

# Methods, challenges and uncertainty in modeling tectonic processes

Coupling of Tectonic and Surface Processes Meeting  
Boulder, Colorado (2018)

John Naliboff  
UC Davis, CIG

Acknowledgements: Louise Kellogg, Juliane Dannberg, Rene  
Gassmöeller, Lorraine Hwang



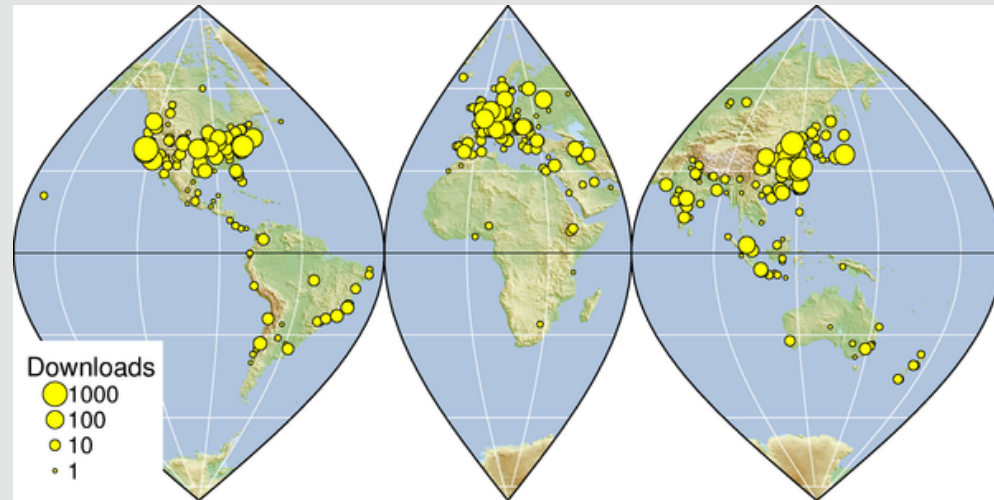
# Computational Infrastructure for Geodynamics

Our Mission: advance Earth science by **developing and disseminating software** for geophysics and related fields.

*33 codes*

Primary Scientific domains:

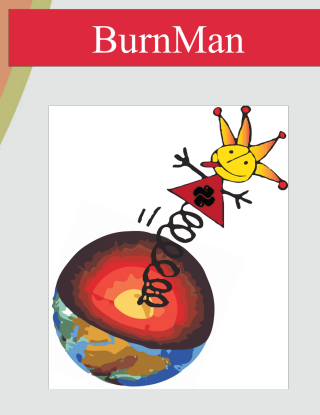
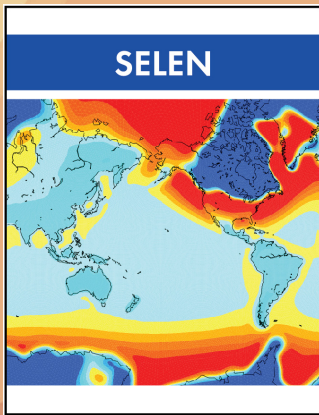
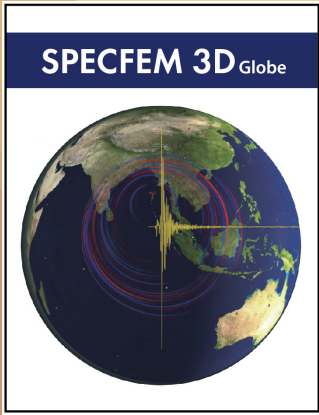
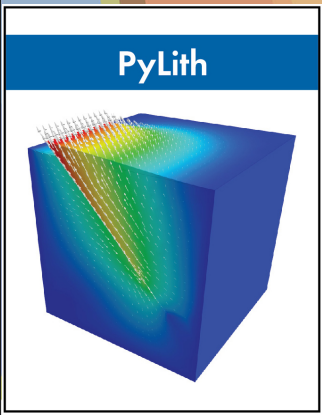
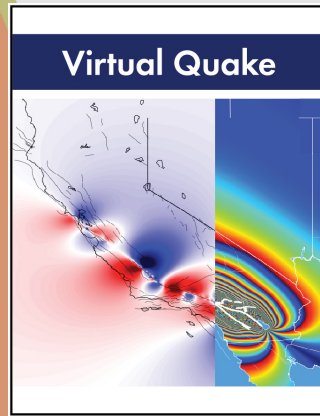
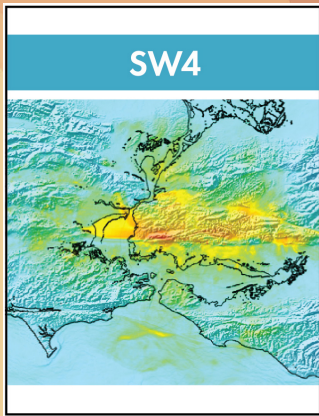
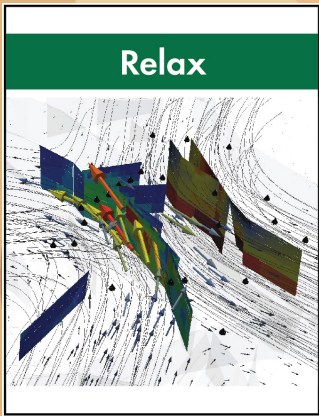
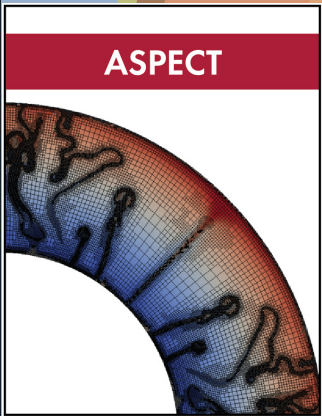
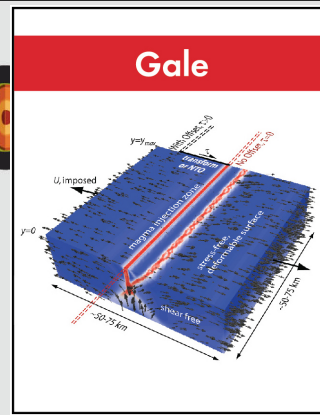
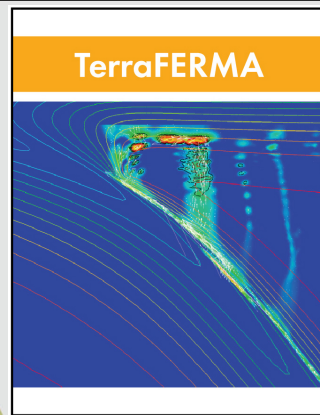
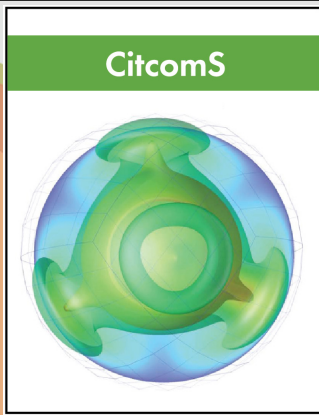
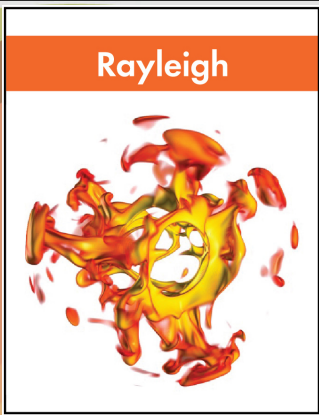
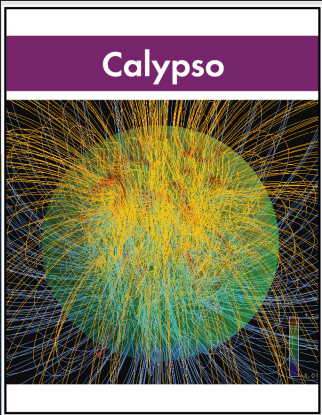
- Geodynamo
- Mantle convection
- Long-term tectonics
- Seismology
- Short term crustal dynamics
- Computational science
- Fluid migration/multiphysics



