting CBOD	$c_f$	mgO <sub>2</sub> /L
Organic nitrogen	n <sub>o</sub>	μgN/L
Ammonia nitrogen	$n_a$	μgN/L
Nitrate nitrogen	<i>n</i> <sub>n</sub>	μgN/L
Organic phosphorus	p <sub>o</sub>	μgP/L
Inorganic phosphorus	<i>pi</i>	μgP/L
Phytoplankton	$a_p$	μgA/L
Phytoplankton nitrogen	$IN_p$	μgN/L
Phytoplankton phosphorus	$IP_p$	μgP/L
Detritus	mo	mgD/L
Pathogen	X	cfu/100 mL
Alkalinity	Alk	mgCaCO₃/L
Total inorganic carbon	$c_T$	mole/L
Bottom algae biomass	$a_b$	mgA/m <sup>2</sup>
Bottom algae nitrogen	IN <sub>b</sub>	mgN/m <sup>2</sup>
Bottom algae phosphorus	IP <sub>b</sub>	mgP/m <sup>2</sup>
Constituent i		
Constituent ii		
Constituent iii		

#### Table 5 Model state variables

\*  $mg/L = g/m^3$ ; In addition, the terms D, C, N, P, and A refer to dry weight, carbon, nitrogen, phosphorus, and chlorophyll *a*, respectively. The term cfu stands for colony forming unit which is a measure of viable bacterial numbers.

For all but the bottom algae variables, a general mass balance for a constituent in an element is written as (Figure 17)

$$\frac{dc_i}{dt} = \frac{Q_{i-1}}{V_i} c_{i-1} - \frac{Q_i}{V_i} c_i - \frac{Q_{out,i}}{V_i} c_i + \frac{E'_{i-1}}{V_i} (c_{i-1} - c_i) + \frac{E'_i}{V_i} (c_{i+1} - c_i) + \frac{W_i}{V_i} + S_i$$
(53)

where  $W_i$  = the external loading of the constituent to element *i* [g/d or mg/d], and  $S_i$  = sources and sinks of the constituent due to reactions and mass transfer mechanisms [g/m<sup>3</sup>/d or mg/m<sup>3</sup>/d].



Figure 17 Mass balance.

The external load is computed as (recall Eq. 2),

$$W_{i} = \sum_{j=1}^{psi} Q_{ps,i,j} c_{psi,j} + \sum_{j=1}^{npsi} Q_{nps,i,j} c_{npsi,j}$$
(54)

where  $c_{ps,ij}$  is the *j*th point source concentration for element *i* [mg/L or  $\mu$ g/L], and  $c_{nps,ij}$  is the *j*th non-point source concentration for element *i* [mg/L or  $\mu$ g/L].

For bottom algae, the transport and loading terms are omitted,

$$\frac{da_{b,i}}{dt} = S_{b,i} \tag{55}$$

$$\frac{dIN_b}{dt} = S_{bN,i}$$
(56)

$$\frac{dIP_b}{dt} = S_{bP,i}$$
(57)

where  $S_{b,i}$  = sources and sinks of bottom algae biomass due to reactions [mgA/m<sup>2</sup>/d],  $S_{bN,i}$  = sources and sinks of bottom algae nitrogen due to reactions [mgN/m<sup>2</sup>/d], and  $S_{bP,i}$  = sources and sinks of bottom algae phosphorus due to reactions [mgP/m<sup>2</sup>/d].

The sources and sinks for the state variables are depicted in Figure 18 (note that the internal levels of nitrogen and phosphorus in the bottom algae are not depicted). The mathematical representations of these processes are presented in the following sections.



Figure 18 Model kinetics and mass transfer processes. The state variables are defined in Table 5. Kinetic processes are dissolution (ds), hydrolysis (h), oxidation (ox), nitrification (n), denitrification (dn), photosynthesis (p), respiration (r), excretion (e), death (d), respiration/excretion (rx). Mass transfer processes are reaeration (re), settling (s), sediment oxygen demand (SOD), sediment exchange (se), and sediment inorganic carbon flux (cf).

#### 5.2 Reaction Fundamentals

#### 5.2.1 Biochemical Reactions

The following chemical equations are used to represent the major biochemical reactions that take place in the model (Stumm and Morgan 1996):

#### Plant Photosynthesis and Respiration:

Ammonium as substrate:

$$106CO_{2} + 16NH_{4}^{+} + HPO_{4}^{2-} + 106H_{2}O_{\frac{P}{R}} C_{106}H_{263}O_{110}N_{16}P_{1} + 106O_{2} + 14H^{+}$$
(58)

Nitrate as substrate:

$$106CO_{2} + 16NO_{3}^{-} + HPO_{4}^{2-} + 122H_{2}O + 18H^{+} \xrightarrow{P}_{R} C_{106}H_{263}O_{110}N_{16}P_{1} + 138O_{2}$$
(59)

Nitrification:

$$NH_4^+ + 2O_2 \rightarrow NO_3^- + H_2O + 2H^+$$
 (60)

#### **Denitrification:**

$$5CH_2O + 4NO_3^- + 4H^+ \rightarrow 5CO_2 + 2N_2 + 7H_2O$$
 (61)

Note that a number of additional reactions are used in the model such as those involved with simulating pH and unionized ammonia. These will be outlined when these topics are discussed later in this document.

#### 5.2.2 Stoichiometry of Organic Matter

The model requires that the stoichiometry of organic matter (i.e., phytoplankton and detritus) be specified by the user. The following representation is suggested as a first approximation (Redfield et al. 1963, Chapra 1997),

$$100 \text{ gD}: 40 \text{ gC}: 7200 \text{ mgN}: 1000 \text{ mgP}: 1000 \text{ mgA}$$
 (62)

where gX = mass of element X [g] and mgY = mass of element Y [mg]. The terms D, C, N, P, and A refer to dry weight, carbon, nitrogen, phosphorus, and chlorophyll *a*, respectively. It should be noted that chlorophyll *a* is the most variable of these quantities with a range of approximately 500-2000 mgA (Laws and Chalup 1990, Chapra 1997).

These values are then combined to determine stoichiometric ratios as in

$$r_{xy} = \frac{gX}{gY}$$
(63)

For example, the amount of detritus (in grams dry weight or gD) that is released due to the death of a unit amount of phytoplankton (in milligrams of chlorophyll *a* or mgA) can be computed as

$$r_{da} = \frac{100 \text{ gD}}{1000 \text{ mgA}} = 0.1 \frac{\text{gD}}{\text{mgA}}$$

#### 5.2.2.1 Oxygen Generation and Consumption

The model requires that the rates of oxygen generation and consumption be prescribed. If ammonia is the substrate, the following ratio (based on Eq. 58) can be used to determine the grams of oxygen generated for each gram of plant matter that is produced through photosynthesis.

$$r_{oca} = \frac{106 \text{ moleO}_2(32 \text{ gO}_2/\text{moleO}_2)}{106 \text{ moleC}(12 \text{ gC}/\text{moleC})} = 2.67 \frac{\text{gO}_2}{\text{gC}}$$
(64)

If nitrate is the substrate, the following ratio (based on Eq. 59) applies

$$r_{ocn} = \frac{138 \text{ moleO}_2(32 \text{ gO}_2/\text{moleO}_2)}{106 \text{ moleC}(12 \text{ gC/moleC})} = 3.47 \frac{\text{gO}_2}{\text{gC}}$$
(65)

Note that Eq. (58) is also used for the stoichiometry of the amount of oxygen consumed for plant respiration.

For nitrification, the following ratio is based on Eq. (60)

$$r_{on} = \frac{2 \text{ moleO}_2(32 \text{ gO}_2/\text{moleO}_2)}{1 \text{ moleN}(14 \text{ gN/moleN})} = 4.57 \frac{\text{gO}_2}{\text{gN}}$$
(66)

#### 5.2.2.2 CBOD Utilization Due to Denitrification

As represented by Eq. (61), CBOD is utilized during denitrification,

$$r_{ondn} = 2.67 \frac{\text{gO}_2}{\text{gC}} \frac{5 \text{ moleC} \times 12 \text{ gC/moleC}}{4 \text{ moleN} \times 14 \text{ gN/moleN}} \times \frac{1 \text{ gN}}{1000 \text{ mgN}} = 0.00286 \frac{\text{gO}_2}{\text{mgN}}$$
(67)

#### 5.2.3 Temperature Effects on Reactions

The temperature effect for all first-order reactions used in the model is represented by

$$k(T) = k(20)\theta^{T-20}$$
(68)

where k(T) = the reaction rate [/d] at temperature T [°C] and  $\theta$  = the temperature coefficient for the reaction.

#### 5.3 Composite Variables

In addition to the model's state variables, Q2K also displays several composite variables that are computed as follows:

Total Organic Carbon (mgC/L):

$$TOC = \frac{c_s + c_f}{r_{oc}} + r_{ca}a_p + r_{cd}m_o$$
(69)

Total Nitrogen (µgN/L):

 $TN = n_o + n_a + n_n + IN_p \tag{70}$ 

Total Phosphorus (µgP/L):

$$TP = p_o + p_i + IP_p \tag{71}$$

Total Kjeldahl Nitrogen (µgN/L):

$$TKN = n_o + n_a + IN_p \tag{72}$$

Total Suspended Solids (mgD/L):

$$TSS = r_{da}a_p + m_o + m_i \tag{73}$$

Ultimate Carbonaceous BOD (mgO<sub>2</sub>/L):

$$CBOD_u = c_s + c_f + r_{oc}r_{ca}a_p + r_{oc}r_{cd}m_o$$
(74)

#### 5.4 Relationship of Model Variables and Data

For all but slow and fast CBOD ( $c_f$  and  $c_s$ ), there exists a relatively straightforward relationship between the model state variables and standard water-quality measurements. These are outlined next. Then we discuss issues related to the more difficult problem of measuring CBOD.

#### 5.4.1 Non-CBOD Variables and Data

The following are measurements that are needed for comparison of non-BOD variables with model output:

 $TEMP = temperature (^{\circ}C)$ TKN = total kjeldahl nitrogen ( $\mu$ gN/L) or TN = total nitrogen ( $\mu$ gN/L)  $NH4 = ammonium nitrogen (\mu gN/L)$  $NO2 = nitrite nitrogen (\mu g N/L)$  $NO3 = nitrate nitrogen (\mu gN/L)$ CHLA = chlorophyll a (µgA/L)  $TP = total phosphorus (\mu gP/L)$ SRP = soluble reactive phosphorus ( $\mu gP/L$ ) TSS = total suspended solids (mgD/L)VSS = volatile suspended solids (mgD/L) TOC = total organic carbon (mgC/L)DOC = dissolved organic carbon (mgC/L) $DO = dissolved oxygen (mgO_2/L)$ PH = pH $ALK = alkalinity (mgCaCO_3/L)$  $COND = specific conductance (\mu mhos)$ 

The model state variables can then be related to these measurements as follows:

```
s = \text{COND}

m_i = \text{TSS} - \text{VSS or TSS} - r_{dc} (\text{TOC} - \text{DOC})

o = \text{DO}

n_o = \text{TKN} - \text{NH4} - r_{na} \text{ CHLA} or n_o = \text{TN} - \text{NO2} - \text{NO3} - \text{NH4} - r_{na} \text{ CHLA}

n_a = \text{NH4}

n_n = \text{NO2} + \text{NO3}
```
$$p_o = TP - SRP - r_{pa} CHLA$$
  
 $p_i = SRP$   
 $a_p = CHLA$   
 $m_o = VSS - r_{da} CHLA \text{ or } r_{dc} (TOC - DOC) - r_{da} CHLA$   
 $pH = PH$   
 $Alk = ALK$ 

# 5.4.2 Carbonaceous BOD

The interpretation of BOD measurements in natural waters is complicated by three primary factors:

- Filtered versus unfiltered. If the sample is unfiltered, the BOD will reflect oxidation of both dissolved and particulate organic carbon. Since Q2K distinguishes between dissolved ( $c_s$  and  $c_f$ ) and particulate ( $m_o$  and  $a_p$ ) organics, an unfiltered measurement alone does not provide an adequate basis for distinguishing these individual forms. In addition, one component of the particulate BOD, phytoplankton ( $a_p$ ) can further complicate the test through photosynthetic oxygen generation.
- Nitrogenous BOD. Along with the oxidation of organic carbon (CBOD), nitrification also contributes to oxygen depletion (NBOD). Thus, if the sample (a) contains reduced nitrogen and (b) nitrification is not inhibited, the measurement includes both types of BOD.
- Incubation time. Short-term, usually 5-day, BODs are typically performed. Because Q2K uses ultimate CBOD, 5-day BODs must be converted to ultimate BODs in a sensible fashion.

We suggest the following as practical ways to measure CBOD in a manner that accounts for the above factors and results in measurements that are compatible with Q2K.

**Filtration.** The sample should be filtered prior to incubation in order to separate dissolved from particulate organic carbon.

**Nitrification inhibition.** Nitrification can be suppressed by adding a chemical inhibiting agent such as TCMP (2-chloro-6-(trichloro methyl) pyridine. The measurement then truly reflects CBOD. In the event that inhibition is not possible, the measured value can be corrected for nitrogen by subtracting the oxygen equivalents of the reduced nitrogen (=  $r_{on} \times \text{TKN}$ ) in the sample. However, as with all such difference-based adjustments, this correction may exhibit substantial error.

**Incubation time.** The model is based on ultimate CBOD, so two approaches are possible: (1) use a sufficiently long period so that the ultimate value is measured, or (2) use a 5-day measurement and extrapolate the result to the ultimate. The latter method is often computed with the formula

$$CBODFNU = \frac{CBODFN5}{1 - e^{-k_1 5}}$$
(75)

where CBODFNU<sup>2</sup> = the ultimate dissolved carbonaceous BOD [mgO<sub>2</sub>/L], CBODFN5 = the 5day dissolved carbonaceous BOD [mgO<sub>2</sub>/L], and  $k_1$  = the CBOD decomposition rate in the bottle [/d].

It should be noted that, besides practical considerations of time and expense, there may be other benefits from using the 5-day measurement with extrapolation, rather that performing the longer-term CBOD. Although extrapolation does introduce some error, the 5-day value has the advantage that it would tend to minimize possible nitrification effects which, even when inhibited, can begin to be exerted on longer time frames.

If all the above provisions are implemented, the result should correspond to the model variables by

 $c_f + c_s = \text{CBODFNU}$ 

**Slow versus Fast CBOD.** The final question relates to discrimination between fast and slow CBODU. Although we believe that there is currently no single, simple, economically-feasible answer to this problem, we think that the following 2 strategies represent the best current alternatives.

Option 1: Represent all the dissolved, oxidizable organic carbon with a single pool (fast CBOD). The model includes parameters to bypass slow CBOD. If no slow CBOD inputs are entered, this effectively drops it from the model. For this case,

 $c_f = CBODFNU$ 

 $c_{s} = 0$ 

Option 2: Use an ultimate CBOD measurement for the fast fraction and compute slow CBOD by difference with a DOC measurement. For this case,

 $c_f = \text{CBODFNU}$ 

 $c_s = r_{oc} \text{DOC} - \text{CBODFNU}$ 

Option 2 works very nicely for systems where two distinct types of CBOD are present. For example, sewage effluent and autochthonous carbon from the aquatic food chain might be considered as fast CBOD. In contrast, industrial wastewaters such as pulp and paper mill effluent or allochthonous DOC from the watershed might be considered more recalcitrant and hence could be lumped into the slow CBOD fraction. In such case, the hydrolysis rate converting the slow into the fast fraction could be set to zero to make the two forms independent.

For both options, the CBODFNU can either be (a) measured directly using a long incubation time or (b) computed by extrapolation with Eq. 75. In both situations, a time frame of several weeks to a month (i.e., a 20- to 30-day CBOD) is probably a valid period in order to oxidize most

<sup>&</sup>lt;sup>2</sup> The nomenclature FNU stands for Filtration, Nitrification inhibition and Ultimate

of the readily degradable organic carbon. We base this assumption on the fact that bottle rates for sewage-derived organic carbon are on the order of 0.05 to 0.3/d (Chapra 1997). As in Figure 19, such rates suggest that much of the readily oxidizable CBOD will be exerted in about 20 to 30 days.



#### Figure 19 Progression of CBOD test for various levels of the bottle decomposition rate.

In addition, we believe that practitioners should consider conducting long-term CBOD tests at 30°C rather than at the commonly employed temperature of 20°C. The choice of 20°C originated from the fact that the average daily temperature of most receiving waters and wastewater treatment plants in the temperate zone in summer is approximately 20°C.

If the CBOD measurement is intended to be used for regulation or to assess treatment plant performance, it makes sense to standardize the test at a particular temperature. And for such purposes, 20°C is as reasonable a choice as any. However, if the intent is to measure an ultimate CBOD, anything that speeds up the process while not jeopardizing the measurement's integrity would seem beneficial.

The saprophytic bacteria that break down nonliving organic carbon in natural waters and sewage thrive best at temperatures from 20°C to 40°C. Thus, a temperature of 30°C is not high enough that the bacterial assemblage would shift to thermophilic organisms that are atypical of natural waters and sewage. The benefit should be higher oxidation rates which would result in shorter analysis times for CBOD measurements. Assuming that a  $Q_{10} \cong 2$  is a valid approximation for bacterial decomposition, a 20-day BOD at 30°C should be equivalent to a 30-day BOD at 20°C.

# 5.5 Constituent Reactions

The mathematical relationships that describe the individual reactions and concentrations of the model state variables (Table 5) are presented in the following paragraphs.

# 5.5.1 Conservative Substance (s)

By definition, conservative substances are not subject to reactions:

$$S_s = 0 \tag{76}$$

#### 5.5.2 Phytoplankton $(a_p)$

Phytoplankton increase due to photosynthesis. They are lost via respiration, death, and settling

$$S_{ap} = PhytoPhoto - PhytoResp - PhytoDeath - PhytoSettl (77)$$

#### 5.5.2.1 Photosynthesis

Phytoplankton photosynthesis is computed as

$$PhytoPhoto = \mu_p a_p \tag{78}$$

where  $\mu_p$  = phytoplankton photosynthesis rate [/d] is a function of temperature, nutrients, and light,

$$\mu_p = k_{gp}(T)\phi_{Np}\phi_{Lp} \tag{79}$$

where  $k_{gp}(T)$  = the maximum photosynthesis rate at temperature T [/d],  $\phi_{Np}$  = phytoplankton nutrient attenuation factor [dimensionless number between 0 and 1], and  $\phi_{Lp}$  = the phytoplankton light attenuation coefficient [dimensionless number between 0 and 1].

**Nutrient Limitation.** The nutrient limitation due to inorganic carbon is represented by a Michaelis-Menten. In contrast, for nitrogen and phosphorus, the photosynthesis rate depends on intracellular nutrient levels using a formulation originally developed by Droop (1974). The minimum value is then employed to compute the nutrient attenuation factor,

$$\phi_{Np} = \min\left[1 - \frac{q_{0Np}}{q_{Np}}, 1 - \frac{q_{0Pp}}{q_{Pp}}, \frac{[\text{H}_2\text{CO}_3^*] + [\text{HCO}_3^-]}{k_{sCp} + [\text{H}_2\text{CO}_3^*] + [\text{HCO}_3^-]}\right]$$
(80)

where  $q_{Np}$  and  $q_{Pp}$  = the phytoplankton cell quotas of nitrogen [mgN mgA<sup>-1</sup>] and phosphorus [mgP mgA<sup>-1</sup>], respectively,  $q_{0Np}$  and  $q_{0Pp}$  = the minimum phytoplankton cell quotas of nitrogen [mgN mgA<sup>-1</sup>] and phosphorus [mgP mgA<sup>-1</sup>], respectively,  $k_{sCp}$  = inorganic carbon half-saturation constant for phytoplankton [mole/L], [H<sub>2</sub>CO<sub>3</sub><sup>\*</sup>] = dissolved carbon dioxide concentration [mole/L], and [HCO<sub>3</sub><sup>-</sup>] = bicarbonate concentration [mole/L]. The minimum cell quotas are the levels of intracellular nutrient at which growth ceases. Note that the nutrient limitation terms cannot be negative. That is, if  $q < q_0$ , the limitation term is set to 0.

The cell quotas represent the ratios of the intracellular nutrient to the phytoplankton biomass,

$$q_{Np} = \frac{IN_p}{a_p} \tag{81}$$

$$q_{Pp} = \frac{IP_p}{a_p} \tag{82}$$

where  $IN_p$  = phytoplankton intracellular nitrogen concentration [µgN/L] and  $IP_p$  = phytoplankton intracellular phosphorus concentration [µgP/L].

**Light Limitation.** It is assumed that light attenuation through the water follows the Beer-Lambert law,

$$PAR(z) = PAR(0)e^{-k_e z}$$
(83)

where PAR(z) = photosynthetically available radiation (PAR) at depth z below the water surface [ly/d]<sup>3</sup>, and  $k_e =$  the light extinction coefficient [m<sup>-1</sup>]. The PAR at the water surface is assumed to be a fixed fraction of the solar radiation (Szeicz 1984, Baker and Frouin 1987):

PAR(0) = 0.47 I(0)

The extinction coefficient is related to model variables by

$$k_e = k_{eb} + \alpha_i m_i + \alpha_o m_o + \alpha_p a_p + \alpha_{pn} a_p^{2/3}$$
(84)

where  $k_{eb}$  = the background coefficient accounting for extinction due to water and color [/m],  $\alpha_i$ ,  $\alpha_o$ ,  $\alpha_p$ , and  $\alpha_{pn}$ , are constants accounting for the impacts of inorganic suspended solids [L/mgD/m], particulate organic matter [L/mgD/m], and chlorophyll [L/µgA/m and (L/µgA)<sup>2/3</sup>/m], respectively. Suggested values for these coefficients are listed in Table 6.

Symbol	Value	Reference
$\alpha_i$	0.052	Di Toro (1978)
$\alpha_o$	0.174	Di Toro (1978)
$\alpha_p$	0.0088	Riley (1956)
$\alpha_{pn}$	0.054	Riley (1956)

Table 6 Suggested values for light extinction coefficients

Three models are used to characterize the impact of light on phytoplankton photosynthesis (Figure 20):



<sup>&</sup>lt;sup>3</sup> ly/d = langley per day. A langley is equal to a calorie per square centimeter. Note that a ly/d is related to the  $\mu E/m^2/d$  by the following approximation: 1  $\mu E/m^2/s \approx 0.45$  Langley/day (LIC-OR, Lincoln, NE).

# Figure 20 The three models used for phytoplankton and bottom algae photosynthetic light dependence. The plot shows growth attenuation versus PAR intensity [ly/d].

Half-Saturation (Michaelis-Menten) Light Model (Baly 1935):

$$F_{Lp} = \frac{I(z)}{K_{Lp} + I(z)}$$
(85)

where  $F_{Lp}$  = phytoplankton growth attenuation due to light and  $K_{Lp}$  = the phytoplankton light parameter. In the case of the half-saturation model, the light parameter is a half-saturation coefficient [ly/d]. This function can be combined with the Beer-Lambert law and integrated over water depth, H [m], to yield the phytoplankton light attenuation coefficient

$$\phi_{Lp} = \frac{1}{k_e H} \ln \left( \frac{K_{Lp} + I(0)}{K_{Lp} + I(0)e^{-k_e H}} \right)$$
(86)

Smith's Function (Smith 1936):

$$F_{Lp} = \frac{I(z)}{\sqrt{K_{Lp}^2 + I(z)^2}}$$
(87)

where  $K_{Lp}$  = the Smith parameter for phytoplankton [ly/d]; that is, the PAR at which growth is 70.7% of the maximum. This function can be combined with the Beer-Lambert law and integrated over water depth to yield

$$\phi_{Lp} = \frac{1}{k_e H} \ln \left( \frac{I(0) / K_{Lp} + \sqrt{1 + (I(0) / K_{Lp})^2}}{(I(0) / K_{Lp}) e^{-k_e H} + \sqrt{1 + (I(0) / K_{Lp}) e^{-k_e H}}} \right)$$
(88)

Steele's Equation (Steele 1962):

$$F_{Lp} = \frac{I(z)}{K_{Lp}} e^{1 - \frac{I(z)}{K_{Lp}}}$$
(89)

where  $K_{Lp}$  = the PAR at which phytoplankton growth is optimal [ly/d]. This function can be combined with the Beer-Lambert law and integrated over water depth to yield

$$\phi_{Lp} = \frac{2.718282}{k_e H} \left( e^{-\frac{I(0)}{K_{Lp}} e^{-k_e H}} - e^{-\frac{I(0)}{K_{Lp}}} \right)$$
(90)

#### 5.5.2.2 Losses

**Respiration.** Phytoplankton respiration is represented as a first-order rate that is attenuated at low oxygen concentration,

$$PhytoResp = F_{oxp}k_{rp}(T)a_p$$
(91)

where  $k_{rp}(T)$  = temperature-dependent phytoplankton respiration/excretion rate [/d] and  $F_{oxp}$  = attenuation due to low oxygen [dimensionless]. Oxygen attenuation is modeled by Eqs. (127) to (129) with the oxygen dependency represented by the parameter  $K_{sop}$ .

Death. Phytoplankton death is represented as a first-order rate,

$$PhytoDeath = k_{dp}(T)a_p$$
(92)

where  $k_{dp}(T)$  = temperature-dependent phytoplankton death rate [/d].

Settling. Phytoplankton settling is represented as

$$PhytoSettl = \frac{v_a}{H} a_p$$
(93)

where  $v_a$  = phytoplankton settling velocity [m/d].

#### 5.5.3 Phytoplankton Internal Nitrogen (IN<sub>b</sub>)

The change in intracellular nitrogen in phytoplankton cells is calculated from

$$S_{pN} = PhytoUpN - q_{Np}PhytoDeath - PhytoExN$$
 (94)

where PhytoUpN = the uptake rate of nitrogen by phytoplankton ( $\mu$ gN/L/d), PhytoDeath = phytoplankton death ( $\mu$ gN/L/d), and PhytoExN = the phytoplankton excretion of nitrogen ( $\mu$ gN/L/d), which is computed as

$$PhytoExN = q_{Np}k_{ep}(T)a_p$$
(95)

where  $k_{ep}(T)$  = the temperature-dependent phytoplankton excretion rate [/d].

The N uptake rate depends on both external and intracellular nutrients as in (Rhee 1973),

PhytoUpN = 
$$\rho_{mNp} \frac{n_a + n_n}{k_{sNp} + n_a + n_n} \frac{K_{qNp}}{K_{qNp} + (q_{Np} - q_{0Np})} a_p$$
 (96)

where  $\rho_{mNp}$  = the maximum uptake rate for nitrogen [mgN/mgA/d],  $k_{sNp}$  = half-saturation constant for external nitrogen [µgN/L] and  $K_{qNp}$  = half-saturation constant for intracellular nitrogen [mgN mgA<sup>-1</sup>].

# 5.5.4 Phytoplankton Internal Phosphorus (IP<sub>b</sub>)

The change in intracellular phosphorus in phytoplankton cells is calculated from

where PhytoUpP = the uptake rate of phosphorus by phytoplankton ( $\mu$ gP/L/d), PhytoDeath = phytoplankton death ( $\mu$ gP/L/d), and PhytoExP = the phytoplankton excretion of phosphorus ( $\mu$ gP/L/d), which is computed as

$$PhytoExP = q_{Pp}k_{ep}(T)a_p$$
(98)

where  $k_{ep}(T)$  = the temperature-dependent phytoplankton excretion rate [/d].

The P uptake rate depends on both external and intracellular nutrients as in (Rhee 1973),

PhytoUpP = 
$$\rho_{mPp} \frac{p_i}{k_{sPp} + p_i} \frac{K_{qPp}}{K_{qPp} + (q_{Pp} - q_{0Pp})} a_p$$
 (99)

where  $\rho_{mPp}$  = the maximum uptake rate for phosphorus [mgP/mgA/d],  $k_{sPp}$  = half-saturation constant for external phosphorus [µgP/L] and  $K_{qPp}$  = half-saturation constant for intracellular phosphorus [mgP mgA<sup>-1</sup>].

#### 5.5.5 Bottom algae $(a_b)$

Bottom algae increase due to photosynthesis. They are lost via respiration and death.

$$S_{ab} = \text{BotAlgPhoto} - \text{BotAlgResp} - \text{BotAlgDeath}$$
 (100)

#### 5.5.5.1 Photosynthesis

Two representations can be used to model bottom algae photosynthesis. The first is based on a temperature-corrected zero-order rate attenuated by nutrient and light limitation (McIntyre 1973, Rutherford et al. 1999),

BotAlgPhoto = 
$$C_{gb}(T)\phi_{Nb}\phi_{Lb}$$
 (101)

where  $C_{gb}(T)$  = the zero-order temperature-dependent maximum photosynthesis rate [mgA/(m<sup>2</sup> d)],  $\phi_{Nb}$  = bottom algae nutrient attenuation factor [dimensionless number between 0 and 1], and  $\phi_{Lb}$  = the bottom algae light attenuation coefficient [dimensionless number between 0 and 1].

The second uses a first-order model,

BotAlgPhoto = 
$$C_{gb}(T)\phi_{Nb}\phi_{Lb}\phi_{Sb}a_b$$
 (102)

where, for this case,  $C_{gb}(T)$  = the first-order temperature-dependent maximum photosynthesis rate  $[d^{-1}]$ , and  $\phi_{Sb}$  = bottom algae space limitation attenuation factor.

**Temperature Effect.** As for the first-order rates, an Arrhenius model is employed to quantify the effect of temperature on bottom algae photosynthesis,

$$C_{gb}(T) = C_{gb}(20)\theta^{T-20}$$
(103)

**Nutrient Limitation.** The effect of nutrient limitation on bottom plant photosynthesis is modeled in the same way as for the phytoplankton. That is, a Droop (1974) formulation is used for nitrogen and phosphorus limitation whereas a Michaelis-Menten equation is employed for inorganic carbon,

$$\phi_{Nb} = \min\left[1 - \frac{q_{0Nb}}{q_{Nb}}, 1 - \frac{q_{0Pb}}{q_{Pb}}, \frac{[H_2CO_3^*] + [HCO_3^*]}{k_{sCb} + [H_2CO_3^*] + [HCO_3^*]}\right]$$
(104)

where  $q_{Nb}$  and  $q_{Pb}$  = the bottom algae cell quotas of nitrogen [mgN mgA<sup>-1</sup>] and phosphorus [mgP mgA<sup>-1</sup>], respectively,  $q_{0Nb}$  and  $q_{0Pb}$  = the bottom algae minimum cell quotas of nitrogen [mgN mgA<sup>-1</sup>] and phosphorus [mgP mgA<sup>-1</sup>], respectively, and ,  $k_{sCb}$  = the bottom algae inorganic carbon half-saturation constant [mole/L]. As was the case for phytoplankton, the nutrient limitation terms cannot be negative.

The cell quotas represent the ratios of the intracellular nutrient to the bottom plant's biomass,

$$q_{Nb} = \frac{IN_b}{a_b} \tag{105}$$

$$q_{Pb} = \frac{IP_b}{a_b} \tag{106}$$

where  $IN_b$  = intracellular nitrogen concentration [mgN/m<sup>2</sup>] and  $IP_b$  = intracellular phosphorus concentration [mgP/m<sup>2</sup>].

**Light Limitation.** In contrast to the phytoplankton, light limitation at any time is determined by the amount of PAR reaching the bottom of the water column. This quantity is computed with the Beer-Lambert law (recall Eq. 83) evaluated at the bottom of the river,

$$I(H) = I(0)e^{-k_e H}$$
(107)

As with the phytoplankton, three models (Eqs. 85, 87 and 89) are used to characterize the impact of light on bottom algae photosynthesis. Substituting Eq. (107) into these models yields the following formulas for the bottom algae light attenuation coefficient,

Half-Saturation Light Model (Baly 1935):

$$\phi_{Lb} = \frac{I(0)e^{-k_e H}}{K_{Lb} + I(0)e^{-k_e H}}$$
(108)

Smith's Function: (Smith 1936)

$$\phi_{Lp} = \frac{I(0)e^{-k_e H}}{\sqrt{K_{Lb}^2 + (I(0)e^{-k_e H})^2}}$$
(109)

$$\phi_{Lb} = \frac{I(0)e^{-k_e H}}{K_{Lb}} e^{1 + \frac{I(0)e^{-k_e H}}{K_{Lb}}}$$
(110)

where  $K_{Lb}$  = the appropriate bottom algae light parameter for each light model.

**Space Limitation.** If a first-order growth model is used, a term must be included to impose a space limitation on the bottom algae. A logistic model is used for this purpose as in

$$\phi_{Sb} = 1 - \frac{a_b}{a_{b,\max}}$$

where  $a_{b,\text{max}}$  = the carrying capacity [mgA/m<sup>2</sup>].

#### 5.5.5.2 Losses

**Respiration.** Bottom algae respiration is represented as a first-order rate that is attenuated at low oxygen concentration,

$$BotAlgResp = F_{oxb}k_{rb}(T) a_b$$
(111)

where  $k_{rb}(T)$  = temperature-dependent bottom algae respiration rate [/d] and  $F_{oxb}$  = attenuation due to low oxygen [dimensionless]. Oxygen attenuation is modeled by Eqs. (127) to (129) with the oxygen dependency represented by the parameter  $K_{sob}$ .

Death. Bottom algae death is represented as a first-order rate,

$$BotAlgDeath = k_{db}(T)a_b$$
(112)

where  $k_{db}(T)$  = the temperature-dependent bottom algae death rate [/d].

#### 5.5.6 Bottom Algae Internal Nitrogen (IN<sub>b</sub>)

The change in intracellular nitrogen in bottom algal cells is calculated from

$$S_{bN} = \text{BotAlgUpN} - q_{Nb} \text{BotAlgDeath} - \text{BotAlgExN}$$
(113)

where BotAlgUpN = the uptake rate of nitrogen by bottom algae (mgN/m<sup>2</sup>/d), BotAlgDeath = bottom algae death (mgA/m<sup>2</sup>/d), and BotAlgExN = the bottom algae excretion of nitrogen (mgN/m<sup>2</sup>/d), which is computed as

$$BotAlgExN = q_{Nb}k_{eb}(T)a_b$$
(114)

where  $k_{eb}(T)$  = the temperature-dependent bottom algae excretion rate [/d].

The N uptake rate depends on both external and intracellular nutrients as in (Rhee 1973),

BotAlgUpN = 
$$\rho_{mNb} \frac{n_a + n_n}{k_{sNb} + n_a + n_n} \frac{K_{qNb}}{K_{qNb} + (q_{Nb} - q_{0Nb})} a_b$$
 (115)

where  $\rho_{mNb}$  = the maximum uptake rate for nitrogen [mgN/mgA/d],  $k_{sNb}$  = half-saturation constant for external nitrogen [µgN/L] and  $K_{qNb}$  = half-saturation constant for intracellular nitrogen [mgN mgA<sup>-1</sup>].

# 5.5.7 Bottom Algae Internal Phosphorus (IP<sub>b</sub>)

The change in intracellular phosphorus in bottom algal cells is calculated from

$$S_{bP} = \text{BotAlgUpP} - q_{Pb} \text{ BotAlgDeath} - \text{BotAlgExP}$$
(116)

where BotAlgUpP = the uptake rate of phosphorus by bottom algae (mgP/m<sup>2</sup>/d), BotAlgDeath = bottom algae death (mgA/m<sup>2</sup>/d), and BotAlgExP = the bottom algae excretion of phosphorus (mgP/m<sup>2</sup>/d), which is computed as

$$BotAlgExP = q_{Pb}k_{eb}(T)a_b$$
(117)

where  $k_{eb}(T)$  = the temperature-dependent bottom algae excretion rate [/d].

The P uptake rate depends on both external and intracellular nutrients as in (Rhee 1973),

BotAlgUpP = 
$$\rho_{mPb} \frac{p_i}{k_{sPb} + p_i} \frac{K_{qPb}}{K_{qPb} + (q_{Pb} - q_{0Pb})} a_b$$
 (118)

where  $\rho_{mPb}$  = the maximum uptake rate for phosphorus [mgP/mgA/d],  $k_{sPb}$  = half-saturation constant for external phosphorus [µgP/L] and  $K_{qPb}$  = half-saturation constant for intracellular phosphorus [mgP mgA<sup>-1</sup>].

# 5.5.8 Detritus (*m*<sub>o</sub>)

Detritus or particulate organic matter (POM) increases due to plant death. It is lost via dissolution and settling

$$S_{mo} = r_{da}$$
 PhytoDeath +  $r_{da} \frac{\text{BotAlgDeath}}{H}$  - DetrDiss - DetrSettl (119)

where

$$DetrDiss = k_{dl}(T)m_o$$
(120)

where  $k_{dt}(T)$  = the temperature-dependent detritus dissolution rate [/d] and

$$DetrSettl = \frac{v_{dt}}{H}m_o$$
(121)

where  $v_{dt}$  = detritus settling velocity [m/d].

# 5.5.9 Slowly Reacting CBOD (c<sub>s</sub>)

Slowly reacting CBOD increases due to detritus dissolution. It is lost via hydrolysis and oxidation,

$$S_{cs} = (1 - F_f)r_{od} \text{ DetrDiss} - \text{SlowCHydr} - \text{SlowCOxid}$$
(122)

where  $F_f$  = the fraction of detrital dissolution that goes to fast reacting CBOD [dimensionless], and

SlowCHydr = 
$$k_{hc}(T)c_s$$
 (123)

where  $k_{hc}(T)$  = the temperature-dependent slow CBOD hydrolysis rate [/d], and

SlowCOxid = 
$$F_{oxc} k_{dcs}(T)c_s$$
 (124)

where  $k_{dcs}(T)$  = the temperature-dependent slow CBOD oxidation rate [/d] and  $F_{oxc}$  = attenuation due to low oxygen [dimensionless].

#### 5.5.10 Fast Reacting CBOD (c<sub>f</sub>)

Fast reacting CBOD is gained via the dissolution of detritus and the hydrolysis of slowly-reacting CBOD. It is lost via oxidation and denitrification.

$$S_{cf} = F_f r_{od}$$
 DetrDiss + SlowCHydr – FastCOxid –  $r_{ondn}$ Denitr (125)

where

$$FastCOxid = F_{oxc} k_{dc}(T)c_f$$
(126)

where  $k_{dc}(T)$  = the temperature-dependent fast CBOD oxidation rate [/d] and  $F_{oxc}$  = attenuation due to low oxygen [dimensionless]. The parameter  $r_{ondn}$  is the ratio of oxygen equivalents lost per nitrate nitrogen that is denitrified (Eq. 67). The term Denitr is the rate of denitrification [µgN/L/d]. It will be defined in Sec. 5.5.15 below.

Three formulations are used to represent the oxygen attenuation:

Half-Saturation:

$$F_{oxrp} = \frac{o}{K_{socf} + o} \tag{127}$$

where  $K_{socf}$  = half-saturation constant for the effect of oxygen on fast CBOD oxidation [mgO<sub>2</sub>/L]. Exponential:

$$F_{oxrp} = (1 - e^{-K_{socf}o})$$
(128)

where  $K_{socf}$  = exponential coefficient for the effect of oxygen on fast CBOD oxidation [L/mgO<sub>2</sub>].

Second-Order Half Saturation:

$$F_{oxrp} = \frac{o^2}{K_{socf} + o^2}$$
(129)

where  $K_{socf}$  = half-saturation constant for second-order effect of oxygen on fast CBOD oxidation  $[mgO_2^2/L^2]$ .

# 5.5.11 Organic Nitrogen (n<sub>o</sub>)

Organic nitrogen increases due to plant death. It is lost via hydrolysis and settling.

$$S_{no} = f_{onp} q_{Np} \text{PhytoDeath} + f_{onb} q_{Nb} \frac{\text{BotAlgDeath}}{H} - \text{ONHydr} - \text{ONSettl}$$
(130)

where  $f_{onp}$  = the fraction of the phytoplankton internal nitrogen that is in organic form which is calculated as

$$f_{onp} = \frac{r_{na}}{q_{Np}} \qquad \text{if } q_{Np} > r_{na}$$

$$f_{onp} = 1 \qquad \text{if } q_{Np} \le r_{na}$$
(131)

The fraction of the bottom algae internal nitrogen that is in organic form,  $f_{onb}$ , is calculated in a similar fashion.

The rate of organic nitrogen hydrolysis is computed as

$$ONHydr = k_{hn}(T)n_o$$
(132)

where  $k_{hn}(T)$  = the temperature-dependent organic nitrogen hydrolysis rate [/d]. Organic nitrogen settling is determined as

$$ONSettl = \frac{v_{on}}{H} n_o$$
(133)

where  $v_{on}$  = organic nitrogen settling velocity [m/d].

#### 5.5.12 Ammonia Nitrogen (*n*<sub>a</sub>)

Ammonia nitrogen increases due to organic nitrogen hydrolysis and plant death and excretion. It is lost via nitrification and plant photosynthesis:

$$S_{na} = \text{ONHydr} + (1 - f_{onp})q_{Np} \text{PhytoDeath} + (1 - f_{onb})q_{Nb} \frac{\text{BotAlgDeath}}{H} + \text{PhytoExN} + \frac{\text{BotAlgExN}}{H} - \text{Nitrif} - P_{ap} \text{PhytoUpN} - P_{ab} \frac{\text{BotAlgUpN}}{H} - \text{NH3GasLoss}$$
(134)

The ammonia nitrification rate is computed as

Nitrif = 
$$F_{oxna}k_n(T)n_a$$
 (135)

where  $k_n(T)$  = the temperature-dependent nitrification rate for ammonia nitrogen [/d] and  $F_{oxna}$  = attenuation due to low oxygen [dimensionless]. Oxygen attenuation is modeled by Eqs. (127) to (129) with the oxygen dependency represented by the parameter  $K_{sona}$ .