## Community

- •75+ institutional members: universities, government labs & agencies
- •900+ participants
- •20 countries





**CitcomCU**

**CIG**  
COMPUTATIONAL  
INFRASTRUCTURE  
for GEODYNAMICS

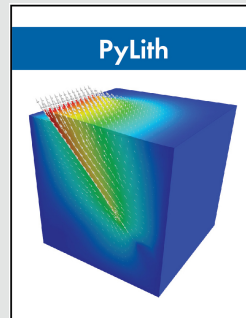
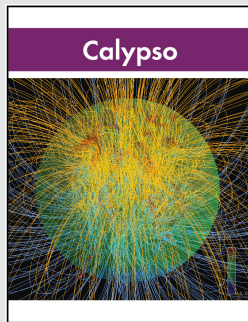
+ 18 more



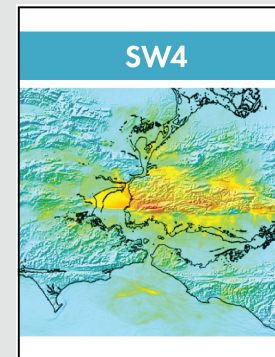
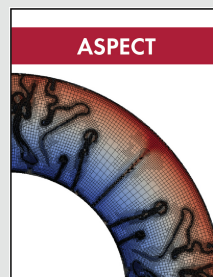
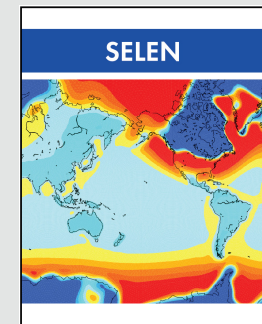
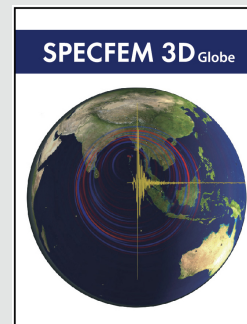
Entirely  
funded by  
CIG