The coefficients  $P_{ap}$  and  $P_{ab}$  are the preferences for ammonium as a nitrogen source for phytoplankton and bottom algae, respectively,

$$P_{ap} = \frac{n_a n_n}{(k_{hnxp} + n_a)(k_{hnxp} + n_n)} + \frac{n_a k_{hnxp}}{(n_a + n_n)(k_{hnxp} + n_n)}$$
(136)

$$P_{ab} = \frac{n_a n_n}{(k_{hnxb} + n_a)(k_{hnxb} + n_n)} + \frac{n_a k_{hnxb}}{(n_a + n_n)(k_{hnxb} + n_n)}$$
(137)

where  $k_{hnxp}$  = preference coefficient of phytoplankton for ammonium [mgN/m<sup>3</sup>] and  $k_{hnxb}$  = preference coefficient of bottom algae for ammonium [mgN/m<sup>3</sup>].

#### 5.5.13 Unionized Ammonia

The model simulates total ammonia. In water, the total ammonia consists of two forms: ammonium ion,  $NH_4^+$ , and unionized ammonia,  $NH_3$ . At normal pH (6 to 8), most of the total ammonia will be in the ionic form. However at high pH, unionized ammonia predominates. The amount of unionized ammonia can be computed as

$$n_{au} = F_u n_a \tag{138}$$

where  $n_{au}$  = the concentration of unionized ammonia [µgN/L], and  $F_u$  = the fraction of the total ammonia that is in unionized form,

$$F_{u} = \frac{K_{a}}{10^{-\text{pH}} + K_{a}}$$
(139)

where  $K_a$  = the equilibrium coefficient for the ammonia dissociation reaction, which is related to temperature by

$$pK_a = 0.09018 + \frac{2729.92}{T_a}$$
(140)

where  $T_a$  is absolute temperature [K] and  $pK_a = -\log_{10}(K_a)$ . Note that the fraction of the total ammonia that is in ionized form,  $F_i$ , can be computed as  $1 - F_u$  or

$$F_i = \frac{10^{-\text{pH}}}{10^{-\text{pH}} + K_a} \tag{141}$$

# 5.5.14 Ammonia Gas Transfer

The loss of ammonia via gas transfer is computed as

NH3GasLoss = 
$$\frac{v_{nh3}(T)}{H} (n_{aus}(T) - n_{au})$$

where  $v_{nh3}(T)$  = the temperature-dependent ammonia gas-transfer coefficient [m/d], and  $n_{as}(T)$  = the saturation concentration of ammonia [µgN/L] at temperature, *T*.

The transfer coefficient is calculated as

$$v_{nh3} = K_l \frac{H_e}{H_e + RT_a \left(\frac{K_l}{K_g}\right)}$$

where  $v_v$  = the mass-transfer coefficient (m/d),  $K_l$  and  $K_g$  = liquid and gas film exchange coefficients [m/d], respectively, R = the universal gas constant (= 8.206×10<sup>-5</sup> atm m<sup>3</sup>/(K mole)),  $T_a$  = absolute temperature [K], and  $H_e$  = Henry's constant (atm m<sup>3</sup>/mole).

The saturation concentration is calculated as

$$n_{aus}(T) = \frac{p_{nh3}}{H_e} \times CF$$

where  $p_{nh3}$  = the partial pressure of ammonia in the atmosphere (atm), and CF is a conversion factor (µgN/L per moleNH<sub>3</sub>/m<sup>3</sup>). The partial pressure of ammonia ranges from 1-10 ppb in rural and moderately polluted areas to 10-100 ppb in heavily polluted areas (Holland 1978, Finlayson-Pitts and Pitts 1986). We will assume that a value of 2 ppb, which corresponds to  $2 \times 10^{-9}$  atm, represents a typical value. The conversion factor is

$$CF = \frac{m^3}{1000L} \times \frac{14gN}{moleNH_3} \times \frac{10^6 \mu gN}{gN} = 14 \times 10^3 \frac{\mu gN/L}{moleNH_3 / m^3}$$

The liquid-film coefficient can be related to the oxygen reaeration rate by (Mills et al. 1982),

$$K_{l} = k_{a} H \left[\frac{32}{17}\right]^{0.25} = 1.171 k_{a} H$$

The gas-film coefficient is computed by

$$K_g = K_{g,H2O} \left[ \frac{18}{17} \right]^{0.25}$$

 $K_{g,H2O}$  = a mass-transfer velocity for water vapor [m/d], which can be related to wind speed by (Schwarzenbach et al. 1993)

$$K_{g,\text{H2O}} = (0.2U_{w,10} + 0.3) \times \frac{\text{m}}{100 \text{cm}} \times \frac{86,400 \text{s}}{\text{d}}$$

where  $U_{w,10}$  = wind speed at a height of 10 m (m/s). Combining the above equations gives

$$K_g = 175.287U_{w,10} + 262.9305$$

The Henry's constant for ammonia at  $20^{\circ}$ C is  $1.3678 \times 10^{-5}$  atm m<sup>3</sup>/mole (Kavanaugh and Trussell 1980). The value at temperatures other than  $20^{\circ}$ C can be computed with

$$H_e(T) = H_e(20)1.052^{T-20}$$

# 5.5.15 Nitrate Nitrogen (n<sub>n</sub>)

Nitrate nitrogen increases due to nitrification of ammonia. It is lost via denitrification and plant uptake:

$$S_{ni} = \text{Nitrif} - \text{Denitr} - (1 - P_{ab}) \frac{\text{BotAlgUptakeN}}{H}$$
(142)

The denitrification rate is computed as

$$Denitr = (1 - F_{oxdn})k_{dn}(T)n_n$$
(143)

where  $k_{dn}(T)$  = the temperature-dependent denitrification rate of nitrate nitrogen [/d] and  $F_{oxdn}$  = effect of low oxygen on denitrification [dimensionless] as modeled by Eqs. (127) to (129) with the oxygen dependency represented by the parameter  $K_{sodn}$ .

### 5.5.16 Organic Phosphorus (p<sub>o</sub>)

Organic phosphorus increases due to plant death and excretion. It is lost via hydrolysis and settling.

$$S_{po} = f_{opp}q_{Pp} \frac{\text{PhytoDeath}}{H} + f_{opb}q_{Pb} \frac{\text{BotAlgDeath}}{H} - \text{OPHydr} - \text{OPSettl}$$
(144)

where  $f_{opp}$  = the fraction of the phytoplankton internal phosphorus that is in organic form which is calculated as

$$f_{opp} = \frac{r_{pa}}{q_{Pp}} \qquad \text{if } q_{Pp} > r_{pa}$$

$$f_{opp} = 1 \qquad \text{if } q_{Pp} \le r_{pa}$$
(145)

The fraction of the bottom algae internal phosphorus that is in organic form,  $f_{opb}$ , is calculated in a similar fashion.

The rate of organic phosphorus hydrolysis is computed as

$$OPHydr = k_{hp}(T)p_o$$
(146)

where  $k_{hp}(T)$  = the temperature-dependent organic phosphorus hydrolysis rate [/d]. Organic phosphorus settling is determined as

$$OPSettl = \frac{v_{op}}{H} p_o$$
(147)

where  $v_{op}$  = organic phosphorus settling velocity [m/d].

# 5.5.17 Inorganic Phosphorus (p<sub>i</sub>)

Inorganic phosphorus increases due to organic phosphorus hydrolysis and plant excretion. It is lost via plant uptake. In addition, a settling loss is included for cases in which inorganic phosphorus is lost due to sorption onto settleable particulate matter such as iron oxyhydroxides:

$$S_{pi} = \text{OPHydr} + (1 - f_{opp}) q_{Pp} \text{PhytoDeath} + (1 - f_{opb}) q_{Pb} \frac{\text{BotAlgDeath}}{H} + \text{PhytoExP} + \frac{\text{BotAlgExP}}{H} - \text{PhytoUpP} - \frac{\text{BotAlgUpP}}{H} - \text{IPSettl}$$
(148)

where

$$IPSettl = \frac{v_{ip}}{H} p_i$$
(149)

where  $v_{ip}$  = inorganic phosphorus settling velocity [m/d].

#### 5.5.18 Inorganic Suspended Solids (m<sub>i</sub>)

Inorganic suspended solids are lost via settling,

$$S_{mi} = -$$
InorgSettl

where

$$InorgSettl = \frac{v_i}{H}m_i$$
(150)

where  $v_i$  = inorganic suspended solids settling velocity [m/d].

# 5.5.19 Dissolved Oxygen (o)

Dissolved oxygen increases due to plant photosynthesis. It is lost via fast CBOD oxidation, nitrification and plant respiration. Depending on whether the water is undersaturated or oversaturated it is gained or lost via reaeration,

$$S_{o} = r_{oa} PhytoPhoto + r_{oa} \frac{BotAlgPhoto}{H} - r_{oc}FastCOxid - r_{on}NH4Nitr - r_{oa}PhytoResp - r_{oa} \frac{BotAlgResp}{H} + OxReaer$$
(151)

where

$$OxReaer = k_a(T)(o_s(T, elev) - o)$$
(152)

where  $k_a(T)$  = the temperature-dependent oxygen reaeration coefficient [/d],  $o_s(T, elev)$  = the saturation concentration of oxygen [mgO<sub>2</sub>/L] at temperature, *T*, and elevation above sea level, *elev*.

#### 5.5.19.1 Oxygen Saturation

The following equation is used to represent the dependence of oxygen saturation on temperature (APHA 1995)

$$\ln o_{s}(T,0) = -139.34411 + \frac{1.575701 \times 10^{5}}{T_{a}} - \frac{6.642308 \times 10^{7}}{T_{a}^{2}} + \frac{1.243800 \times 10^{10}}{T_{a}^{3}} - \frac{8.621949 \times 10^{11}}{T_{a}^{4}}$$
(153)

where  $o_s(T, 0)$  = the saturation concentration of dissolved oxygen in freshwater at 1 atm [mgO<sub>2</sub>/L] and  $T_a$  = absolute temperature [K] where  $T_a = T + 273.15$ .

The effect of elevation is accounted for by

$$o_s(T, elev) = e^{\ln o_s(T,0)} (1 - 0.0001148 elev)$$
(154)

#### 5.5.19.2 Reaeration Formulas

The reaeration coefficient (at 20 °C) can be prescribed on the **Reach Worksheet**. If reaeration is not prescribed (that is, it is blank or zero for a particular reach), it is computed as a function of the river's hydraulics and (optionally) wind velocity,

$$k_a(20) = k_{ah}(20) + \frac{K_{Lw}(20)}{H}$$
(155)

where  $k_{ah}(20)$  = the reaeration rate at 20 °C computed based on the river's hydraulic characteristics [d<sup>-1</sup>],  $K_L(20)$  = the reaeration mass-transfer coefficient based on wind velocity [m/d], and H = mean depth [m].

#### Hydraulic-based Formulas:

O'Connor-Dobbins (O'Connor and Dobbins 1958):

$$k_{ah}(20) = 3.93 \frac{U^{0.5}}{H^{1.5}}$$
(156)

where U = mean water velocity [m/s] and H = mean water depth [m].

Churchill (Churchill et al. 1962):

$$k_{ah}(20) = 5.026 \frac{U}{H^{1.67}} \tag{157}$$

Owens-Gibbs (Owens et al. 1964):

$$k_{ah}(20) = 5.32 \frac{U^{0.67}}{H^{1.85}}$$
(158)

Tsivoglou-Neal (Tsivoglou and Neal 1976):

Low flow, Q = 0.0283 to 0.4247 cms (1 to 15 cfs):

$$k_{ab}(20) = 31,183 \, US \tag{159}$$

High flow, Q = 0.4247 to 84.938 cms (15 to 3000 cfs):

$$k_{ah}(20) = 15,308 \, US \tag{160}$$

where S = channel slope [m/m].

Thackston-Dawson (Thackston and Dawson 2001):

$$k_{ah}(20) = 2.16(1+9F^{0.25})\frac{U_*}{H}$$
(161)

where  $U_*$  = shear velocity [m/s], and F = the Froude number [dimensionless]. The shear velocity and the Froude number are defined as

$$U_* = \sqrt{gR_hS} \tag{162}$$

and

$$F = \frac{U}{\sqrt{gH_d}} \tag{163}$$

where g = gravitational acceleration (= 9.81 m/s<sup>2</sup>),  $R_h =$  hydraulic radius [m], S = channel slope [m/m], and  $H_d =$  the hydraulic depth [m]. The hydraulic depth is defined as

$$H_d = \frac{A_c}{B_t} \tag{164}$$

where  $B_t$  = the top width of the channel [m].

USGS (Pool-riffle) (Melching and Flores 1999):

Low flow, *Q* < 0.556 cms (< 19.64 cfs):

$$k_{ah}(20) = 517(US)^{0.524} Q^{-0.242}$$
(165)

High flow, Q > 0.556 cms (> 19.64 cfs):

$$k_{ah}(20) = 596(US)^{0.528} Q^{-0.136}$$
(166)

where Q =flow (cms).

#### USGS (Channel-control) (Melching and Flores 1999):

Low flow, Q < 0.556 cms (< 19.64 cfs):

$$k_{ah}(20) = 88(US)^{0.313} H^{-0.353}$$
(167)

High flow, Q > 0.556 cms (> 19.64 cfs):

$$k_{ah}(20) = 142(US)^{0.333} H^{-0.66} B_t^{-0.243}$$
(168)

Internal (Covar 1976):

Reaeration can also be internally calculated based on the following scheme patterned after a plot developed by Covar (1976) (Figure 21):

- $\Box$  If H < 0.61 m, use the Owens-Gibbs formula
- □ If H > 0.61 m and  $H > 3.45U^{2.5}$ , use the O'Connor-Dobbins formula
- Otherwise, use the Churchill formula

This is referred to as option **Internal** on the **Rates Worksheet** of Q2K. Note that if no option is specified, the Internal option is the default.



Figure 21 Reaeration rate (/d) versus depth and velocity (Covar 1976).

#### Wind-based Formulas:

Three options are available to incorporate wind effects into the reaeration rate: (1) it can be omitted, (2) the Banks-Herrera formula and (3) the Wanninkhof formula.

Banks-Herrera formula (Banks 1975, Banks and Herrera 1977):

$$K_{lw} = 0.728U_{w,10}^{0.5} - 0.317U_{w,10} + 0.0372U_{w,10}^2$$
(169)

where  $U_{w,10}$  = wind speed measured 10 meters above the water surface [m s<sup>-1</sup>]

Wanninkhof formula (Wanninkhof 1991):

$$K_{lw} = 0.0986U_{w,10}^{1.64} \tag{170}$$

Note that the model uses Eq. (48) to correct the wind velocity entered on the **Meteorology Worksheet** (7 meters above the surface) so that it is scaled to the 10-m height.

#### 5.5.19.3 Effect of Control Structures: Oxygen

Oxygen transfer in streams is influenced by the presence of control structures such as weirs, dams, locks, and waterfalls (Figure 22). Butts and Evans (1983) have reviewed efforts to characterize this transfer and have suggested the following formula,

$$r_d = 1 + 0.38a_d b_d H_d (1 - 0.11H_d)(1 + 0.046T)$$
(171)

where  $r_d$  = the ratio of the deficit above and below the dam,  $H_d$  = the difference in water elevation [m] as calculated with Eq. (7), T = water temperature (°C) and  $a_d$  and  $b_d$  are coefficients that correct for water-quality and dam-type. Values of  $a_d$  and  $b_d$  are summarized in Table 7. If no

values are specified, Q2K uses the following default values for these coefficients:  $a_d = 1.25$  and  $b_d = 0.9$ .



Figure 22 Water flowing over a river control structure.

(a) Water-quality coefficient				
Polluted state	$a_d$			
Gross	0.65			
Moderate	1.0			
Slight	1.6			
Clean	1.8			
(b) Dam-type coefficient				
Dam type	$b_d$			
Flat broad-crested regular step	0.70			
Flat broad-crested irregular step	0.80			
Flat broad-crested vertical face	0.60			
Flat broad-crested straight-slope face	0.75			
Flat broad-crested curved face	0.45			
Round broad-crested curved face	0.75			
Sharp-crested straight-slope face	1.00			
Sharp-crested vertical face	0.80			
Sluice gates	0.05			

Table 7 Coefficient values used to predict the effect of dams on stream reaeration.

The oxygen mass balance for the element below the structure is written as

$$\frac{do_i}{dt} = \frac{Q_{i-1}}{V_i} o'_{i-1} - \frac{Q_i}{V_i} o_i - \frac{Q_{ab,i}}{V_i} o_i + \frac{E'_i}{V_i} (o_{i+1} - o_i) + \frac{W_{o,i}}{V_i} + S_{o,i}$$
(172)

where  $o'_{i-1}$  = the oxygen concentration entering the element [mgO<sub>2</sub>/L], where

$$O'_{i-1} = O_{s,i-1} - \frac{O_{s,i-1} - O_{i-1}}{r_d}$$
(173)

# 5.5.20 Pathogen (X)

Pathogens are subject to death and settling,

 $S_{\chi}$  = -PathDeath - PathSettl

#### 5.5.20.1 Death

Pathogen death is due to natural die-off and light (Chapra 1997). The death of pathogens in the absence of light is modeled as a first-order temperature-dependent decay and the death rate due to light is based on the Beer-Lambert law,

PathDeath = 
$$k_{dX}(T)X + \alpha_{path} \frac{I(0)/24}{k_e H} \left(1 - e^{-k_e H}\right) X$$
 (175)

where  $k_{dX}(T)$  = temperature-dependent pathogen die-off rate [/d] and  $\alpha_{path}$  = a light efficiency factor [dimensionless].

#### 5.5.20.2 Settling

Pathogen settling is represented as

$$PathSett1 = \frac{v_X}{H}x$$
(176)

where  $v_X$  = pathogen settling velocity [m/d].

#### 5.5.21 pH

The following equilibrium, mass balance and electroneutrality equations define a freshwater dominated by inorganic carbon (Stumm and Morgan 1996),

$$K_{1} = \frac{[\text{HCO}_{3}^{-}][\text{H}^{+}]}{[\text{H}_{2}\text{CO}_{3}^{*}]}$$
(177)

$$K_2 = \frac{[\text{CO}_3^{2-}][\text{H}^+]}{[\text{HCO}_3^-]}$$
(178)

$$K_w = [H^+][OH^-]$$
 (179)

$$c_T = [H_2 CO_3^*] + [HCO_3^-] + [CO_3^{2-}]$$
 (180)

$$Alk = [HCO_3^-] + 2[CO_3^{2-}] + [OH^-] - [H^+]$$
(181)

where  $K_1$ ,  $K_2$  and  $K_w$  are acidity constants, Alk = alkalinity [eq L<sup>-1</sup>], H<sub>2</sub>CO<sub>3</sub>\* = the sum of dissolved carbon dioxide and carbonic acid, HCO<sub>3</sub><sup>-</sup> = bicarbonate ion, CO<sub>3</sub><sup>2-</sup> = carbonate ion, H<sup>+</sup> = hydronium ion, OH<sup>-</sup> = hydroxyl ion, and  $c_T$  = total inorganic carbon concentration [mole L<sup>-1</sup>]. The brackets [] designate molar concentrations.

Note that the alkalinity is expressed in units of eq/L for the internal calculations. For input and output, it is expressed as mgCaCO<sub>3</sub>/L. The two units are related by

$$Alk(mgCaCO_3/L) = 50,000 \times Alk(eq/L)$$
(182)

The equilibrium constants are corrected for temperature by

#### Harned and Hamer (1933):

$$pK_{w} = \frac{4787.3}{T_{a}} + 7.1321 \log_{10}(T_{a}) + 0.010365T_{a} - 22.80$$
(183)

#### Plummer and Busenberg (1982):

$$\log K_1 = -356.3094 - 0.06091964T_a + 21834.37/T_a + 126.8339 \log T_a - 1,684,915/T_a^2$$
(184)

#### Plummer and Busenberg (1982):

$$\log K_2 = -107.8871 - 0.03252849T_a + 5151.79/T_a + 38.92561\log T_a - 563,713.9/T_a^2$$
(185)

The nonlinear system of five simultaneous equations (177 through 181) can be solved numerically for the five unknowns:  $[H_2CO_3^*]$ ,  $[HCO_3^-]$ ,  $[CO_3^{2-}]$ ,  $[OH^-]$ , and  $\{H^+\}$ . An efficient solution method can be derived by combining Eqs. (177), (178) and (180) to define the quantities (Stumm and Morgan 1996)

$$\alpha_0 = \frac{[\mathrm{H}^+]^2}{[\mathrm{H}^+]^2 + K_1[\mathrm{H}^+] + K_1K_2}$$
(186)

$$\alpha_1 = \frac{K_1[\mathrm{H}^+]}{[\mathrm{H}^+]^2 + K_1[\mathrm{H}^+] + K_1K_2}$$
(187)

$$\alpha_2 = \frac{K_1 K_2}{\left[\mathrm{H}^+\right]^2 + K_1 \left[\mathrm{H}^+\right] + K_1 K_2}$$
(188)

where  $\alpha_0$ ,  $\alpha_1$ , and  $\alpha_2$  = the fraction of total inorganic carbon in carbon dioxide, bicarbonate, and carbonate, respectively. Equations (179), (187), and (188) can be substituted into Eq. (181) to yield,

Alk = 
$$(\alpha_1 + 2\alpha_2)c_T + \frac{K_w}{[H^+]} - [H^+]$$
 (189)

Thus, solving for pH reduces to determining the root,  $\{H^+\}$ , of

$$f([\mathrm{H}^+]) = (\alpha_1 + 2\alpha_2)c_T + \frac{K_w}{[\mathrm{H}^+]} - [\mathrm{H}^+] - \mathrm{Alk}$$
(190)

where pH is then calculated with

$$pH = -\log_{10}[H^+]$$
(191)

The root of Eq. (190) is determined with a numerical method. The user can choose either bisection, Newton-Raphson or Brent's method (Chapra and Canale 2006, Chapra 2007) as specified on the QUAL2K sheet. The Newton-Raphson is the fastest but can sometimes diverge. In contrast, the bisection method is slower, but more reliable. Because it balances speed with reliability, Brent's method is the default.