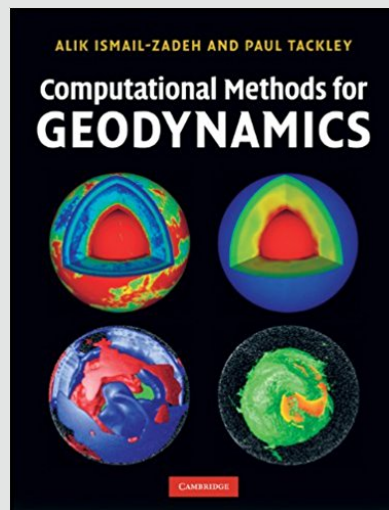
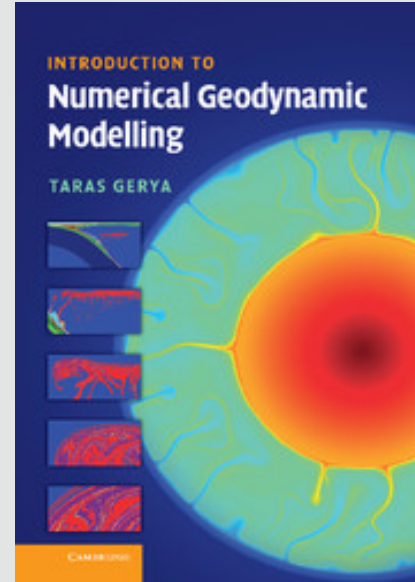
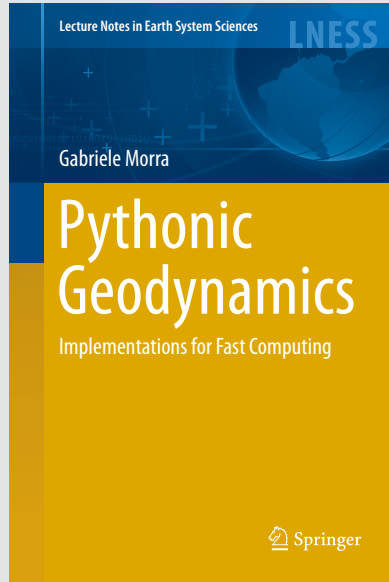
Contributed  
by  
community

Successful CIG codes mix  
Community and CIG development and support



BurnMan





### Numerical Modeling of Earth Systems

An introduction to computational methods with focus on solid Earth applications of continuum mechanics

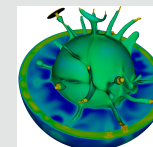
Lecture notes for USC GEOL557, v. 1.2

Thorsten W. Becker  
Department of Earth Sciences,  
University of Southern California, Los Angeles CA, USA