#### 5.5.22 Total Inorganic Carbon ( $c_T$ )

Total inorganic carbon concentration increases due to fast carbon oxidation and plant respiration. It is lost via plant photosynthesis. Depending on whether the water is undersaturated or oversaturated with  $CO_2$ , it is gained or lost via reaeration,

$$S_{cT} = r_{cco} \text{FastCOxid} + r_{cca} \text{PhytoResp} + r_{cca} \frac{\text{BotAlgResp}}{H}$$

$$- r_{cca} \text{PhytoPhoto} - r_{cca} \frac{\text{BotAlgPhoto}}{H} + \text{CO2Reaer}$$
(192)

where

$$CO2Reaer = k_{ac}(T)([CO_2]_s - \alpha_0 c_T)$$
(193)

where  $k_{ac}(T)$  = the temperature-dependent carbon dioxide reaeration coefficient [/d], and [CO2]<sub>s</sub> = the saturation concentration of carbon dioxide [mole/L].

The stoichiometric coefficients are computed as<sup>4</sup>

$$r_{cca} = r_{ca} \left(\frac{\text{gC}}{\text{mgA}}\right) \times \frac{\text{moleC}}{12 \text{ gC}} \times \frac{\text{m}^3}{1000 \text{ L}}$$
(194)

$$r_{cco} = \frac{1}{r_{oc}} \left(\frac{\text{gC}}{\text{gO}_2}\right) \frac{\text{moleC}}{12 \text{ gC}} \times \frac{\text{m}^3}{1000 \text{ L}}$$
(195)

#### 5.5.22.1 Carbon Dioxide Saturation

The CO<sub>2</sub> saturation is computed with Henry's law,

$$[\mathrm{CO}_2]_{\mathrm{s}} = K_H \mathrm{p}_{\mathrm{CO}_2} \tag{196}$$

<sup>&</sup>lt;sup>4</sup> The conversion,  $m^3 = 1000 L$  is included because all mass balances express volume in  $m^3$ , whereas total inorganic carbon is expressed as mole/L.

where  $K_H$  = Henry's constant [mole (L atm)<sup>-1</sup>] and  $p_{CO_2}$  = the partial pressure of carbon dioxide in the atmosphere [atm]. Note that the partial pressure is input on the **Rates Worksheet** in units of ppm. The program internally converts ppm to atm using the conversion:  $10^{-6}$  atm/ppm.

The value of  $K_H$  can be computed as a function of temperature by (Edmond and Gieskes 1970)

$$pK_{\rm H} = -\frac{2385.73}{T_a} - 0.0152642T_a + 14.0184$$
(197)

The partial pressure of  $CO_2$  in the atmosphere has been increasing, largely due to the combustion of fossil fuels (Figure 23). Values in 2007 are approximately  $10^{-3.416}$  atm (= 383.7 ppm).





#### 5.5.22.2 CO<sub>2</sub> Gas Transfer

The CO<sub>2</sub> reaeration coefficient can be computed from the oxygen reaeration rate by

$$k_{ac}(20) = \left(\frac{32}{44}\right)^{0.25} = 0.923 \, k_a(20) \tag{198}$$

<sup>&</sup>lt;sup>5</sup> Dr. Pieter Tans, NOAA/ESRL (www.esrl.noaa.gov/gmd/cgg/trends

#### 5.5.22.3 Effect of Control Structures: CO<sub>2</sub>

As was the case for dissolved oxygen, carbon dioxide gas transfer in streams can be influenced by the presence of control structures. Q2K assumes that carbon dioxide behaves similarly to dissolved oxygen (recall Sec. 5.5.19.3). Thus, the inorganic carbon mass balance for the element immediately downstream of the structure is written as

$$\frac{dc_{T,i}}{dt} = \frac{Q_{i-1}}{V_i} c'_{T,i-1} - \frac{Q_i}{V_i} c_{T,i} - \frac{Q_{ab,i}}{V_i} c_{T,i} + \frac{E'_i}{V_i} (c_{T,i+1} - c_{T,i}) + \frac{W_{cT,i}}{V_i} + S_{cT,i}$$
(199)

where  $c'_{T,i-1}$  = the concentration of inorganic carbon entering the element [mgO<sub>2</sub>/L], where

$$c'_{T,i-1} = (\alpha_1 + \alpha_2)c_{T,i-1} + CO_{2,s,i-1} - \frac{CO_{2,s,i-1} - \alpha_2 c_{T,i-1}}{r_d}$$
(200)

where  $r_d$  is calculated with Eq. (171).

# 5.5.23 Alkalinity (Alk)

As summarized in the present model accounts for changes in alkalinity due to several mechanisms:

Process	Utilize	Create	Alkalinity change
Nitrif	NH4	NO3	Decrease
Denitr	NO3		Increase
OPHydr		SRP	Decrease
ONHydr		NH4	Increase
PhytoPhoto	NH4		Decrease
	NO3		Increase
	SRP		Increase
PhytoResp		NH4	Increase
		SRP	Decrease
PhytoUpN	NH4		Decrease
	NO3		Increase
PhytoUpP	SRP		Increase
PhytoExcrN		NH4	Increase
PhytoExcrP		SRP	Decrease
BotAlgUpN	NH4		Decrease
	NO3		Increase
BotAlgUpP	SRP		Increase
BotAlgExcrN		NH4	Increase
BotAlgExcrP		SRP	Decrease

#### Table 8 Processes that effect alkalinity.

#### 5.5.23.1 Nitrification

According to Eq. (60), nitrification utilizes ammonium and creates nitrate. Hence, because a positive ion is taken up and a negative ion is created, the alkalinity is decreased by two equivalents. The change in alkalinity can be related to the nitrification rate by

$$S_{a,nitr} = -\frac{2 \operatorname{eq}}{\operatorname{moleN}} \frac{\operatorname{moleN}}{14.007 \operatorname{gN}} \frac{\operatorname{gN}}{10^6 \mu \operatorname{gN}} \frac{50,000 \operatorname{mgCaCO_3}}{1 \operatorname{eq}} \operatorname{Nitrif}\left(\frac{\mu \operatorname{gN}}{\operatorname{Ld}}\right)$$
(201)

#### 5.5.23.2 Denitrification

According to Eq. (61), denitrification utilizes nitrate and creates nitrogen gas. Hence, because a negative ion is taken up and a neutral compound is created, the alkalinity is increased by one equivalent. The change in alkalinity can be related to the denitrification rate by

$$S_{a,denitr} = \frac{1 \text{ eq}}{\text{moleN}} \frac{\text{moleN}}{14.007 \text{ gN}} \frac{\text{gN}}{10^6 \mu \text{gN}} \frac{50,000 \text{ mgCaCO}_3}{1 \text{ eq}} \text{Denitr}\left(\frac{\mu \text{gN}}{\text{L d}}\right)$$
(202)

where the r's are ratios that translate the processes into the corresponding amount of alkalinity. The stoichiometric coefficients are derived from Eqs. (58) through (61) as in

#### 5.5.23.3 Organic P Hydrolysis

Hydrolysis of organic P results in the creation of inorganic phosphate. Depending on the pH, the phosphate will either have 1 (pH  $\approx$  2 to 7) or 2 (pH  $\approx$  7 to 12) negative charges. Hence, because negative ions are being created, the alkalinity is decreased by one or two equivalents, respectively. The change in alkalinity can be related to the P hydrolysis rate by<sup>6</sup>,

$$S_{a,OPh} = -(\alpha_{H2PO4} + 2\alpha_{HPO4} + 3\alpha_{PO4}) \frac{\text{eq}}{\text{moleP}} \frac{\text{moleP}}{30.974 \text{ gP}} \frac{\text{gP}}{10^6 \mu \text{gP}} \frac{50,000 \text{ mgCaCO}_3}{1 \text{ eq}} \text{OPHydr} \left(\frac{\mu \text{gP}}{\text{L d}}\right)^{(203)}$$

where

$$\alpha_{H2PO4} = \frac{K_{p1}[\mathrm{H}^{+}]^{2}}{[\mathrm{H}^{+}]^{3} + K_{p1}[\mathrm{H}^{+}]^{2} + K_{p1}K_{p2}[\mathrm{H}^{+}] + K_{p1}K_{p2}K_{p3}}$$
(204)

$$\alpha_{HPO4} = \frac{K_{p1}K_{p2}[H^+]}{[H^+]^3 + K_{p1}[H^+]^2 + K_{p1}K_{p2}[H^+] + K_{p1}K_{p2}K_{p3}}$$
(205)

$$\alpha_{PO4} = \frac{K_{p1}K_{p2}K_{p3}}{\left[H^{+}\right]^{3} + K_{p1}\left[H^{+}\right]^{2} + K_{p1}K_{p2}\left[H^{+}\right] + K_{p1}K_{p2}K_{p3}}$$
(206)

<sup>&</sup>lt;sup>6</sup> Note that although it will almost always be negligible, Eq. (193)  $PO_4^{3-}$  - for completeness.

where  $K_{p1} = 10^{-2.15}$ ,  $K_{p2} = 10^{-7.2}$ , and  $K_{p3} = 10^{-12.35}$ .

#### 5.5.23.4 Organic N Hydrolysis

Hydrolysis of organic N results in the creation of ammonia. Depending on the pH, the ammonia will either be in the form of ammonium ion with a single positive charge (pH < 9) or neutral ammonia gas (pH > 9). Hence, when the positive ions are created, the alkalinity is increased by one equivalent. The change in alkalinity can be related to the N hydrolysis rate by

$$S_{a,ONh} = F_i \frac{1 \text{ eq}}{\text{moleN}} \frac{\text{moleN}}{14.007 \text{ gN}} \frac{\text{gN}}{10^6 \mu \text{gN}} \frac{50,000 \text{ mgCaCO}_3}{1 \text{ eq}} \text{ONHydr}\left(\frac{\mu \text{gN}}{\text{L d}}\right)$$
(207)

#### 5.5.23.5 Phytoplankton Photosynthesis

Phytoplankton photosynthesis takes up nitrogen as either ammonia or nitrate and phosphorus as inorganic phosphate. If ammonia is the primary nitrogen source, this leads to a decrease in alkalinity because the uptake of the positively charged ammonium ions is much greater than the uptake of the negatively charged phosphate ions (recall the stoichiometry as described on p. 34). If nitrate is the primary nitrogen source, this leads to an increase in alkalinity because both nitrate and phosphate are negatively charged.

The following representation relates the change in alkalinity to phytoplankton photosynthesis depending on the nutrient sources as well as their speciation as governed by the pH,

$$S_{a,PhytP} = \frac{50,000 \text{ mgCaCO}_{3}}{1 \text{ eq}} \left( -r_{na}P_{ap}F_{i} \frac{1 \text{ eq}}{\text{moleN}} \frac{\text{moleN}}{14.007 \text{ gN}} \frac{\text{gN}}{10^{6} \mu \text{gN}} + r_{na} \left( 1 - P_{ap} \right) \frac{1 \text{ eq}}{\text{moleN}} \frac{\text{moleN}}{14.007 \text{ gN}} \frac{\text{gN}}{10^{6} \mu \text{gN}} + r_{pa} (\alpha_{H2PO4} + 2\alpha_{HPO4} + 3\alpha_{PO4}) \frac{1 \text{ eq}}{\text{moleP}} \frac{\text{moleP}}{30.974 \text{ gP}} \frac{\text{gP}}{10^{6} \mu \text{gP}} \right) \times \text{PhytoPhoto} \left( \frac{\mu \text{gA}}{\text{L d}} \right)$$

#### 5.5.23.6 Phytoplankton Nutrient Uptake

Phytoplankton take up nitrogen as either ammonia or nitrate and phosphorus as inorganic phosphate. The following representation relates the change in alkalinity to phytoplankton uptake rates depending on the nutrient sources as well as their speciation as governed by the pH,

$$S_{a,PUp} = \frac{50,000 \text{ mgCaCO}_{3}}{1 \text{ eq}} \\ \left( \left( -P_{ap}F_{i} + (1 - P_{ap}) \right) \frac{1 \text{ eq}}{\text{moleN}} \frac{\text{moleN}}{14.007 \text{ gN}} \frac{\text{gN}}{10^{6} \mu \text{gN}} \times \frac{\text{PhytoUpN}(\mu \text{gN}/\text{L/d})}{H(\text{m})} \\ + (\alpha_{H2PO4} + 2\alpha_{HPO4} + 3\alpha_{PO4}) \frac{1 \text{ eq}}{\text{moleP}} \frac{\text{moleP}}{30.974 \text{ gP}} \frac{\text{gP}}{10^{6} \mu \text{gP}} \times \frac{\text{PhytoUpP}(\mu \text{gP}/\text{L/d})}{H(\text{m})} \right)$$
(209)

#### 5.5.23.7 Phytoplankton Nutrient Excretion

Phytoplankton excrete ammonia and inorganic phosphate. The following representation relates the change in alkalinity to phytoplankton excretion rates including the effect of pH on the nutrient's speciation,

$$S_{a,PEx} = \frac{50,000 \text{ mgCaCO}_{3}}{1 \text{ eq}} \\ \left( F_{i} \frac{1 \text{ eq}}{\text{moleN}} \frac{\text{moleN}}{14.007 \text{ gN}} \frac{\text{gN}}{10^{6} \mu \text{gN}} \times \frac{\text{PhytoExN}(\mu \text{gN}/\text{L/d})}{H(\text{m})} - (\alpha_{H2PO4} + 2\alpha_{HPO4} + 3\alpha_{PO4}) \frac{1 \text{ eq}}{\text{moleP}} \frac{\text{moleP}}{30.974 \text{ gP}} \frac{\text{gP}}{10^{6} \mu \text{gP}} \times \frac{\text{PhytoExP}(\mu \text{gP}/\text{L/d})}{H(\text{m})} \right)$$
(210)

#### 5.5.23.8 Bottom Algae Nutrient Uptake

Bottom algae take up nitrogen as either ammonia or nitrate and phosphorus as inorganic phosphate. The following representation relates the change in alkalinity to bottom algae uptake rates depending on the nutrient sources as well as their speciation as governed by the pH,

$$S_{a,BAUp} = \frac{50,000 \text{ mgCaCO}_3}{1 \text{ eq}} \\ \left( \left( -P_{ab}F_i + (1 - P_{ab}) \right) \frac{1 \text{ eq}}{\text{moleN}} \frac{\text{moleN}}{14.007 \text{ gN}} \frac{\text{gN}}{10^6 \mu \text{gN}} \times \frac{\text{BotAlgUpN(mgN/m^2/d)}}{H(m)} + (\alpha_{H2PO4} + 2\alpha_{HPO4} + 3\alpha_{PO4}) \frac{1 \text{ eq}}{\text{moleP}} \frac{\text{moleP}}{30.974 \text{ gP}} \frac{\text{gP}}{10^6 \mu \text{gP}} \times \frac{\text{BotAlgUpP(mgP/m^2/d)}}{H(m)} \right)$$
(211)

#### 5.5.23.9 Bottom Algae Nutrient Excretion

Bottom algae excrete ammonia and inorganic phosphate. The following representation relates the change in alkalinity to bottom algae excretion rates including the effect of pH on the nutrient's speciation,

$$S_{a,BAEx} = \frac{50,000 \text{ mgCaCO}_3}{1 \text{ eq}} \\ \left( F_i \frac{1 \text{ eq}}{\text{moleN}} \frac{\text{moleN}}{14.007 \text{ gN}} \frac{\text{gN}}{10^6 \mu \text{gN}} \times \frac{\text{BotAlgExN(mgN/m^2/d)}}{H(m)} - (\alpha_{H2PO4} + 2\alpha_{HPO4} + 3\alpha_{PO4}) \frac{1 \text{ eq}}{\text{moleP}} \frac{\text{moleP}}{30.974 \text{ gP}} \frac{\text{gP}}{10^6 \mu \text{gP}} \times \frac{\text{BotAlgExP(mgP/m^2/d)}}{H(m)} \right)$$
(212)

# 5.6 SOD/Nutrient Flux Model

Sediment nutrient fluxes and sediment oxygen demand (SOD) are based on a model developed by Di Toro (Di Toro et al. 1991, Di Toro and Fitzpatrick. 1993, Di Toro 2001). The present version also benefited from James Martin's (Mississippi State University, personal communication) efforts to incorporate the Di Toro approach into EPA's WASP modeling framework.

A schematic of the model is depicted in Figure 24. As can be seen, the approach allows oxygen and nutrient sediment-water fluxes to be computed based on the downward flux of particulate organic matter from the overlying water. The sediments are divided into 2 layers: a thin ( $\cong$  1 mm) surface aerobic layer underlain by a thicker (10 cm) lower anaerobic layer. Organic carbon, nitrogen and phosphorus are delivered to the anaerobic sediments via the settling of particulate organic matter (i.e., phytoplankton and detritus). There they are transformed by mineralization reactions into dissolved methane, ammonium and inorganic phosphorus. These constituents are then transported to the aerobic layer where some of the methane and ammonium are oxidized. The flux of oxygen from the water required for these oxidations is the sediment oxygen demand. The following sections provide details on how the model computes this SOD along with the sediment-water fluxes of carbon, nitrogen and phosphorus that are also generated in the process.



Figure 24 Schematic of SOD-nutrient flux model of the sediments.

# 5.6.1 Diagenesis

As summarized in Figure 25, the first step in the computation involves determining how much of the downward flux of particulate organic matter (POM) is converted into soluble reactive forms in the anaerobic sediments. This process is referred to as diagenesis. First the total downward flux

of carbon, nitrogen and prosphorus are computed as the sums of the fluxes of settling phytoplankton and organic matter delivered to the sediments from the water column

$$J_{POC} = r_{ca}v_{a}a_{p} + r_{cd}v_{dl}m_{o}$$

$$J_{PON} = v_{a}q_{Np}a_{p} + v_{on}n_{o}$$

$$J_{POC} = v_{a}q_{Pp}a_{p} + v_{op}p_{o}$$
(213)

where  $J_{POC}$  = the downward flux of POC [gC m<sup>-2</sup> d<sup>-1</sup>],  $r_{ca}$  = the ratio of carbon to chlorophyll *a* [gC/mgA],  $v_a$  = phytoplankton settling velocity [m/d],  $a_p$  = phytoplankton concentration [mgA/m<sup>3</sup>],  $r_{cd}$  = the ratio of carbon to dry weight [gC/gD],  $v_{dt}$  = detritus settling velocity [m/d],  $m_o$  = detritus concentration [gD/m<sup>3</sup>],  $J_{PON}$  = the downward flux of PON [mgN m<sup>-2</sup> d<sup>-1</sup>],  $q_{Np}$  = the phytoplankton nitrogen cell quota [mgN mgA<sup>-1</sup>],  $v_{on}$  = organic nitrogen settling velocity [m/d],  $n_o$  = organic nitrogen concentration [mgN m<sup>-3</sup>],  $J_{POP}$  = the downward flux of POP [mgP m<sup>-2</sup> d<sup>-1</sup>],  $q_{Pp}$  = the phytoplankton phosphorus cell quota [mgP mgA<sup>-1</sup>],  $v_{op}$  = organic phosphorus settling velocity [m/d], and  $p_o$  = organic phosphorus concentration [mgP m<sup>-3</sup>].





# Figure 25 Representation of how settling particulate matter is transformed into fluxes of dissolved carbon $(J_c)$ , nitrogen $(J_N)$ and phosphorus $(J_P)$ in the anaerobic sediments.

Note that for convenience, we express the particulate organic carbon (POC) as oxygen equivalents using the stoichiometric coefficient  $r_{oc}$ . Each of the nutrient fluxes is further broken down into three reactive fractions: labile (G1), slowly reacting (G2) and non-reacting (G3).