and

Boris J. P. Kaus  
University of Mainz, Germany

April 16, 2016



## 1. Overview and numerical methods

## 2. Challenges

- ▶ Verification

- ▶ Sensitivity Analysis

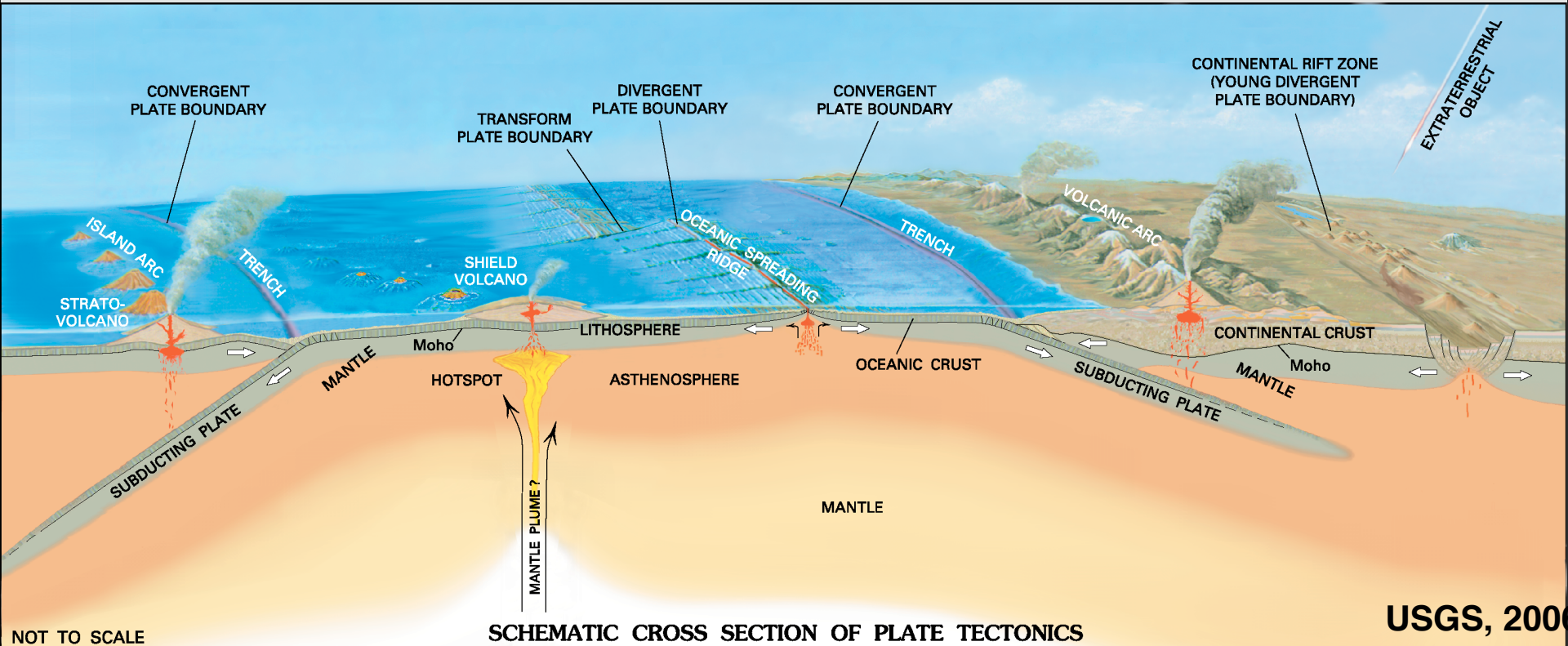
- ▶ Validation

- ▶ Resources

- ▶ Summary and Outlook



# Long-Term Tectonic Processes

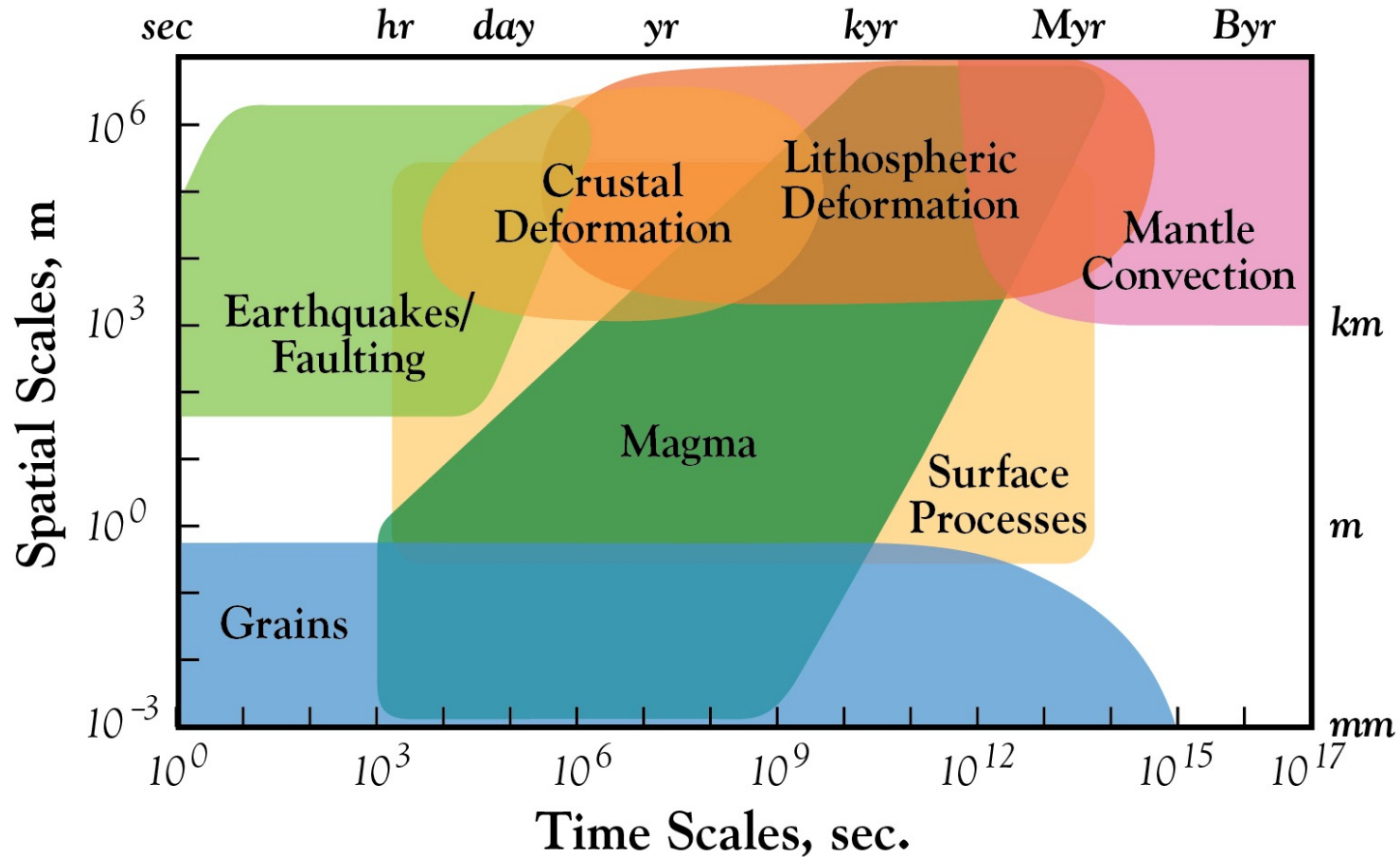


Crustal Deformation, Lithospheric Deformation, Mantle Convection

*Spatial and temporal scales?*



# The challenge: modeling processes at vastly varying scales of space and time



Cooper et al., 2015, GSA Today

## ***Long-Term Tectonics***

$10^4$  to  $10^7$  years, 10's to 1000's of km





Conservation equations for incompressible viscous flow

$$\nabla \cdot u = 0 \quad \text{Mass}$$

$$\nabla \cdot \sigma' - \nabla P + \rho g = 0 \quad \text{Force Balance}$$

$$\rho c \left( \frac{\partial T}{\partial t} + u \cdot \nabla T \right) = \nabla \cdot k \nabla T + H \quad \text{Energy}$$