These fluxes are then entered into mass balances to compute the concentration of each fraction in the anaerobic layer. For example, for labile POC, a mass balance is written as

$$H_2 \frac{dPOC_{2,G1}}{dt} = J_{POC,G1} - k_{POC,G1} \theta_{POC,G1}^{T-20} H_2 POC_{2,G1} - w_2 POC_{2,G1}$$
(214)

where  $H_2$  = the thickness of the anaerobic layer [m],  $POC_{2,G1}$  = the concentration of the labile fraction of POC in the anaerobic layer [gO<sub>2</sub>/m<sup>3</sup>],  $J_{POC,G1}$  = the flux of labile POC delivered to the anaerobic layer [gO<sub>2</sub>/m<sup>2</sup>/d],  $k_{POC,G1}$  = the mineralization rate of labile POC [d<sup>-1</sup>],  $\theta_{POC,G1}$  = temperature correction factor for labile POC mineralization [dimensionless], and  $w_2$  = the burial velocity [m/d]. At steady state, Eq. 197 can be solved for

$$POC_{2,G1} = \frac{J_{POC,G1}}{k_{POC,G1}\theta_{POC,G1}^{T-20}H_2 + v_b}$$
(215)

The flux of labile dissolved carbon,  $J_{C,G1}$  [gO<sub>2</sub>/m<sup>2</sup>/d], can then be computed as

$$J_{C,G1} = k_{POC,G1} \theta_{POC,G1}^{T-20} H_2 POC_{2,G1}$$
(216)

In a similar fashion, a mass balance can be written and solved for the slowly reacting dissolved organic carbon. This result is then added to Eq. 199 to arrive at the total flux of dissolved carbon generated in the anaerobic sediments.

$$J_{C} = J_{C,G1} + J_{C,G2}$$
(217)

Similar equations are developed to compute the diagenesis fluxes of nitrogen,  $J_N$  [gN/m<sup>2</sup>/d], and phosphorus  $J_P$  [gP/m<sup>2</sup>/d].

#### 5.6.2 Ammonium

Based on the mechanisms depicted in Figure 24, mass balances can be written for total ammonium in the aerobic layer and the anaerobic layers,

$$H_{1} \frac{dNH_{4,1}}{dt} = \omega_{12} \left( f_{pa2} NH_{4,2} - f_{pa1} NH_{4,1} \right) + K_{L12} \left( f_{da2} NH_{4,2} - f_{da1} NH_{4,1} \right) - w_{2} NH_{4,1}$$

$$+ s \left( \frac{n_{a}}{1000} - f_{da1} NH_{4,1} \right) - \frac{\kappa_{NH4,1}^{2}}{s} \theta_{NH4}^{T-20} \frac{K_{NH4}}{K_{NH4} + NH_{4,1}} \frac{o}{2K_{NH4,O2} + o} f_{da1} NH_{4,1}$$

$$H_{2} \frac{dNH_{4,2}}{dt} = J_{N} + \omega_{12} \left( f_{pa1} NH_{4,1} - f_{pa2} NH_{4,2} \right) + K_{L12} \left( f_{da1} NH_{4,1} - f_{da2} NH_{4,2} \right)$$

$$(218)$$

where  $H_1$  = the thickness of the aerobic layer [m],  $NH_{4,1}$  and  $NH_{4,2}$  = the concentration of total ammonium in the aerobic layer and the anaerobic layers, respectively [gN/m<sup>3</sup>],  $n_a$  = the ammonium concentration in the overlying water [mgN/m<sup>3</sup>],  $\kappa_{NH4,1}$  = the reaction velocity for nitrification in the aerobic sediments [m/d],  $\theta_{NH4}$  = temperature correction factor for nitrification [dimensionless],  $K_{NH4}$  = ammonium half-saturation constant [gN/m<sup>3</sup>], o = the dissolved oxygen concentration in the overlying water [gO<sub>2</sub>/m<sup>3</sup>], and  $K_{NH4,O2}$  = oxygen half-saturation constant [mgO<sub>2</sub>/L], and  $J_N$  = the diagenesis flux of ammonium [gN/m<sup>2</sup>/d].

The fraction of ammonium in dissolved  $(f_{dai})$  and particulate  $(f_{pai})$  form are computed as

$$f_{dai} = \frac{1}{1 + m_i \pi_{ai}} \tag{220}$$

$$f_{pai} = 1 - f_{dai} \tag{221}$$

where  $m_i$  = the solids concentration in layer *i* [gD/m<sup>3</sup>], and  $\pi_{ai}$  = the partition coefficient for ammonium in layer *i* [m<sup>3</sup>/gD].

The mass transfer coefficient for particle mixing due to bioturbation between the layers,  $\omega_{12}$  [m/d], is computed as

(219)

 $+ w_2 (NH_{4,1} - NH_{4,2})$ 

$$\omega_{12} = \frac{D_p \theta_{Dp}^{T-20}}{H_2} \frac{POC_{2,G1} / r_{oc}}{POC_R} \frac{O}{K_{M,Dp} + O}$$
(222)

where  $D_p$  = diffusion coefficient for bioturbation [m<sup>2</sup>/d],  $\theta_{Dp}$  = temperature coefficient [dimensionless],  $POC_R$  = reference G1 concentration for bioturbation [gC/m<sup>3</sup>] and  $K_{M,Dp}$  = oxygen half-saturation constant for bioturbation [gO<sub>2</sub>/m<sup>3</sup>].

The mass transfer coefficient for pore water diffusion between the layers,  $K_{L12}$  [m/d], is computed as,

$$K_{L12} = \frac{D_d \theta_{Dd}^{T-20}}{H_2 / 2}$$
(223)

where  $D_d$  = pore water diffusion coefficient [m<sup>2</sup>/d], and  $\theta_{Dd}$  = temperature coefficient [dimensionless].

The mass transfer coefficient between the water and the aerobic sediments, s [m/d], is computed as

$$s = \frac{SOD}{o}$$
(224)

where SOD = the sediment oxygen demand  $[gO_2/m^2/d]$ .

At steady state, Eqs. 201 and 202 are two simultaneous nonlinear algebraic equations. The equations can be linearized by assuming that the  $NH_{4,1}$  term in the Monod term for nitrification is constant. The simultaneous linear equations can then be solved for  $NH_{4,1}$  and  $NH_{4,2}$ . The flux of ammonium to the overlying water can then be computed as

$$J_{NH4} = s \left( f_{da1} N H_{4,1} - \frac{n_a}{1000} \right)$$
(225)

#### 5.6.3 Nitrate

Mass balances for nitrate can be written for the aerobic and anaerobic layers as

$$H_{1} \frac{dNO_{3,1}}{dt} = K_{L12} \left( NO_{3,2} - NO_{3,1} \right) - w_{2}NO_{3,1} + s \left( \frac{n_{n}}{1000} - NO_{3,1} \right) + \frac{\kappa_{NH4,1}^{2}}{s} \theta_{NH4}^{T-20} \frac{K_{NH4}}{K_{NH4} + NH_{4,1}} \frac{o}{2K_{NH4,O2} + o} f_{da1}NH_{4,1} - \frac{\kappa_{NO3,1}^{2}}{s} \theta_{NO3}^{T-20}NO_{3,1}$$
(226)

$$H_2 \frac{dNO_{3,2}}{dt} = J_N + K_{L12} \left( NO_{3,1} - NO_{3,2} \right) + w_2 \left( NO_{3,1} - NO_{3,2} \right) - \kappa_{NO3,2} \theta_{NO3}^{T-20} NO_{3,2}$$
(227)

where  $NO_{3,1}$  and  $NO_{3,2}$  = the concentration of nitrate in the aerobic layer and the anaerobic layers, respectively [gN/m<sup>3</sup>],  $n_n$  = the nitrate concentration in the overlying water [mgN/m<sup>3</sup>],  $\kappa_{NO3,1}$  and
$\kappa_{NO3,2}$  = the reaction velocities for denitrification in the aerobic and anaerobic sediments, respectively [m/d], and  $\theta_{NO3}$  = temperature correction factor for denitrification [dimensionless].

In the same fashion as for Eqs. 201 and 202, Eqs. 209 and 210 can be linearized and solved for  $NO_{3,1}$  and  $NO_{3,2}$ . The flux of nitrate to the overlying water can then be computed as

$$J_{NO3} = s \left( NO_{3,1} - \frac{n_n}{1000} \right)$$
(228)

Denitrification requires a carbon source as represented by the following chemical equation,

$$5CH_2O + 4NO_3^- + 4H^+ \rightarrow 5CO_2 + 2N_2 + 7H_2O$$
 (229)

The carbon requirement (expressed in oxygen equivalents per nitrogen) can therefore be computed as

$$r_{ondn} = 2.67 \frac{\text{gO}_2}{\text{gC}} \frac{5 \text{ moleC} \times 12 \text{ gC/moleC}}{4 \text{ moleN} \times 14 \text{ gN/moleN}} \times \frac{1 \text{ gN}}{1000 \text{ mgN}} = 0.00286 \frac{\text{gO}_2}{\text{mgN}}$$
(230)

Therefore, the oxygen equivalents consumed during denitrification,  $J_{O2,dn}$  [gO<sub>2</sub>/m<sup>2</sup>/d], can be computed as

$$J_{O2,dn} = 1000 \frac{\text{mgN}}{\text{gN}} \times r_{ondn} \left( \frac{\kappa_{NO3,1}^2}{s} \theta_{NO3}^{T-20} N O_{3,1} + \kappa_{NO3,2} \theta_{NO3}^{T-20} N O_{3,2} \right)$$
(231)

### 5.6.4 Methane

The dissolved carbon generated by diagenesis is converted to methane in the anaerobic sediments. Because methane is relatively insoluble, its saturation can be exceeded and methane gas produced. As a consequence, rather than write a mass balance for methane in the anaerobic layer, an analytical model developed by Di Toro et al. (1990) is used to determine the steady-state flux of dissolved methane corrected for gas loss delivered to the aerobic sediments.

First, the carbon diagenesis flux is corrected for the oxygen equivalents consumed during denitrification,

$$J_{CH4,T} = J_C - J_{O2,dn}$$
(232)

where  $J_{CH4,T}$  = the carbon diagenesis flux corrected for denitrification [gO<sub>2</sub>/m<sup>2</sup>/d]. In other words, this is the total anaerobic methane production flux expressed in oxygen equivalents.

If  $J_{CH4,T}$  is sufficiently large ( $\ge 2K_{L12}C_s$ ), methane gas will form. In such cases, the flux can be corrected for the gas loss,

$$J_{CH4,d} = \sqrt{2K_{L12}C_s J_{CH4,T}}$$
(233)

QUAL2K

where  $J_{CH4,d}$  = the flux of dissolved methane (expressed in oxygen equivalents) that is generated in the anaerobic sediments and delivered to the aerobic sediments [gO<sub>2</sub>/m<sup>2</sup>/d],  $C_s$  = the saturation concentration of methane expressed in oxygen equivalents [mgO<sub>2</sub>/L]. If  $J_{CH4,T} < 2K_{L12}C_s$ , then no gas forms and

$$J_{CH4,d} = J_{CH4,T} \tag{234}$$

The methane saturation concentration is computed as

$$C_s = 100 \left(1 + \frac{H}{10}\right) 1.024^{20-T}$$
(235)

where H = water depth [m] and T = water temperature [°C].

A methane mass balance can then be written for the aerobic layer as

$$H_{1} \frac{dCH_{4,1}}{dt} = J_{CH4,d} + s(c_{f} - CH_{4,1}) - \frac{\kappa_{CH4,1}^{2}}{s} \theta_{CH4}^{T-20} CH_{4,1}$$
(236)

where  $CH_{4,1}$  = methane concentration in the aerobic layer [gO<sub>2</sub>/m<sup>3</sup>],  $c_f$  = fast CBOD in the overlying water [gO<sub>2</sub>/m<sup>3</sup>],  $\kappa_{CH4,1}$  = the reaction velocity for methane oxidation in the aerobic sediments [m/d], and  $\theta_{CH4}$  = temperature correction factor [dimensionless]. At steady, state, this balance can be solved for

$$CH_{4,1} = \frac{J_{CH4,d} + sc_f}{s + \frac{\kappa_{CH4,1}^2}{s} \theta_{CH4}^{T-20}}$$
(237)

The flux of methane to the overlying water,  $J_{CH4}$  [gO<sub>2</sub>/m<sup>2</sup>/d], can then be computed as

$$J_{CH4} = s \left( CH_{4,1} - c_f \right)$$
(238)

#### 5.6.5 SOD

The SOD  $[gO_2/m^2/d]$  is equal to the sum of the oxygen consumed in methane oxidation and nitrification,

$$SOD = CSOD + NSOD \tag{239}$$

where CSOD = the amount of oxygen demand generated by methane oxidation [gO<sub>2</sub>/m<sup>2</sup>/d] and NSOD = the amount of oxygen demand generated by nitrification [gO<sub>2</sub>/m<sup>2</sup>/d]. These are computed as

$$CSOD = \frac{\kappa_{CH4,1}^2}{s} \theta_{CH4}^{T-20} CH_{4,1}$$
(240)

$$NSOD = r_{on} \frac{\kappa_{NH4,1}^2}{s} \theta_{NH4}^{T-20} \frac{K_{NH4}}{K_{NH4} + NH_{4,1}} \frac{o}{2K_{NH4,O2} + o} f_{da1} NH_{4,1}$$
(241)

where  $r_{on}$  = the ratio of oxygen to nitrogen consumed during nitrification [= 4.57 gO<sub>2</sub>/gN].

### 5.6.6 Inorganic Phosphorus

Mass balances can be written total inorganic phosphorus in the aerobic layer and the anaerobic layers as

$$H_{1} \frac{dPO_{4,1}}{dt} = \omega_{12} \left( f_{pp2} PO_{4,2} - f_{pp1} PO_{4,1} \right) + K_{L12} \left( f_{dp2} PO_{4,2} - f_{dp1} PO_{4,1} \right)$$

$$- w_{2} PO_{4,1} + s \left( \frac{P_{i}}{1000} - f_{da1} PO_{4,1} \right)$$

$$H_{2} \frac{dPO_{4,2}}{dt} = J_{P} + \omega_{12} \left( f_{pp1} PO_{4,1} - f_{pp2} PO_{4,2} \right) + K_{L12} \left( f_{dp1} PO_{4,1} - f_{dp2} PO_{4,2} \right)$$

$$+ w_{2} \left( PO_{4,1} - PO_{4,2} \right)$$
(242)
$$(243)$$

where  $PO_{4,1}$  and  $PO_{4,2}$  = the concentration of total inorganic phosphorus in the aerobic layer and the anaerobic layers, respectively [gP/m<sup>3</sup>],  $p_i$  = the inorganic phosphorus in the overlying water [mgP/m<sup>3</sup>], and  $J_P$  = the diagenesis flux of phosphorus [gP/m<sup>2</sup>/d].

The fraction of phosphorus in dissolved  $(f_{dpi})$  and particulate  $(f_{ppi})$  form are computed as

$$f_{dpi} = \frac{1}{1 + m_i \pi_{pi}}$$
(244)

$$f_{ppi} = 1 - f_{dpi} \tag{245}$$

where  $\pi_{pi}$  = the partition coefficient for inorganic phosphorus in layer *i* [m<sup>3</sup>/gD].

The partition coefficient in the anaerobic layer is set to an input value. For the aerobic layer, if the oxygen concentration in the overlying water column exceeds a critical concentration,  $o_{crit}$  [gO<sub>2</sub>/m<sup>3</sup>], then the partition coefficient is increased to represent the sorption of phosphorus onto iron oxyhydroxides as in

$$\pi_{p1} = \pi_{p2} \left( \Delta \pi_{PO4,1} \right)$$
(246)

where  $\Delta \pi_{PO4,1}$  is a factor that increases the aerobic layer partition coefficient relative to the anaerobic coefficient.

If the oxygen concentration falls below  $o_{crit}$  then the partition coefficient is decreased smoothly until it reaches the anaerobic value at zero oxygen,

$$\pi_{p1} = \pi_{p2} \left( \Delta \pi_{PO4,1} \right)^{p/o_{crit}}$$
(247)

Equations 225 and 226 can be solved for  $PO_{4,1}$  and  $PO_{4,2}$ . The flux of phosphorus to the overlying water can then be computed as

$$J_{PO4} = s \left( PO_{4,1} - \frac{p_i}{1000} \right)$$
(248)

### 5.6.7 Solution Scheme

Although the foregoing sequence of equations can be solved, a single computation will not yield a correct result because of the interdependence of the equations. For example, the surface mass transfer coefficient s depends on SOD. The SOD in turn depends on the ammonium and methane concentrations which themselves are computed via mass balances that depend on *s*. Hence, an iterative technique must be used. The procedure used in QUAL2K is

- 1. Determine the diagenesis fluxes:  $J_C$ ,  $J_N$  and  $J_P$ .
- 2. Start with an initial estimate of SOD,

$$SOD_{init} = J_C + r_{on}J_N \tag{249}$$

where  $r_{on}$ ' = the ratio of oxygen to nitrogen consumed for total conversion of ammonium to nitrogen gas via nitrification/denitrification [= 1.714 gO<sub>2</sub>/gN]. This ratio accounts for the carbon utilized for denitrification.

3. Compute *s* using

$$s = \frac{SOD_{init}}{o}$$
(250)

- 4. Solve for ammonium, nitrate and methane, and compute the CSOD and NSOD.
- 5. Make a revised estimate of SOD using the following weighted average

$$SOD = \frac{SOD_{init} + CSOD + NSOD}{2}$$
(251)

6. Check convergence by calculating an approximate relative error

$$\varepsilon_a = \left| \frac{SOD - SOD_{init}}{SOD} \right| \times 100\%$$
(252)

- 7. If  $\varepsilon_a$  is greater than a prespecified stopping criterion  $\varepsilon_s$  then set  $SOD_{init} = SOD$  and return to step 2.
- 8. If convergence is adequate ( $\varepsilon_a \le \varepsilon_s$ ), then compute the inorganic phosphorus concentrations.

9. Compute the ammonium, nitrate, methane and phosphate fluxes.

### 5.6.8 Supplementary Fluxes

Because of the presence of organic matter deposited prior to the summer steady-state period (e.g., during spring runoff), it is possible that the downward flux of particulate organic matter is insufficient to generate the observed SOD. In such cases, a supplementary SOD can be prescribed,

$$SOD_t = SOD + SOD_s$$
(253)

where  $SOD_t$  = the total sediment oxygen demand [gO<sub>2</sub>/m<sup>2</sup>/d], and  $SOD_s$  = the supplementary SOD [gO<sub>2</sub>/m<sup>2</sup>/d]. In addition, prescribed ammonia and methane fluxes can be used to supplement the computed fluxes.

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# **APPENDIX A: NOMENCLATURE**

Symbol	Definition	Units
$A_{acres,i}$	surface area of element i	acres
$[CO_2]_s$	saturation concentration of carbon dioxide	mole/L
[CO <sub>3</sub> <sup>2-</sup> ]	carbonate ion	mole/L
$[H^+]$	hydronium ion	mole/L
[H <sub>2</sub> CO <sub>3</sub> *]	sum of dissolved carbon dioxide and carbonic acid	mole/L
[HCO <sub>3</sub> <sup>-</sup> ]	bicarbonate ion	mole/L
[OH <sup>-</sup> ]	hydroxyl ion	mole/L
a	velocity rating curve coefficient	dimensionless
<i>a</i> "	mean atmospheric transmission coefficient after scattering and absorption	Dimensionless
a'	mean atmospheric transmission coefficient	Dimensionless
$a_1$	atmospheric molecular scattering coefficient for radiation transmission	dimensionless
$A_a$	atmospheric long-wave radiation coefficient	dimensionless
$A_b$	atmospheric long-wave radiation coefficient	$mmHg^{-0.5}$ or $mb^{-0.5}$
$a_b$	bottom algae	mgA/m <sup>2</sup>
$A_c$	cross-sectional area	$m^2$
$a_d$	coefficient correcting dam reaeration for water quality	dimensionless
Alk	alkalinity	eq $L^{-1}$ or
		mgCaCO <sub>3</sub> /L
$a_p$	phytoplankton	mgC/L
$a_p$	phytoplankton concentration	mgA/m <sup>3</sup>
$a_t$	atmospheric attenuation	dimensionless
$a_{tc}$	atmospheric transmission coefficient	dimensionless
В	average element width	m
b	velocity rating curve exponent	dimensionless
$B_0$	bottom width	m
$b_d$	coefficient correcting dam reaeration for dam type	dimensionless
$c_1$	Bowen's coefficient	0.47 mmHg/°C
C <sub>f</sub>	fast reacting CBOD	mgO <sub>2</sub> /L
$C_f$	fast CBOD in the overlying water	$gO_2/m^3$
$C_{gb}(T)$	temperature-dependent maximum photosynthesis rate	$mgA/(m^2 d)$
$CH_{4,1}$	methane concentration in the aerobic sediment layer	$\frac{\mathrm{mgr}(\mathrm{m}^{2}\mathrm{u})}{\mathrm{gO}_{2}/\mathrm{m}^{3}}$
$\frac{CH_{4,1}}{C_L}$	fraction of sky covered with clouds	dimensionless
$\mathcal{C}_{nps,i,j}$	<i>j</i> th non-point source concentration for element <i>i</i>	°C
$C_p$	specific heat of water	cal/(g °C)
$\mathcal{C}_{ps,i,j}$	<i>i</i> th point source concentration for element <i>i</i>	°C
$C_{ps,l,j}$ $C_{s}$	slowly reacting CBOD	mgO <sub>2</sub> /L
$\frac{c_s}{C_s}$	saturation concentration of methane	mgO <sub>2</sub> /L
CSOD	the amount of oxygen demand generated by methane oxidation	$gO_2/m^2/d$
$c_T$	total inorganic carbon	mole L <sup>-1</sup>
<i>C</i> ' <i>T</i> , <i>i</i> -1	concentration of inorganic carbon entering element below a dam	mgO <sub>2</sub> /L