Additional terms: adiabatic & viscous heating, phase changes



## Constitutive behavior (rheology)

$$\sigma_{eff} = A^{-1/n} \dot{\epsilon}^{1/n} d^{p/m} e^{\frac{Q+PV}{nRT}}$$

**Non-linear viscous flow**

$$\eta_{eff} = \frac{\eta \mu dt}{\eta + \mu dt}$$

**Viscoelasticity\***

$$\sigma_{eff} = P \sin \theta + C \cos \theta$$

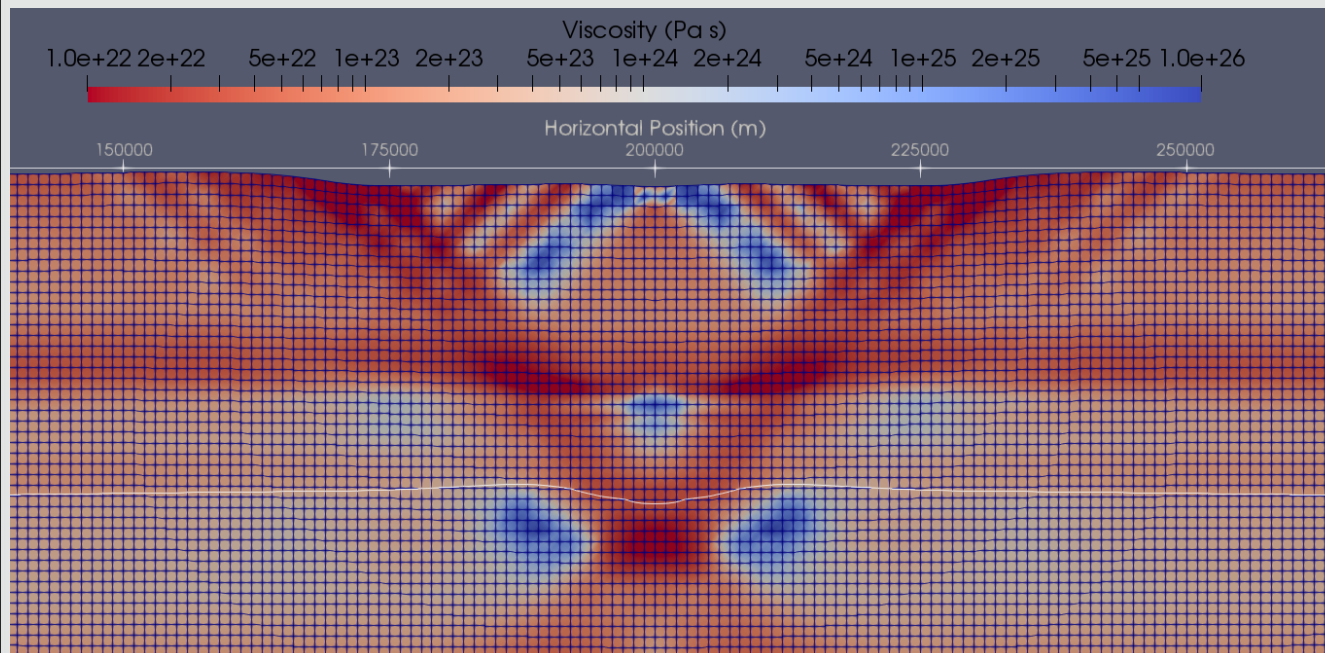
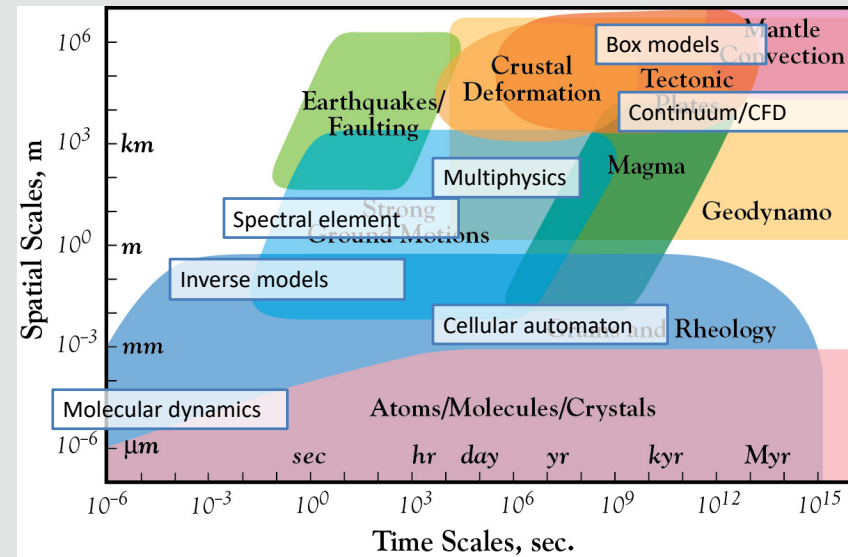
**Brittle Failure (Plasticity)**

Additional processes: strain-weakening, grain-size evolution, ...



## General procedure example

1. Choose a numerical method
2. Rewrite PDE's as algebraic eqns.
3. Specify boundary & initial conditions
4. Solve for velocity (non-linear iterations?)
5. Solver for temperature (+/- composition)
6. Advect free surface\* and tracers



Results of numerical simulation ([continental extension](#)) with 1 km grid superimposed.