d	dust attenuation coefficient	dimensionless
$D_d$	pore water diffusion coefficient	m²/d
$D_p$	diffusion coefficient for bioturbation	$\frac{m^2/d}{m^2/d}$
$\frac{E_{p}}{E_{i}^{\prime}}$	bulk dispersion coefficient between elements $i$ and $i + 1$	$\frac{m^2/d}{m^3/d}$
e <sub>air</sub>	air vapor pressure	mm Hg
elev	elevation above sea level	m
$\frac{Elev}{E_n}$	numerical dispersion	$\frac{m^2}{d}$
$E_{p,i}$	longitudinal dispersion between elements $i$ and $i + 1$	$\frac{m/a}{m^2/s}$
<i>eqtime</i>	equation of time: the difference between mean solar time	minutes
equme	and true solar time when located on the reference	minutes
	longitude of the time zone considered	
$e_s$	saturation vapor pressure at water surface	mmHg
$\frac{c_s}{f}$	photoperiod	fraction of day
J f <sub>dai</sub>	fraction of ammonium in dissolved form in sediment	dimensionless
J dai	layer <i>i</i>	dimensioniess
$f_{dpi}$	fraction of inorganic phosphorus in dissolved form in	dimensionless
Jdpi	sediment layer <i>i</i>	annonsionness
$F_{Lp}$	phytoplankton growth attenuation due to light	dimensionless
$F_{oxc}$	attenuation of CBOD oxidation due to low oxygen	dimensionless
$F_{oxdn}$	enhancement of denitrification at low oxygen	dimensionless
1 oxan	concentration	annensionness
F <sub>oxna</sub>	attenuation due to low oxygen	dimensionless
F <sub>oxrb</sub>	attenuation due to low oxygen	dimensionless
F <sub>oxrp</sub>	attenuation due to low oxygen	dimensionless
fpai	fraction of ammonium in particulate form in sediment	dimensionless
J pai	layer <i>i</i>	unitensientese
$f_{ppi}$	fraction of inorganic phosphorus in particulate form in	dimensionless
5 pp	sediment layer <i>i</i>	
$F_u$	fraction unionized ammonia	
g	acceleration due to gravity	$= 9.81 \text{ m/s}^2$
gX	mass of element X	g
H	water depth	m
Н	water depth	m
$H_d$	drop in water elevation for a dam	m
$H_i$	thickness of sediment layer <i>i</i>	m
H <sub>sed</sub>	sediment thickness	cm
<i>I</i> (0)	solar radiation at water surface	cal/cm <sup>2</sup> /d
$I_0$	extraterrestrial radiation	cal/cm <sup>2</sup> /d
J <sub>an</sub>	net atmospheric longwave radiation flux	$cal/(cm^2 d)$
	longwave back radiation flux from water	$cal/(cm^2 d)$
$J_{hr}$		
$\frac{J_{br}}{J_c}$	conduction flux	$cal/(cm^2 d)$
$J_c$	conduction flux	$\frac{\text{cal/(cm}^2 \text{ d})}{\text{gO}_2/\text{m}^2/\text{d}}$
$\frac{J_c}{J_{C,G1}}$	conduction flux       flux of labile dissolved carbon	$gO_2/m^2/d$
$\begin{array}{c} J_c \\ \hline J_{C,G1} \\ \hline J_{CH4} \end{array}$	conduction fluxflux of labile dissolved carbonflux of methane from sediments to the overlying water	$\frac{gO_2/m^2/d}{gO_2/m^2/d}$
$\frac{J_c}{J_{C,G1}}$	conduction fluxflux of labile dissolved carbonflux of methane from sediments to the overlying waterflux of dissolved methane generated in anaerobic	$gO_2/m^2/d$
$\frac{J_c}{J_{C,G1}} \\ \frac{J_{CH4}}{J_{CH4,d}}$	conduction fluxflux of labile dissolved carbonflux of methane from sediments to the overlying waterflux of dissolved methane generated in anaerobicsediments corrected for methane gas formation	$\frac{gO_2/m^2/d}{gO_2/m^2/d}$ $\frac{gO_2/m^2/d}{gO_2/m^2/d}$
$\begin{array}{c} J_c \\ \hline J_{C,G1} \\ \hline J_{CH4} \end{array}$	conduction fluxflux of labile dissolved carbonflux of methane from sediments to the overlying waterflux of dissolved methane generated in anaerobic	$\frac{gO_2/m^2/d}{gO_2/m^2/d}$

$J_N$	nitrogen diagenesis flux	gN/m <sup>2</sup> /d
$J_{O2,dn}$	oxygen equivalents consumed during denitrification	$gO_2/m^2/d$
$J_P$	phosphorus diagenesis flux	gP/m <sup>2</sup> /d
$J_{POC,G1}$	the flux of labile POC delivered to the anaerobic	$gO_2/m^2/d$
0100,01	sediment layer	8 - 2, ,
$J_{POM}$	the downward flux of POM	$gD m^{-2} d^{-1}$
$J_{si}$	sediment-water heat flux	$cal/(cm^2 d)$
$\frac{J_{sn}}{J_{sn}}$	net solar shortwave radiation flux	$\frac{cal/(cm^2 d)}{cal/(cm^2 d)}$
$\frac{sn}{k(T)}$	temperature dependent first-order reaction rate	/d
$K_1$	acidity constant for dissociation of carbonic acid	
$K_2$	acidity constant for dissociation of bicarbonate	
Ka	equilibrium coefficient for ammonium dissociation	
$\frac{k_a(T)}{k_a(T)}$	temperature-dependent oxygen reaeration coefficient	/d
$k_{ac}(T)$	temperature-dependent carbon dioxide reaeration coefficient	/d
$k_{db}(T)$	temperature-dependent bottom algae death rate	/d
$\frac{k_{db}(T)}{k_{dc}(T)}$	temperature-dependent fast CBOD oxidation rate	/d
$\frac{k_{dc}(T)}{k_{dn}(T)}$	temperature-dependent denitrification rate	/d
$\frac{k_{dn}(T)}{k_{dp}(T)}$	temperature-dependent phytoplankton death rate	/d /d
$\frac{k_{ap}(T)}{k_{dt}(T)}$	temperature-dependent detritus dissolution rate	/d /d
$\frac{k_{dl}(T)}{k_{dX}(T)}$	temperature-dependent pathogen die-off rate	/d /d
k <sub>e</sub>	light extinction coefficient	$\frac{7 \text{ m}^2}{/\text{m}^1}$
$\frac{\kappa_e}{k_{eb}}$	a background coefficient accounting for extinction due to	/m /m
Reb	water and color	/111
$k_{gp}(T)$	maximum photosynthesis rate at temperature T	/d
$K_H$	Henry's constant	mole/(L atm)
$k_{hc}(T)$	temperature-dependent slow CBOD hydrolysis rate	/d
$k_{hn}(T)$	temperature-dependent organic nitrogen hydrolysis rate	/d
k <sub>hnxb</sub>	preference coefficient of bottom algae for ammonium	mgN/m <sup>3</sup>
k <sub>hnxp</sub>	preference coefficient of phytoplankton for ammonium	mgN/m <sup>3</sup>
$k_{hp}(T)$	temperature-dependent organic phosphorus hydrolysis rate	/d
$K_{L12}$	pore water diffusion mass transfer coefficient	m/d
$K_{Lb}$	bottom algae light parameter	
$K_{Lp}$	phytoplankton light parameter	ly/d
$K_{M,Dp}$	oxygen half-saturation constant for bioturbation	$gO_2/m^3$
$k_{na}(T)$	temperature-dependent nitrification rate for ammonia nitrogen	/d
$K_{NH4}$	ammonium half-saturation constant	gN/m <sup>3</sup>
K <sub>NH4,O2</sub>	oxygen half-saturation constant	mgO <sub>2</sub> /L
$k_{POC,G1}$	the mineralization rate of labile POC	$\frac{d^{-1}}{d^{-1}}$
$\frac{k_{rb}(T)}{k_{rb}(T)}$	temperature-dependent bottom algae respiration rate	/d
$\frac{k_{rp}(T)}{k_{rp}(T)}$	temperature-dependent phytoplankton	/d
P(-)	respiration/excretion rate	
$k_{sNb}$	nitrogen half-saturation constant for bottom algae	µgN/L
I SIND	- · · · · · · · · · · · · · · · · · · ·	
$k_{sNp}$	nitrogen half-saturation constant for phytoplankton	μgN/L

Ksodn	parameter for oxygen dependency of denitrification	
K <sub>sona</sub>	parameter for oxygen dependency of nitrification	
$k_{sPb}$	phosphorus half-saturation constant for bottom algae	μgP/L
$k_{sPp}$	phosphorus half-saturation constant for phytoplankton	µgP/L
K <sub>w</sub>	acidity constant for dissociation of water	
$L_{at}$	latitude	radians
L <sub>lm</sub>	longitude of local meridian	degrees
localTime	local standard time	minutes
$L_{sm}$	longitude of standard meridian	degrees
 M	optical air mass	dimensionless
mgY	mass of element Y	mg
$m_i$	inorganic suspended solids	mgD/L
$m_i$	the solids concentration in sediment layer <i>i</i>	gD/m <sup>3</sup>
m <sub>o</sub>	detritus	mgD/L
m <sub>o</sub>	detritus concentration	gD/m <sup>3</sup>
n	Manning roughness coefficient	8
$n_a$	the ammonium concentration in the overlying water	mgN/m <sup>3</sup>
n <sub>au</sub>	unionized ammonia nitrogen	mgN/m <sup>3</sup>
n <sub>fac</sub>	atmospheric turbidity factor	dimensionless
NH <sub>4,i</sub>	the concentration of total ammonium in sediment layer <i>i</i>	gN/m <sup>3</sup>
$n_n$	nitrate concentration in the overlying water	mgN/m <sup>3</sup>
$n_o$	organic nitrogen	mgN/m <sup>3</sup>
NO <sub>3,i</sub>	nitrate concentration in layer <i>i</i>	gN/m <sup>3</sup>
npai	total number of non-point withdrawals outflows from	dimensionless
npui	element <i>i</i>	unitensioniess
npsi	total number of non-point sources inflows to element <i>i</i>	dimensionless
NSOD	the amount of oxygen demand generated by nitrification	$gO_2/m^2/d$
0	dissolved oxygen	mgO <sub>2</sub> /L
0	the dissolved oxygen concentration in the overlying	$gO_2/m^3$
	water	0 2
0' <sub>i-1</sub>	oxygen concentration entering an element below a dam	mgO <sub>2</sub> /L
0 <sub>crit</sub>	critical oxygen concentration for sediment phosphorus	$gO_2/m^3$
Cr ti	sorption	0 2
$o_s(T, elev)$	saturation concentration of oxygen at temperature, <i>T</i> , and	mgO <sub>2</sub> /L
	elevation above sea level, <i>elev</i>	C
Р	wetted perimeter	m
$P_{ab}$	preference for ammonium as a nitrogen source for	dimensionless
	bottom algae	
pai	total number of point withdrawals from element <i>i</i>	dimensionless
$P_{ap}$	preference for ammonium as a nitrogen source for	dimensionless
•	phytoplankton	
PAR(z)	photosynthetically available radiation (PAR) at depth z	ly/d
· · ·	below water surface	-
$p_{atm}$	atmospheric pressure	mm Hg
p <sub>CO2</sub>	atmospheric partial pressure of carbon dioxide	atm
$\frac{1002}{p_i}$	inorganic phosphorus	μgP/L
$\frac{p_i}{p_i}$	the inorganic phosphorus in the overlying water	mgP/m <sup>3</sup>
PI	and morganic phosphorus in the overlying water	

$PO_{4,i}$	the concentration of total inorganic phosphorus in sediment layer <i>i</i>	gP/m <sup>3</sup>
$POC_{2,G1}$	the concentration of the labile fraction of POC in the anaerobic sediment layer	$gO_2/m^3$
$POC_R$	reference G1 concentration for bioturbation	gC/m <sup>3</sup>
psi	total number of point sources to element <i>i</i>	dimensionless
$p_{wc}$	mean daily atmospheric precipitable water content	•••••••••••
$\frac{PWC}{Q}$	flow	$m^3/s \text{ or } m^3/d$
Q <sub>out,i</sub>	total outflow from element due to point and nonpoint withdrawals	m <sup>3</sup> /d
$Q_i$	outflow from element <i>i</i> into element $i + 1$	m <sup>3</sup> /d
$\widetilde{Q}_{in,i}$	total inflow into element from point and nonpoint	$m^3/d$
<i>Z</i> . <i>m</i> , <i>i</i>	sources	
$Q_{npa,i,j}$	<i>j</i> th non-point withdrawal outflow from element <i>i</i>	m <sup>3</sup> /d
$Q_{nps,i,j}$	<i>j</i> th non-point source inflow to element <i>i</i>	m <sup>3</sup> /d
$Q_{pa,i,j}$	<i>i</i> th point withdrawal outflow from element <i>i</i>	m <sup>3</sup> /d
$Q_{ps,i,j}$	<i>j</i> th point source inflow to element <i>i</i>	$m^3/d$
$\mathcal{L}^{ps,i,j}$	normalized radius of earth's orbit (i.e., ratio of actual	dimensionless
,	earth-sun distance to mean earth-sun distance	diffensioness
<i>r<sub>cndn</sub></i>	ratio of oxygen equivalents lost per nitrate nitrogen that	gO <sub>2</sub> /gN
r cndn	is denitrified	502/51
r <sub>d</sub>	ratio of deficit above and below dam	dimensionless
r <sub>da</sub>	the ratio of dry weight to chlorophyll <i>a</i>	gD/mgA
$R_L$	longwave reflection coefficient	dimensionless
r <sub>oc</sub>	ratio of oxygen consumed per organic carbon oxidized to	gO <sub>2</sub> /gC
1 <sub>0C</sub>	carbon dioxide	
r <sub>oca</sub>	ratio of oxygen generated per organic carbon produced	$gO_2/gC$
	by photosynthesis when nitrate is taken up	
r <sub>ocn</sub>	ratio of oxygen generated per organic carbon produced	$gO_2/gC$
	by photosynthesis when ammonia is taken up	
r <sub>on</sub>	the ratio of oxygen to nitrogen consumed during	$= 4.57 \text{ gO}_2/\text{gN}$
	nitrification	
$r_{on}$	ratio of oxygen consumed per ammonia nitrogen	$gO_2/gN$
,	converted by nitrification/denitrification to nitrogen gas	
$r_{on}$	the ratio of oxygen to nitrogen consumed for total	$= 1.714 \text{ gO}_2/\text{gN}$
	conversion of ammonium to nitrogen gas via	
	nitrification/denitrification	1
$R_s$	albedo or reflectivity (fraction of solar radiation that is reflected)	dimensionless
S	channel slope	dimensionless
S	chloride	mgCl/L
S	mass transfer coefficient between the water and the	m/d
	aerobic sediments	
$S_0$	bottom slope	m/m
$S_{b,i}$	sources and sinks of constituent due to reactions for	mgA/m <sup>2</sup> /d
- 0,1	bottom algae	
$S_i$	sources and sinks of constituent due to reactions and	$g/m^3/d$ or $mg/m^3/d$
~ 1	mass transfer mechanisms for water constituents	
SOD	the sediment oxygen demand	$gO_2/m^2/d$

$SOD_s$	the supplemental sediment oxygen demand	$gO_2/m^2/d$
$SOD_t$	total sediment oxygen demand = $SOD + SOD_s$	$gO_2/m^2/d$
Ss	channel side slope	m/m
t	time	d
Т	water temperature	°C
Т	water temperature	°C
$T_{,w,f}$	water temperature	°F
$T_a$	absolute temperature	K
T <sub>air</sub>	air temperature	°C
$T_{air,f}$	air temperature	°F
$T_d$	dew-point temperature	°C
$T_i$	temperature in element <i>i</i>	°C
timezone	time zone indicates local time zone in relation to Greenwich Mean Time (GMT) (negative in western hemisphere)	hours
$T_{nps,i,j}$	<i>j</i> th non-point source temperature for element <i>i</i>	°C
$T_{ps,i,j}$	<i>j</i> th point source temperature for element <i>i</i>	°C
trueSolarTime	time determined from actual position of the sun in the sky	minutes
$T_{s,i}$	temperature of bottom sediment	°C
$t_{sr}$	time of sunrise	Hr
$t_{ss}$	time of sunset	Hr
$T_{std}$	standard time	Hr
$t_{t,i}$	travel time from headwater to end of element <i>i</i>	D
U	mean velocity	m/s
$U_i^*$	shear velocity	m/s
$U_w$	wind speed	m/s
$U_{w,mph}$	wind speed	mph
$U_{w,z}$	wind speed at height $z_w$ above water surface	m/s
$v_a$	phytoplankton settling velocity	m/d
$v_a$	phytoplankton settling velocity	m/d
$V_{dt}$	detritus settling velocity	m/d
$V_{dt}$	detritus settling velocity	m/d
$v_i$	inorganic suspended solids settling velocity	m/d
$V_i$	volume of <i>i</i> <sup>th</sup> element	m <sup>3</sup>
$v_p$	pathogen settling velocity	m/d
$v_{pc}$	non-living particulate organic carbon settling velocity	m/d
$W_0$	solar constant	2851 cal/cm <sup>2</sup> /d
<i>W</i> <sub>2</sub>	the burial velocity	m/d
$W_{h,i}$	net heat load from point and non-point sources into element <i>i</i>	cal/d
$W_i$	external loading of constituent to element <i>i</i>	g/d or mg/d
X	pathogen	cfu/100 mL
$Z_W$	height above water for wind speed measurements	m

# Greek:

Symbol	Definition	Units
α	depth rating curve coefficient	dimensionless
α	sun's altitude	radians
$lpha_d$	sun's altitude	degrees
$\alpha_{s}$	sediment thermal diffusivity	cm <sup>2</sup> /s
$lpha_0$	fraction of total inorganic carbon in carbon dioxide	dimensionless
$\alpha_1$	fraction of total inorganic carbon in bicarbonate	dimensionless
$lpha_2$	fraction of total inorganic carbon in carbonate	dimensionless
$lpha_i$	effect of inorganic suspended solids on light attenuation	L/mgD/m
$lpha_o$	effect of particulate organic matter on light attenuation	L/mgD/m
$lpha_p$	linear effect of chlorophyll on light attenuation	L/µgA/m
$\alpha_{pn}$	non-linear effect of chlorophyll on light attenuation	$(L/\mu gA)^{2/3}/m$
$\alpha_{path}$	pathogen light efficiency factor	dimensionless
$\frac{\beta}{\beta}$	depth rating curve exponent	dimensionless
δ	solar declination	radians
$\Delta \pi_{PO4,1}$	factor that increases the aerobic sediment layer partition	dimensionless
,-	coefficient relative to the anaerobic coefficient	
$\Delta  heta_{_{\mathcal{V}}}$	virtual temperature difference between the water and air	°F
$\Delta t_s$	difference between standard and local civic time	hr
$\Delta x_i$	length of <i>i</i> th element	m
ε	emissivity of water	dimensionless
$\mathcal{E}_{clear}$	emissivity of longwave radiation from the sky with no clouds	0-1
$\mathcal{E}_{sky}$	emissivity of longwave radiation from the sky with clouds	0-1
$\mathcal{E}_{a}$	estimated error	0/0
$\phi_{Lb}$	bottom algae light attenuation	0-1
$\phi_{Lp}$	phytoplankton light attenuation	0-1
$\phi_{Nb}$	bottom algae nutrient attenuation factor	0-1
$\phi_{Np}$	phytoplankton nutrient attenuation factor	0-1
$\mu_p$	phytoplankton photosynthesis rate	/d
$\frac{\rho}{\rho}$	density of water	g/cm <sup>3</sup>
$\frac{r}{\sigma}$	Stefan-Boltzmann constant	$11.7 \times 10^{-8} \text{ cal/(cm^2 d K^4)}$
τ	local hour angle of sun	radians
$ au_i$	residence time of $i^{\text{th}}$ element	d
$\frac{\theta}{\theta}$	temperature coefficient for zero and first-order reactions	dimensionless
$ heta_{am}$	elevation adjusted optical air mass	
$\omega_{12}$	the bioturbation mass transfer coefficient between the	m/d
$\pi_{ai}$	sediment layers the partition coefficient for ammonium in sediment layer <i>i</i>	m <sup>3</sup> /gD
$\pi_{pi}$	the partition coefficient for inorganic phosphorus in sediment layer <i>i</i>	m <sup>3</sup> /gD
$ heta_{CH4}$	temperature correction factor for sediment methane oxidation	dimensionless

· ·	dimensionless
temperature coefficient for bioturbation diffusion	dimensionless
temperature correction factor for sediment nitrification	dimensionless
sediment denitrification temperature correction factor	dimensionless
temperature correction factor for labile POC	dimensionless
mineralization	
the reaction velocity for methane oxidation in the	m/d
aerobic sediments	
the reaction velocity for nitrification in the aerobic	m/d
sediments	
denitrification reaction velocity sediment layer <i>i</i>	m/d
	sediment denitrification temperature correction factortemperature correction factor for labile POCmineralizationthe reaction velocity for methane oxidation in the aerobic sedimentsthe reaction velocity for nitrification in the aerobic sediments

## APPENDIX B: SOLAR POSITION, SUNRISE, AND SUNSET CALCULATIONS

The sunrise/sunset and solar position functions are a VBA translation of NOAA's sunrise/sunset calculator and NOAA's solar position calculator at the following web pages:

- http://www.srrb.noaa.gov/highlights/sunrise/sunrise.html
- http://www.srrb.noaa.gov/highlights/sunrise/azel.html

The calculations in the NOAA Sunrise/Sunset and Solar Position Calculators are based on equations from Astronomical Algorithms, by Jean Meeus. The sunrise and sunset results have been verified by NOAA to be accurate to within a minute for locations between  $+/-72^{\circ}$  latitude, and within 10 minutes outside of those latitudes.

Five main functions are included for use from Excel worksheets or VBA programs:

- sunrise (lat, lon, year, month, day, timezone, dlstime) calculates the local time of sunrise for a location and date
- solarnoon (lat, lon, year, month, day, timezone, dlstime) calculates the local time of solar noon for a location and date (the time when the sun crosses the meridian)
- sunset (lat, lon, year, month, day, timezone, dlstime) calculates the local time of sunset for a location and date
- solarazimuth (lat, lon, year, month, day, hour, minute, second, timezone, dlstime) calculates the solar azimuth for a location, date, and time (degrees clockwise from north to the point on the horizon directly below the sun)
- solarelevation (lat, lon, year, month, day, hour, minute, second, timezone, dlstime) calculates the solar elevation for a location, date, and time (degrees vertically from horizon to the sun)

A subroutine is also provided that calculates solar azimuth (az), solar elevation (el):

• solarposition (lat, lon, year, month, day, hour, minute, second, timezone, dlstime, az, el, earthRadiusVector)

The sign convention for the main functions and subroutine is:

- positive latitude decimal degrees for northern hemisphere
- negative longitude degrees for western hemisphere
- negative time zone hours for western hemisphere

The Excel/VBA functions and subroutines for solar position, sunrise, and sunset times are as follows:

```
Option Explicit
Function radToDeg(angleRad)
'// Convert radian angle to degrees
      radToDeg = (180# * angleRad / Application.WorksheetFunction.Pi())
End Function
Function degToRad(angleDeg)
'// Convert degree angle to radians
      degToRad = (Application.WorksheetFunction.Pi() * angleDeg / 180#)
End Function
Function calcJD(year, month, day)
'* Name: calcJD
'* Type:
        Function
'* Purpose: Julian day from calendar day
'* Arguments:
    year : 4 digit year
```

```
'* month: January = 1
'* day : 1 - 31
'* Return value:
'* The Julian day corresponding to the date
'* Note:
1 *
  Number is returned for start of day. Fractional days should be
'* added later.
Dim A As Double, B As Double, jd As Double
      If (month <= 2) Then
       year = year - 1
       month = month + 12
      End If
      A = Application.WorksheetFunction.Floor(year / 100, 1)
      B = 2 - A + Application.WorksheetFunction.Floor(A / 4, 1)
      jd = Application.WorksheetFunction.Floor(365.25 * (year + 4716), 1) + _____
Application.WorksheetFunction.Floor(30.6001 * (month + 1), 1) + day + B - 1524.5
       calcJD = jd
'gp put the year and month back where they belong
       If month = 13 Then
       month = 1
       year = year + 1
      End If
      If month = 14 Then
       month = 2
       year = year + 1
      End If
End Function
Function calcTimeJulianCent(jd)
•
'* Name: calcTimeJulianCent
'* Type: Function
'* Purpose: convert Julian Day to centuries since J2000.0.
'* Arguments:
۰*
   jd : the Julian Day to convert
'* Return value:
1 *
'* the T value corresponding to the Julian Day
Dim t As Double
       t = (jd - 2451545\#) / 36525\#
      calcTimeJulianCent = t
End Function
Function calcJDFromJulianCent(t)
•
'* Name: calcJDFromJulianCent
'* Type: Function
'* Type:
'* Purpose: convert centuries since J2000.0 to Julian Day.
'* Arguments:
'* t : number of Julian centuries since J2000.0
'* Return value:
۰*
   the Julian Day corresponding to the t value
Dim jd As Double
       jd = t * 36525# + 2451545#
      calcJDFromJulianCent = jd
End Function
Function calcGeomMeanLongSun(t)
•
'* Name: calGeomMeanLongSun
'* Type:
         Function
'* Purpose: calculate the Geometric Mean Longitude of the Sun
'* Arguments:
'* t : number of Julian centuries since J2000.0
```

```
'* Return value:
   the Geometric Mean Longitude of the Sun in degrees
                        Dim 10 As Double
      10 = 280.46646 + t * (36000.76983 + 0.0003032 * t)
      Do
        If (10 <= 360) And (10 >= 0) Then Exit Do If 10 > 360 Then 10 = 10 - 360
        If 10 < 0 Then 10 = 10 + 360
      qool
      calcGeomMeanLongSun = 10
End Function
Function calcGeomMeanAnomalySun(t)
•
'* Name: calGeomAnomalySun
'* Type: Function
'* Type:
'* Purpose: calculate the Geometric Mean Anomaly of the Sun
'* Arguments:
• *
   t : number of Julian centuries since J2000.0
'* Return value:
'* the Geometric Mean Anomaly of the Sun in degrees
Dim m As Double
      m = 357.52911 + t * (35999.05029 - 0.0001537 * t)
      calcGeomMeanAnomalySun = m
End Function
Function calcEccentricityEarthOrbit(t)
•
'* Name: calcEccentricityEarthOrbit
'* Type: Function
'* Type:
'* Purpose: calculate the eccentricity of earth's orbit
'* Arguments:
'* t : number of Julian centuries since J2000.0
'* Return value:
Dim e As Double
      e = 0.016708634 - t * (0.000042037 + 0.0000001267 * t)
      calcEccentricityEarthOrbit = e
End Function
Function calcSunEqOfCenter(t)
•
'* Name: calcSunEqOfCenter
'* Type:
         Function
'* Purpose: calculate the equation of center for the sun
'* Arguments:
1 *
   t : number of Julian centuries since J2000.0
'* Return value:
Dim m As Double, mrad As Double, sinm As Double, sin2m As Double, sin3m As Double
Dim c As Double
      m = calcGeomMeanAnomalySun(t)
      mrad = degToRad(m)
      sinm = Sin(mrad)
      sin2m = Sin(mrad + mrad)
      sin3m = Sin(mrad + mrad + mrad)
      c = sinm * (1.914602 - t * (0.004817 + 0.000014 * t)) 
+ sin2m * (0.019993 - 0.000101 * t) + sin3m * 0.000289
      calcSunEqOfCenter = c
```

End Function

```
Function calcSunTrueLong(t)
•
'* Name:
       calcSunTrueLong
Function
'* Type:
'* Purpose: calculate the true longitude of the sun
'* Arguments:
1 *
   t : number of Julian centuries since J2000.0
'* Return value:
Dim 10 As Double, c As Double, O As Double
     10 = calcGeomMeanLongSun(t)
     c = calcSunEqOfCenter(t)
     0 = 10 + c
      calcSunTrueLong = 0
End Function
Function calcSunTrueAnomaly(t)
•
'* Name: calcSunTrueAnomaly (not used by sunrise, solarnoon, sunset)
'* Type:
        Function
'* Purpose: calculate the true anamoly of the sun
'* Arguments:
۰*
   t : number of Julian centuries since J2000.0
'* Return value:
'* sun's true anamoly in degrees
                      *****
Dim m As Double, c As Double, v As Double
     m = calcGeomMeanAnomalySun(t)
     c = calcSunEqOfCenter(t)
      v = m + c
     calcSunTrueAnomaly = v
End Function
Function calcSunRadVector(t)
'* Name: calcSunRadVector (not used by sunrise, solarnoon, sunset)
'* Type:
        Function
'* Purpose: calculate the distance to the sun in AU
'* Arguments:
۰*
   t : number of Julian centuries since J2000.0
'* Return value:
Dim v As Double, e As Double, r As Double
      v = calcSunTrueAnomaly(t)
      e = calcEccentricityEarthOrbit(t)
      r = (1.000001018 * (1 - e * e)) / (1 + e * Cos(degToRad(v)))
      calcSunRadVector = r
End Function
Function calcSunApparentLong(t)
'* Name: calcSunApparentLong (not used by sunrise, solarnoon, sunset)
'* Type:
        Function
'* Purpose: calculate the apparent longitude of the sun
'* Arguments:
• *
  t : number of Julian centuries since J2000.0
'* Return value:
'* sun's apparent longitude in degrees
                  ******
```

Dim O As Double, omega As Double, lambda As Double

```
0 = calcSunTrueLong(t)
```

```
omega = 125.04 - 1934.136 * t
lambda = 0 - 0.00569 - 0.00478 * Sin(degToRad(omega))
calcSunApparentLong = lambda
```

End Function

Function calcMeanObliquityOfEcliptic(t)

Dim seconds As Double, e0 As Double

```
seconds = 21.448 - t * (46.815 + t * (0.00059 - t * (0.001813)))
e0 = 23# + (26# + (seconds / 60#)) / 60#
calcMeanObliquityOfEcliptic = e0
```

End Function

```
Function calcObliquityCorrection(t)
```

Dim eO As Double, omega As Double, e As Double

e0 = calcMeanObliquityOfEcliptic(t)

```
omega = 125.04 - 1934.136 * t
e = e0 + 0.00256 * Cos(degToRad(omega))
calcObliquityCorrection = e
```

End Function

Function calcSunRtAscension(t)

Dim e As Double, lambda As Double, tananum As Double, tanadenom As Double Dim alpha As Double

```
e = calcObliquityCorrection(t)
lambda = calcSunApparentLong(t)
tananum = (Cos(degToRad(e)) * Sin(degToRad(lambda)))
tanadenom = (Cos(degToRad(lambda)))
```

```
'original NOAA code using javascript Math.Atan2(y,x) convention:
' var alpha = radToDeg(Math.atan2(tananum, tanadenom));
' alpha = radToDeg(Application.WorksheetFunction.Atan2(tananum, tanadenom))
```

calcSunRtAscension = alpha

End Function

```
Function calcSunDeclination(t)
•
'* Name:
        calcSunDeclination
Function
'* Type:
'* Purpose: calculate the declination of the sun
'* Arguments:
۰.
   t : number of Julian centuries since J2000.0
'* Return value:
1 *
   sun's declination in degrees
1 * * * * * * * * * * * * * *
                            Dim e As Double, lambda As Double, sint As Double, theta As Double
       e = calcObliquityCorrection(t)
       lambda = calcSunApparentLong(t)
       sint = Sin(degToRad(e)) * Sin(degToRad(lambda))
       theta = radToDeg(Application.WorksheetFunction.Asin(sint))
       calcSunDeclination = theta
End Function
Function calcEquationOfTime(t)
•
        calcEquationOfTime
Function
'* Name:
'* Type:
'* Purpose: calculate the difference between true solar time and mean
• *
     solar time
'* Arguments:
'* t : number of Julian centuries since J2000.0
'* Return value:
1*
   equation of time in minutes of time
*****
Dim epsilon As Double, 10 As Double, e As Double, m As Double
Dim y As Double, sin210 As Double, sinm As Double
Dim cos210 As Double, sin410 As Double, sin2m As Double, Etime As Double
       epsilon = calcObliquityCorrection(t)
       10 = calcGeomMeanLongSun(t)
       e = calcEccentricityEarthOrbit(t)
       m = calcGeomMeanAnomalySun(t)
       y = Tan(degToRad(epsilon) / 2#)
       y = y ^ 2
       sin210 = Sin(2# * degToRad(10))
       sinm = Sin(degToRad(m))
       cos210 = Cos(2# * degToRad(10))
sin410 = Sin(4# * degToRad(10))
       sin2m = Sin(2# * degToRad(m))
       Etime = y * sin210 - 2# * e * sinm + 4# * e * y * sinm * cos210 _
- 0.5 * y * y * sin410 - 1.25 * e * e * sin2m
       calcEquationOfTime = radToDeg(Etime) * 4#
End Function
Function calcHourAngleSunrise(lat, SolarDec)
'* Name: calcHourAngleSunrise
'* Type:
          Function
'* Purpose: calculate the hour angle of the sun at sunrise for the
1 *
        latitude
'* Arguments:
'* lat : latitude of observer in degrees
```

```
'* solarDec : declination angle of sun in degrees
'* Return value:
```

Dim latrad As Double, sdRad As Double, HAarg As Double, HA As Double

```
latrad = degToRad(lat)
       sdRad = degToRad(SolarDec)
       HAarg = (Cos(degToRad(90.833)) / (Cos(latrad) * Cos(sdRad)) - Tan(latrad) * Tan(sdRad))
       HA = (Application.WorksheetFunction.Acos(Cos(degToRad(90.833))
             / (Cos(latrad) * Cos(sdRad)) - Tan(latrad) * Tan(sdRad)))
       calcHourAngleSunrise = HA
End Function
Function calcHourAngleSunset(lat, SolarDec)
•
'* Name: calcHourAngleSunset
'* Type:
         Function
'* Purpose: calculate the hour angle of the sun at sunset for the
۰*
        latitude
'* Arguments:
• *
  lat : latitude of observer in degrees
'* solarDec : declination angle of sun in degrees
'* Return value:
'* hour angle of sunset in radians
********
                     *****
Dim latrad As Double, sdRad As Double, HAarg As Double, HA As Double
       latrad = degToRad(lat)
       sdRad = degToRad(SolarDec)
       HAarg = (Cos(degToRad(90.833)) / (Cos(latrad) * Cos(sdRad)) - Tan(latrad) * Tan(sdRad))
       HA = (Application.WorksheetFunction.Acos(Cos(degToRad(90.833))
             / (Cos(latrad) * Cos(sdRad)) - Tan(latrad) * Tan(sdRad)))
       calcHourAngleSunset = -HA
End Function
Function calcSunriseUTC(jd, Latitude, longitude)
•
'* Name: calcSunriseUTC
'* Type: Function
'* Type:
'* Purpose: calculate the Universal Coordinated Time (UTC) of sunrise
1 *
         for the given day at the given location on earth
'* Arguments:
'* JD : julian day
'* latitude : latitude of observer in degrees
1 *
   longitude : longitude of observer in degrees
'* Return value:
'* time in minutes from zero Z
                            Dim t As Double, eqtime As Double, SolarDec As Double, hourangle As Double
Dim delta As Double, timeDiff As Double, timeUTC As Double
Dim newt As Double
       t = calcTimeJulianCent(jd)
       // *** First pass to approximate sunrise
       eqtime = calcEquationOfTime(t)
       SolarDec = calcSunDeclination(t)
       hourangle = calcHourAngleSunrise(Latitude, SolarDec)
       delta = longitude - radToDeg(hourangle)
       timeDiff = \tilde{4} * delta
' in minutes of time
       timeUTC = 720 + timeDiff - eqtime
' in minutes
' *** Second pass includes fractional jday in gamma calc
       newt = calcTimeJulianCent(calcJDFromJulianCent(t) + timeUTC / 1440#)
       eqtime = calcEquationOfTime(newt)
       SolarDec = calcSunDeclination(newt)
       hourangle = calcHourAngleSunrise(Latitude, SolarDec)
       delta = longitude - radToDeg(hourangle)
       timeDiff = 4 \times \text{delta}
```

```
timeUTC = 720 + timeDiff - eqtime
' in minutes
       calcSunriseUTC = timeUTC
End Function
Function calcSolNoonUTC(t, longitude)
•
'* Name:
         calcSolNoonUTC
'* Type:
          Function
'* Purpose: calculate the Universal Coordinated Time (UTC) of solar
۰*
     noon for the given day at the given location on earth
'* Arguments:
'* t : number of Julian centuries since J2000.0
'* longitude : longitude of observer in degrees
'* Return value:
۰*
   time in minutes from zero Z
                         1 * * * * * * * * * * * * * * * *
Dim newt As Double, eqtime As Double, solarNoonDec As Double, solNoonUTC As Double
       newt = calcTimeJulianCent(calcJDFromJulianCent(t) + 0.5 + longitude / 360#)
       eqtime = calcEquationOfTime(newt)
       solarNoonDec = calcSunDeclination(newt)
       solNoonUTC = 720 + (longitude * 4) - eqtime
       calcSolNoonUTC = solNoonUTC
End Function
Function calcSunsetUTC(jd, Latitude, longitude)
•
'* Name: calcSunsetUTC
'* Type: Function
'* Type:
'* Purpose: calculate the Universal Coordinated Time (UTC) of sunset
• *
         for the given day at the given location on earth
'* Arguments:
• *
  JD : julian day
• *
   latitude : latitude of observer in degrees
۰*
    longitude : longitude of observer in degrees
'* Return value:
   1 *
!***************
Dim t As Double, eqtime As Double, SolarDec As Double, hourangle As Double
Dim delta As Double, timeDiff As Double, timeUTC As Double
Dim newt As Double
       t = calcTimeJulianCent(jd)
.
       // First calculates sunrise and approx length of day
       eqtime = calcEquationOfTime(t)
       SolarDec = calcSunDeclination(t)
       hourangle = calcHourAngleSunset(Latitude, SolarDec)
       delta = longitude - radToDeg(hourangle)
       timeDiff = 4 \times \text{delta}
       timeUTC = 720 + timeDiff - eqtime
       // first pass used to include fractional day in gamma calc
       newt = calcTimeJulianCent(calcJDFromJulianCent(t) + timeUTC / 1440#)
       eqtime = calcEquationOfTime(newt)
       SolarDec = calcSunDeclination(newt)
       hourangle = calcHourAngleSunset(Latitude, SolarDec)
       delta = longitude - radToDeg(hourangle)
       timeDiff = 4 * delta
       timeUTC = 720 + timeDiff - eqtime
.
        // in minutes
       calcSunsetUTC = timeUTC
End Function
```