Simple Design	Complex Design
100s lines Single file	>100,000 lines 10 - 1000's files Multiple packages
Straightforward physical assumptions	Can model a wide range of physical behavior
Small (2-D) simulations Basic numerical methods Runs in serial (single-cpu)	Small (2-D) or large (3-D) simulations Advanced numerical methods Serial or massively parallel simulations
Interpreted Language (Python, Matlab) Compiled Language (C, C++, Fortran)	Compiled Language (C, C++, Fortran) Interpreted Language (Python) Use of Parallel Processing (MPI)



# “Tectonics” Software

ABAQUS

ASPECT\*

COMSOL

DOUAR

DynEarthSol2D\*

DynEarthSol3D\*

ELEFANT

I2ELVIS/I3ELVIS

LaMEM\*

MVEP2\*

pTatin3D

SLIM3D

SiStER\*

SNAC\*

SULEC

TerraFERMA\*

Underworld2\*



## 1. Overview and numerical methods

## 2. Challenges

- ▶ Verification

- ▶ Sensitivity Analysis

- ▶ Validation

- ▶ Resources

- ▶ Summary and Outlook



## Verification

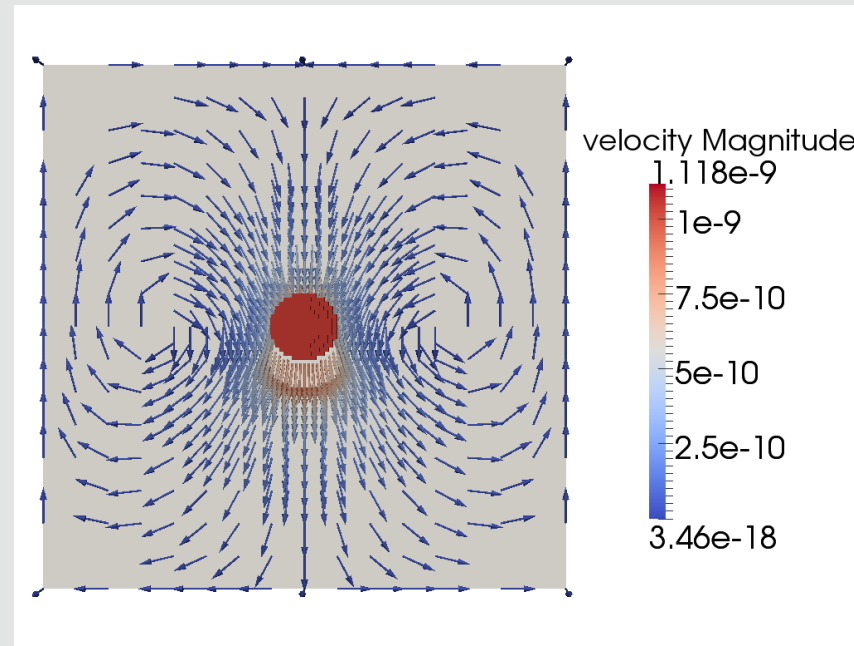
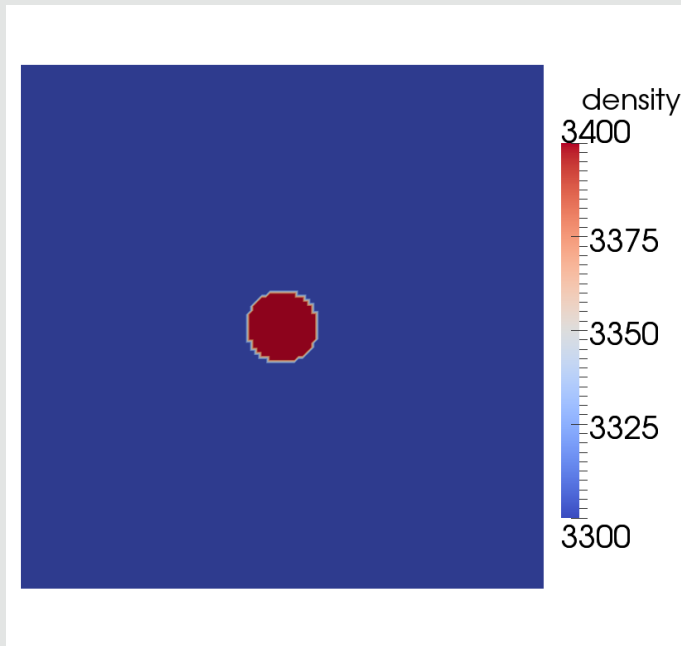
“The process of determining that a model implementation accurately represents the developer's conceptual description of the model and the solution to the model.”

## Validation

“The process of determining the degree to which a model is an accurate representation of the real world from the perspective of the intended uses of the model.”

How do you know your code is doing what you intended it to do?

1. Numerical Implementation
2. Physics Implementation



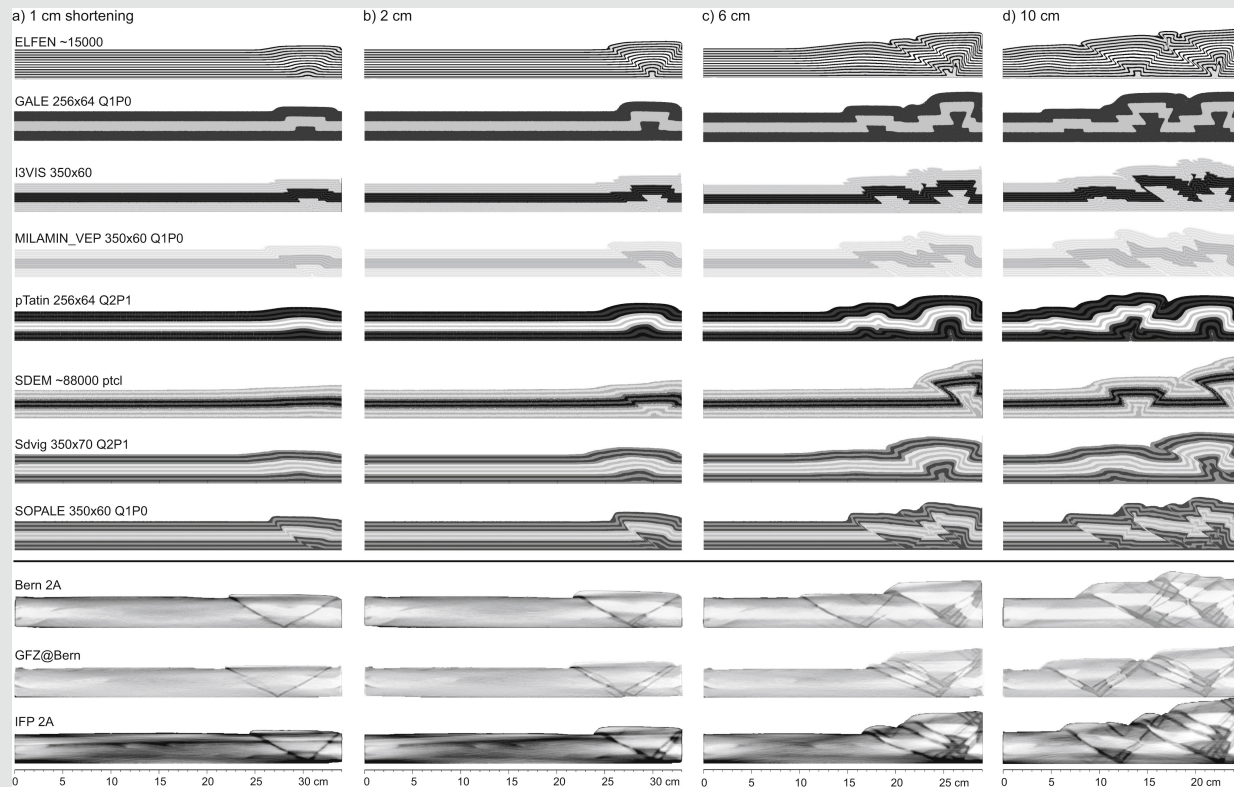
Analytical Stokes solution for a sinking sphere ([ASPECT manual](#))





How do you know your code is doing what you intended it to do?

## 3. Comparisons to other numerical models (replication) and analogue models



How do you know your code is doing what you intended it to do?

4. Use open-source software and ‘best practices’ in code design!

‘Best Practices’:

- ◆ Version control ([github](#), [bitbucket](#))
- ◆ Code review ([example](#))
- ◆ Documentation (source code, manual, example problems)
- ◆ More users (more testing, contributors & sustained innovation; ‘bus factor’)
- ◆ Long-term support for most features
- ◆ Reproducibility and replicability

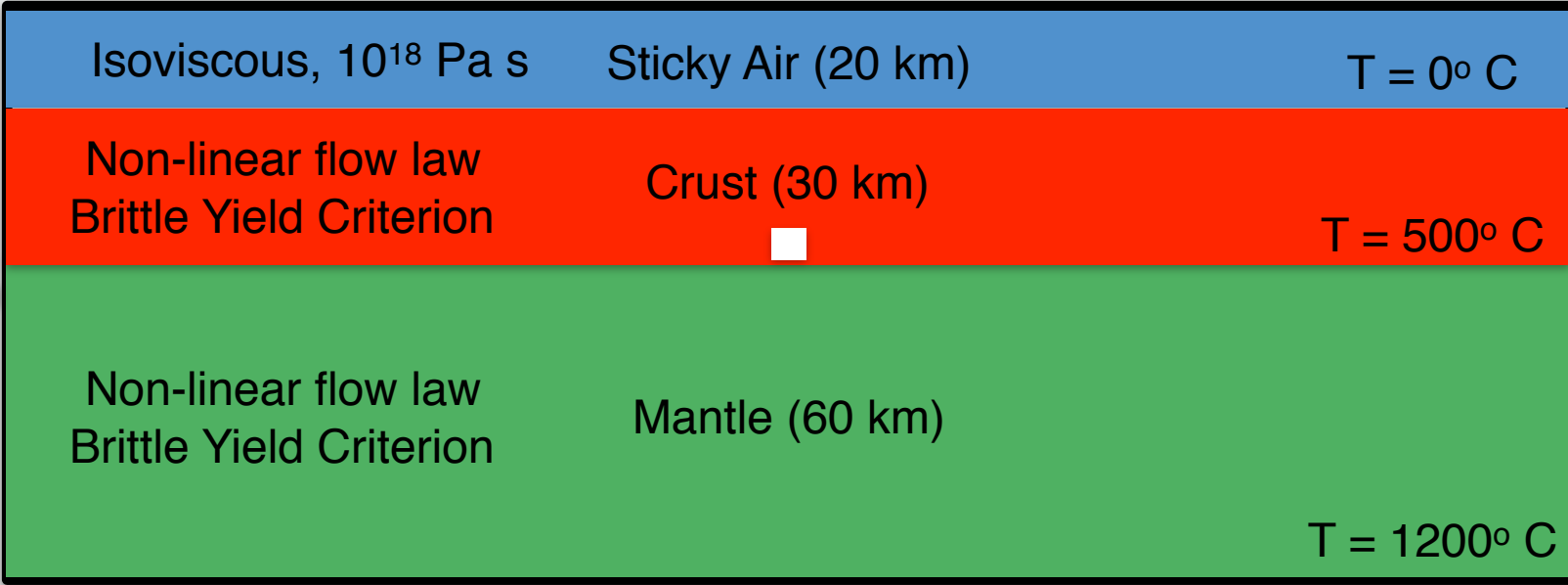


## “Typical” Numerical Setup

$y = 0 \text{ km}$

**Free-Slip** ( $v_y = 0, v_x = \text{free}$ )

$T = 0^\circ \text{ C}$



Isoviscous,  $10^{18} \text{ Pa s}$

Sticky Air (20 km)