Function sunrise(lat, lon, year, month, day, timezone, dlstime)

```
•
'* Name: sunrise
'* Type:
          Main Function called by spreadsheet
'* Purpose: calculate time of sunrise for the entered date
      and location.
'* For latitudes greater than 72 degrees N and S, calculations are
'* accurate to within 10 minutes. For latitudes less than +/- 72°
'* accuracy is approximately one minute.
'* Arguments:
   latitude = latitude (decimal degrees)
   longitude = longitude (decimal degrees)
    NOTE: longitude is negative for western hemisphere for input cells
          in the spreadsheet for calls to the functions named
          sunrise, solarnoon, and sunset. Those functions convert the
          longitude to positive for the western hemisphere for calls to
          other functions using the original sign convention
          from the NOAA javascript code.
   year = year
   month = month
   day = day
   timezone = time zone hours relative to GMT/UTC (hours)
   dlstime = daylight savings time (0 = no, 1 = yes) (hours)
'* Return value:
1 *
'* sunrise time in local time (days)
                                  Dim longitude As Double, Latitude As Double, jd As Double
Dim riseTimeGMT As Double, riseTimeLST As Double
' change sign convention for longitude from negative to positive in western hemisphere
           longitude = lon * -1
           Latitude = lat
           If (Latitude > 89.8) Then Latitude = 89.8
           If (Latitude < -89.8) Then Latitude = -89.8
           jd = calcJD(year, month, day)
            // Calculate sunrise for this date
           riseTimeGMT = calcSunriseUTC(jd, Latitude, longitude)
            // adjust for time zone and daylight savings time in minutes
           riseTimeLST = riseTimeGMT + (60 * timezone) + (dlstime * 60)
            // convert to days
           sunrise = riseTimeLST / 1440
End Function
Function solarnoon(lat, lon, year, month, day, timezone, dlstime)
•
'* Name: solarnoon
          Main Function called by spreadsheet
'* Type:
'* Purpose: calculate the Universal Coordinated Time (UTC) of solar
1 +
     noon for the given day at the given location on earth
'* Arguments:
    year
    month
    dav
1 *
    longitude : longitude of observer in degrees
    NOTE: longitude is negative for western hemisphere for input cells
          in the spreadsheet for calls to the functions named sunrise, solarnoon, and sunset. Those functions convert the
          longitude to positive for the western hemisphere for calls to
          other functions using the original sign convention
          from the NOAA javascript code.
'* Return value:
'* time of solar noon in local time days
                                       Dim longitude As Double, Latitude As Double, jd As Double
Dim t As Double, newt As Double, eqtime As Double
Dim solarNoonDec As Double, solNoonUTC As Double
' change sign convention for longitude from negative to positive in western hemisphere
       longitude = lon * -1
       Latitude = lat
       If (Latitude > 89.8) Then Latitude = 89.8
       If (Latitude < -89.8) Then Latitude = -89.8
```

```
jd = calcJD(year, month, day)
       t = calcTimeJulianCent(jd)
       newt = calcTimeJulianCent(calcJDFromJulianCent(t) + 0.5 + longitude / 360#)
       eqtime = calcEquationOfTime(newt)
       solarNoonDec = calcSunDeclination(newt)
       solNoonUTC = 720 + (longitude * 4) - eqtime
            // adjust for time zone and daylight savings time in minutes
       solarnoon = solNoonUTC + (60 * timezone) + (dlstime * 60)
           // convert to days
       solarnoon = solarnoon / 1440
End Function
Function sunset(lat, lon, year, month, day, timezone, dlstime)
•
'* Name: sunset
'* Type:
          Main Function called by spreadsheet
'* Purpose: calculate time of sunrise and sunset for the entered date
1*
      and location.
'* For latitudes greater than 72 degrees N and S, calculations are
'* accurate to within 10 minutes. For latitudes less than +/- 72 ^{\circ}
'* accuracy is approximately one minute.
'* Arguments:
  latitude = latitude (decimal degrees)
   longitude = longitude (decimal degrees)
    NOTE: longitude is negative for western hemisphere for input cells
          in the spreadsheet for calls to the functions named
          sunrise, solarnoon, and sunset. Those functions convert the
          longitude to positive for the western hemisphere for calls to
          other functions using the original sign convention
          from the NOAA javascript code.
   year = year
   month = month
   day = day
   timezone = time zone hours relative to GMT/UTC (hours)
   dlstime = daylight savings time (0 = no, 1 = yes) (hours)
'* Return value:
'* sunset time in local time (days)
                                 Dim longitude As Double, Latitude As Double, jd As Double
Dim setTimeGMT As Double, setTimeLST As Double
' change sign convention for longitude from negative to positive in western hemisphere
           longitude = lon * -1
           Latitude = lat
           If (Latitude > 89.8) Then Latitude = 89.8
           If (Latitude < -89.8) Then Latitude = -89.8
           jd = calcJD(year, month, day)
.
           // Calculate sunset for this date
           setTimeGMT = calcSunsetUTC(jd, Latitude, longitude)
           // adjust for time zone and daylight savings time in minutes
           setTimeLST = setTimeGMT + (60 * timezone) + (dlstime * 60)
            // convert to days
           sunset = setTimeLST / 1440
End Function
Function solarazimuth(lat, lon, year, month, day,
                    hours, minutes, seconds, timezone, dlstime)
•
'* Name: solarazimuth
'* Type: Main Function
'* Type:
'* Purpose: calculate solar azimuth (deg from north) for the entered
1 *
          date, time and location. Returns -999999 if darker than twilight
1 *
'* Arguments:
۰*
   latitude, longitude, year, month, day, hour, minute, second,
'* timezone, daylightsavingstime
'* Return value:
1 +
   solar azimuth in degrees from north
```

```
• *
'* Note: solarelevation and solarazimuth functions are identical
۰*
        and could be converted to a VBA subroutine that would return
1 *
        both values.
۰*
•
Dim longitude As Double, Latitude As Double
Dim Zone As Double, daySavings As Double
Dim hh As Double, mm As Double, ss As Double, timenow As Double
Dim jd As Double, t As Double, r As Double
Dim alpha As Double, theta As Double, Etime As Double, eqtime As Double
Dim SolarDec As Double, earthRadVec As Double, solarTimeFix As Double
Dim trueSolarTime As Double, hourangle As Double, harad As Double
Dim csz As Double, zenith As Double, azDenom As Double, azRad As Double
Dim azimuth As Double, exoatmElevation As Double
Dim step1 As Double, step2 As Double, step3 As Double
Dim refractionCorrection As Double, Te As Double, solarzen As Double
            longitude = lon * -1
            Latitude = lat
            If (Latitude > 89.8) Then Latitude = 89.8
            If (Latitude < -89.8) Then Latitude = -89.8
            Zone = timezone * -1
           daySavings = dlstime * 60
hh = hours - (daySavings / 60)
           mm = minutes
           ss = seconds
1//
      timenow is GMT time for calculation in hours since \ensuremath{\texttt{OZ}}
            timenow = hh + mm / 60 + ss / 3600 + Zone
            jd = calcJD(year, month, day)
            t = calcTimeJulianCent(jd + timenow / 24#)
            r = calcSunRadVector(t)
            alpha = calcSunRtAscension(t)
            theta = calcSunDeclination(t)
           Etime = calcEquationOfTime(t)
            eqtime = Etime
            SolarDec = theta '//
                                   in degrees
            earthRadVec = r
            solarTimeFix = eqtime - 4# * longitude + 60# * Zone
            trueSolarTime = hh * 60# + mm + ss / 60# + solarTimeFix
                  in minutes
            1//
            Do While (trueSolarTime > 1440)
               trueSolarTime = trueSolarTime - 1440
            τιοορ
            hourangle = trueSolarTime / 4# - 180#
                  Thanks to Louis Schwarzmayr for the next line:
            1//
            If (hourangle < -180) Then hourangle = hourangle + 360\#
           harad = degToRad(hourangle)
            csz = Sin(degToRad(Latitude)) *
                  Sin(degToRad(SolarDec)) + _
Cos(degToRad(Latitude)) * _
                  Cos(degToRad(SolarDec)) * Cos(harad)
            If (csz > 1#) Then
                csz = 1#
            ElseIf (csz < -1#) Then
               csz = -1#
            End If
            zenith = radToDeg(Application.WorksheetFunction.Acos(csz))
            azDenom = (Cos(degToRad(Latitude)) * Sin(degToRad(zenith)))
            If (Abs(azDenom) > 0.001) Then
               azRad = ((Sin(degToRad(Latitude)) *
                    Cos(degToRad(zenith)))
                    Sin(degToRad(SolarDec))) 7 azDenom
                If (Abs(azRad) > 1#) Then
                   If (azRad < 0) Then
                       azRad = -1#
                    Else
```

```
azRad = 1#
                   End If
               End If
               azimuth = 180# - radToDeg(Application.WorksheetFunction.Acos(azRad))
               If (hourangle > 0#) Then
                   azimuth = -azimuth
               End If
           Else
               If (Latitude > 0#) Then
                   azimuth = 180#
               Else
                   azimuth = 0#
               End If
           End If
           If (azimuth < 0\#) Then
               azimuth = azimuth + 360#
           End If
           exoatmElevation = 90# - zenith
           If (exoatmElevation > 85#) Then
               refractionCorrection = 0#
           Else
               Te = Tan(degToRad(exoatmElevation))
               If (exoatmElevation > 5\#) Then
                   refractionCorrection = 58.1 / Te - 0.07 / (Te * Te * Te) + _
                       0.000086 / (Te * Te * Te * Te * Te)
               ElseIf (exoatmElevation > -0.575) Then
                   step1 = (-12.79 + exoatmElevation * 0.711)
step2 = (103.4 + exoatmElevation * (step1))
                   step3 = (-518.2 + exoatmElevation * (step1))
                   refractionCorrection = 1735# + exoatmElevation * (step3)
               Else
                   refractionCorrection = -20.774 / Te
               End If
               refractionCorrection = refractionCorrection / 3600#
           End If
           solarzen = zenith - refractionCorrection
           solarazimuth = azimuth
End Function
Function solarelevation(lat, lon, year, month, day,
                    hours, minutes, seconds, timezone, dlstime)
'* Name: solarazimuth
'* Type: Main Function
'* Purpose: calculate solar azimuth (deg from north) for the entered
         date, time and location. Returns -999999 if darker than twilight
'* Arguments:
   latitude, longitude, year, month, day, hour, minute, second,
   timezone, daylightsavingstime
'* Return value:
   solar azimuth in degrees from north
'* Note: solarelevation and solarazimuth functions are identical
        and could converted to a VBA subroutine that would return
        both values.
Dim longitude As Double, Latitude As Double
Dim Zone As Double, daySavings As Double
Dim hh As Double, mm As Double, ss As Double, timenow As Double
Dim jd As Double, t As Double, r As Double
Dim alpha As Double, theta As Double, Etime As Double, eqtime As Double
Dim SolarDec As Double, earthRadVec As Double, solarTimeFix As Double
Dim trueSolarTime As Double, hourangle As Double, harad As Double
Dim csz As Double, zenith As Double, azDenom As Double, azRad As Double
Dim azimuth As Double, exoatmElevation As Double
Dim step1 As Double, step2 As Double, step3 As Double
Dim refractionCorrection As Double, Te As Double, solarzen As Double
           longitude = lon * -1
           Latitude = lat
           If (Latitude > 89.8) Then Latitude = 89.8
```

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```
If (Latitude < -89.8) Then Latitude = -89.8
            Zone = timezone * -1
            daySavings = dlstime * 60
hh = hours - (daySavings / 60)
            mm = minutes
            ss = seconds
1//
     timenow is GMT time for calculation in hours since OZ
            timenow = hh + mm / 60 + ss / 3600 + Zone
            jd = calcJD(year, month, day)
            t = calcTimeJulianCent(jd + timenow / 24#)
            r = calcSunRadVector(t)
            alpha = calcSunRtAscension(t)
            theta = calcSunDeclination(t)
            Etime = calcEquationOfTime(t)
            eqtime = Etime
            SolarDec = theta '// in degrees
            earthRadVec = r
            solarTimeFix = eqtime - 4# * longitude + 60# * Zone
            trueSolarTime = hh * 60# + mm + ss / 60# + solarTimeFix
            1//
                  in minutes
            Do While (trueSolarTime > 1440)
                trueSolarTime = trueSolarTime - 1440
            Loop
            hourangle = trueSolarTime / 4\# - 180\#
                  Thanks to Louis Schwarzmayr for the next line:
            '//
            If (hourangle < -180) Then hourangle = hourangle + 360\#
            harad = degToRad(hourangle)
            csz = Sin(degToRad(Latitude)) *
                  Sin (degToRad (SolarDec)) * _
Cos (degToRad (Latitude)) * _
Cos (degToRad (Latitude)) * _
                  Cos(degToRad(SolarDec)) * Cos(harad)
            If (csz > 1#) Then
                csz = 1#
            ElseIf (csz < -1\#) Then
                csz = -1#
            End If
            zenith = radToDeg(Application.WorksheetFunction.Acos(csz))
            azDenom = (Cos(degToRad(Latitude)) * Sin(degToRad(zenith)))
            If (Abs(azDenom) > 0.001) Then
                azRad = ((Sin(degToRad(Latitude)) * _
                    Cos(degToRad(zenith))) -
                     Sin(degToRad(SolarDec))) / azDenom
                If (Abs(azRad) > 1#) Then
                    If (azRad < 0) Then
                        azRad = -1#
                    Else
                        azRad = 1#
                    End If
                End If
                azimuth = 180# - radToDeg(Application.WorksheetFunction.Acos(azRad))
                If (hourangle > 0#) Then
                    azimuth = -azimuth
                End If
            Else
                If (Latitude > 0#) Then
                    azimuth = 180#
                Else
                   azimuth = 0#
                End If
            End If
            If (azimuth < 0\#) Then
                azimuth = azimuth + 360#
            End If
            exoatmElevation = 90\# - zenith
```

```
If (exoatmElevation > 85#) Then
                refractionCorrection = 0#
            Else
                Te = Tan (degToRad (exoatmElevation))
                If (exoatmElevation > 5#) Then
                    refractionCorrection = 58.1 / Te - 0.07 / (Te * Te * Te) + _ 0.000086 / (Te * Te * Te * Te * Te * Te)
                ElseIf (exoatmElevation > -0.575) Then
                   step1 = (-12.79 + exoatmElevation * 0.711)
step2 = (103.4 + exoatmElevation * (step1))
                    step3 = (-518.2 + exoatmElevation * (step2))
                    refractionCorrection = 1735# + exoatmElevation * (step3)
                Else
                   refractionCorrection = -20.774 / Te
                End If
                refractionCorrection = refractionCorrection / 3600#
            End If
            solarzen = zenith - refractionCorrection
            solarelevation = 90# - solarzen
End Function
Sub solarposition(lat, lon, year, month, day,
       hours, minutes, seconds, timezone, dlstime, _
        solarazimuth, solarelevation, earthRadVec)
•
'* Name: solarposition
'* Type: Subroutine
'* Purpose: calculate solar azimuth (deg from north)
۰*
           and elevation (deg from horizon) for the entered
۰*
           date, time and location.
۰*
'* Arguments:
'* latitude, longitude, year, month, day, hour, minute, second,
'* timezone, daylightsavingstime
'* Return value:
   solar azimuth in degrees from north
۰.
• *
    solar elevation in degrees from horizon
۰*
    earth radius vector (distance to the sun in AU)
۰*
'* Note: solarelevation and solarazimuth functions are identical
۰*
        and could converted to a VBA subroutine that would return
۰*
        both values.
1 *
Dim longitude As Double, Latitude As Double
Dim Zone As Double, daySavings As Double
Dim hh As Double, mm As Double, ss As Double, timenow As Double
Dim jd As Double, t As Double, r As Double
Dim alpha As Double, theta As Double, Etime As Double, eqtime As Double
'Dim SolarDec As Double, earthRadVec As Double, solarTimeFix As Double
Dim SolarDec As Double, solarTimeFix As Double
Dim trueSolarTime As Double, hourangle As Double, harad As Double
Dim csz As Double, zenith As Double, azDenom As Double, azRad As Double
Dim azimuth As Double, exoatmElevation As Double
Dim step1 As Double, step2 As Double, step3 As Double
Dim refractionCorrection As Double, Te As Double, solarzen As Double
            longitude = lon * -1
            Latitude = lat
            If (Latitude > 89.8) Then Latitude = 89.8
           If (Latitude < -89.8) Then Latitude = -89.8
            Zone = timezone * -1
           daySavings = dlstime * 60
hh = hours - (daySavings / 60)
           mm = minutes
           ss = seconds
       timenow is GMT time for calculation in hours since OZ
1//
           timenow = hh + mm / 60 + ss / 3600 + Zone
            jd = calcJD(year, month, day)
            t = calcTimeJulianCent(jd + timenow / 24#)
            r = calcSunRadVector(t)
            alpha = calcSunRtAscension(t)
            theta = calcSunDeclination(t)
            Etime = calcEquationOfTime(t)
```

```
eqtime = Etime
SolarDec = theta '//
                          in degrees
earthRadVec = r
solarTimeFix = eqtime - 4# * longitude + 60# * Zone
trueSolarTime = hh * 60# + mm + ss / 60# + solarTimeFix
1//
       in minutes
Do While (trueSolarTime > 1440)
    trueSolarTime = trueSolarTime - 1440
qool
hourangle = trueSolarTime / 4# - 180#
       Thanks to Louis Schwarzmayr for the next line:
1//
If (hourangle < -180) Then hourangle = hourangle + 360\#
harad = degToRad(hourangle)
csz = Sin(degToRad(Latitude)) *
      Sin (degToRad (SolarDec)) * _
Cos (degToRad (Latitude)) * _
Cos (degToRad (Latitude)) * _
      Cos(degToRad(SolarDec)) * Cos(harad)
If (csz > 1#) Then
    csz = 1#
ElseIf (csz < -1#) Then
    csz = -1#
End If
zenith = radToDeg(Application.WorksheetFunction.Acos(csz))
azDenom = (Cos(degToRad(Latitude)) * Sin(degToRad(zenith)))
If (Abs(azDenom) > 0.001) Then
    azRad = ((Sin(degToRad(Latitude)) *
         Cos(degToRad(zenith))) -
         Sin(degToRad(SolarDec))) 7 azDenom
    If (Abs(azRad) > 1\#) Then
        If (azRad < 0) Then
             azRad = -1#
         Else
             azRad = 1#
         End If
    End If
    azimuth = 180# - radToDeg(Application.WorksheetFunction.Acos(azRad))
    If (hourangle > 0\#) Then
        azimuth = -azimuth
    End If
Else
    If (Latitude > 0\#) Then
        azimuth = 180#
    Else
        azimuth = 0#
    End If
End If
If (azimuth < 0\#) Then
    azimuth = azimuth + 360#
End If
exoatmElevation = 90\# - zenith
If (exoatmElevation > 85#) Then
    refractionCorrection = 0#
Else
    Te = Tan(degToRad(exoatmElevation))
    If (exoatmElevation > 5#) Then
        refractionCorrection = 58.1 / Te - 0.07 / (Te * Te * Te) + _
             0.000086 / (Te * Te * Te * Te * Te)
    ElseIf (exoatmElevation > -0.575) Then
        step1 = (-12.79 + exoatmElevation * 0.711)
        step2 = (103.4 + exoatmElevation * (step1))
step3 = (-518.2 + exoatmElevation * (step2))
        refractionCorrection = 1735# + exoatmElevation * (step3)
    Else
        refractionCorrection = -20.774 / Te
    End If
    refractionCorrection = refractionCorrection / 3600#
End If
```

```
solarzen = zenith - refractionCorrection
solarazimuth = azimuth
solarelevation = 90# - solarzen
```

End Sub

## **APPENDIX C: SEDIMENT-WATER HEAT EXCHANGE**

Although the omission of sediment-water heat exchange is usually justified for deeper systems, it can have a significant impact on the heat balance for shallower streams. Consequently, sediment-water heat exchange is included in QUAL2K.

A major impediment to its inclusion is that incorporating sediment heat transfer often carries a heavy computational burden. This is because the sediments are usually represented as a vertically segmented distributed system. Thus, inclusion of the mechanism results in the addition of numerous sediment segments for each overlying water element.

In the present appendix, I derive a computationally-efficient lumped approach that yields comparable results to the distributed methods.

The conduction equation is typically used to simulate the vertical temperature distribution in a distributed sediment (Figure 26a)

$$\frac{\partial T}{\partial t} = \alpha \frac{\partial^2 T}{\partial x^2}$$
(254)

This model can be subjected to the following boundary conditions:

$$T(0,t) = \overline{T} + T_a \cos[\omega(t-\phi)]$$

$$T(\infty,t) = T$$

where T = sediment temperature [°C], t = time [s],  $\alpha$  = sediment thermal diffusivity [m<sup>2</sup> s<sup>-1</sup>], and z = depth into the sediments [m], where z = 0 at the sediment-water interface and z increases downward,  $\overline{T}$  = mean temperature of overlying water [°C],  $T_a$  = amplitude of temperature of overlying water [°C],  $\omega$  = frequency [s<sup>-1</sup>] =  $2\pi/T_p$ ,  $T_p$  = period [s], and  $\phi$  = phase lag [s]. The first boundary condition specifies a sinusoidal Dirichlet boundary condition at the sediment-water interface. The second specifies a constant temperature at infinite depth. Note that the mean of the surface sinusoid and the lower fixed temperature are identical.



Figure 26. Alternate representations of sediments: (a) distributed and (b) lumped.

Applying these boundary conditions, Eq. (230) can be solved for (Carslaw and Jaeger 1959)

$$T(z,t) = \overline{T} + T_a e^{-\omega' z} \cos\left[\omega(t-\phi) - \omega' z\right]$$
(255)

where  $\omega$ ' [m<sup>-1</sup>] is defined as

$$\omega' = \sqrt{\frac{\omega}{2\alpha}} \tag{256}$$

The heat flux at the sediment water interface can then be determined by substituting the derivative of Eq. (231) into Fourier's law and evaluating the result at the sediment-water interface (z = 0) to yield

$$J(0,t) = \rho C_p \sqrt{\omega \alpha} T_a \cos[\omega(t-\phi) + \pi/4]$$
(257)

where  $J(0, t) = \text{flux } [W/m^2]$ .

An alternative approach can be developed using a first-order lumped model (Figure 26b),

$$H_{s}\rho_{s}C_{ps}\frac{dT_{s}}{dt} = \frac{\alpha_{s}\rho_{s}C_{ps}}{H_{s}/2}\left[\overline{T} + T_{a}\cos[\omega(t-\phi)] - T_{s}\right]$$

where  $H_{sed}$  = the thickness of the sediment layer [m],  $\rho_s$  = sediment density [kg/m<sup>3</sup>], and  $C_{ps}$  = sediment specific heat [joule (kg °C)]<sup>-1</sup>]. Collecting terms gives,

$$\frac{dT}{dt} + k_h T = k_h \overline{T} + k_h T_a \cos[\omega(t - \phi)]$$

where

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$$k_h = \frac{2\alpha_s}{{H_{sed}}^2}$$

After initial transient have died out, this solution to this equation is

$$T = \overline{T} + \frac{k_h}{\sqrt{k_h^2 + \omega^2}} T_a \cos\left[\omega(t - \phi) - \tan^{-1}(\omega/k_h)\right]$$
(258)

which can be used to determine the flux as

$$J = \frac{2\alpha}{H_{sed}} \rho C_p T_a \left[ \cos\left[\omega(t-\phi)\right] - \frac{k_h}{\sqrt{k_h^2 + \omega^2}} \cos\left[\omega(t-\phi) - \tan^{-1}(\omega/k_h)\right] \right]$$
(259)

It can be shown that Eqs. (231) and (234) yield identical results if the depth of the single layer is set at

$$H_{sed} = \frac{1}{\omega'}$$
(260)

Water quality models typically consider annual, weekly and diel variations. Using  $\alpha = 0.0035$  cm<sup>2</sup>/s (Hutchinson 1957), the single-layer depth that would capture these frequencies can be calculated as 2.2 m, 30 cm and 12 cm, respectively.

Because QUAL2K resolves diel variations, a value on the order of 12 cm should be selected for the sediment thickness. We have chosen of value of 10 cm as being an adequate first estimate because of the uncertainties of the river sediment thermal properties (Table 4).