$T = 0^\circ \text{ C}$

Non-linear flow law  
Brittle Yield Criterion

Crust (30 km)

$T = 500^\circ \text{ C}$

Non-linear flow law  
Brittle Yield Criterion

Mantle (60 km)

$T = 1200^\circ \text{ C}$

$x = 0 \text{ km}$   
 $y = 110 \text{ km}$

$x = 300 \text{ km}$   
 $y = 110 \text{ km}$

“Typical” Resolution Range (0.1 - 5 km)



## *What parameters affect the solution?*

Grid Resolution  
Particles-Per-Cell  
Time-Step Size  
Solver Convergence Settings

Model Geometry  
Initial Lithology  
Initial Temperature  
Boundary Conditions

Viscous Flow Law  
Brittle Yield Mechanism  
Brittle Parameters  
Strain-Weakening (magnitude, rate)  
Viscoelasticity

**Solution: Lots of sensitivity tests  
(in 2D if possible).**

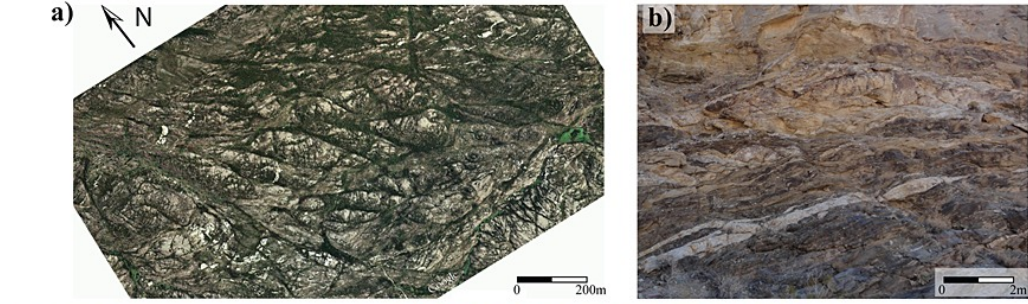
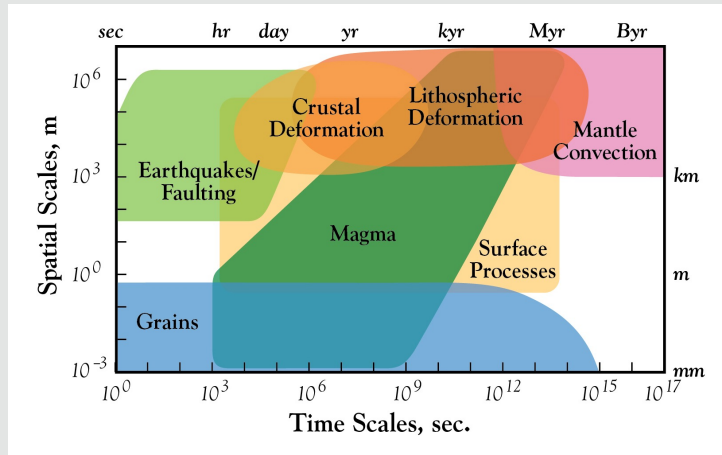
*\*Please report these tests and all values used in  
your computations*



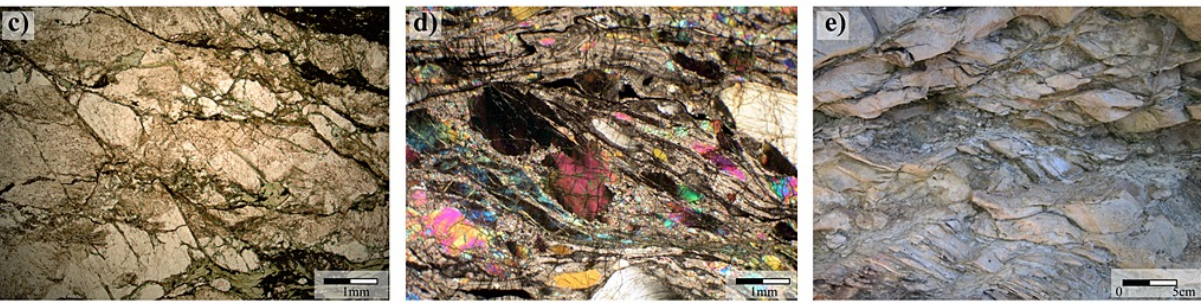
# Challenges: Validation & Scaling

Challenges arise from ...

- 1. Spatial and temporal scales
- 2. Rheological uncertainty
- 3. Solution uniqueness
- 4. Comparison to natural results



Ductile shear zones at varying scales (1 mm - 200 m)



Observed variations in ...

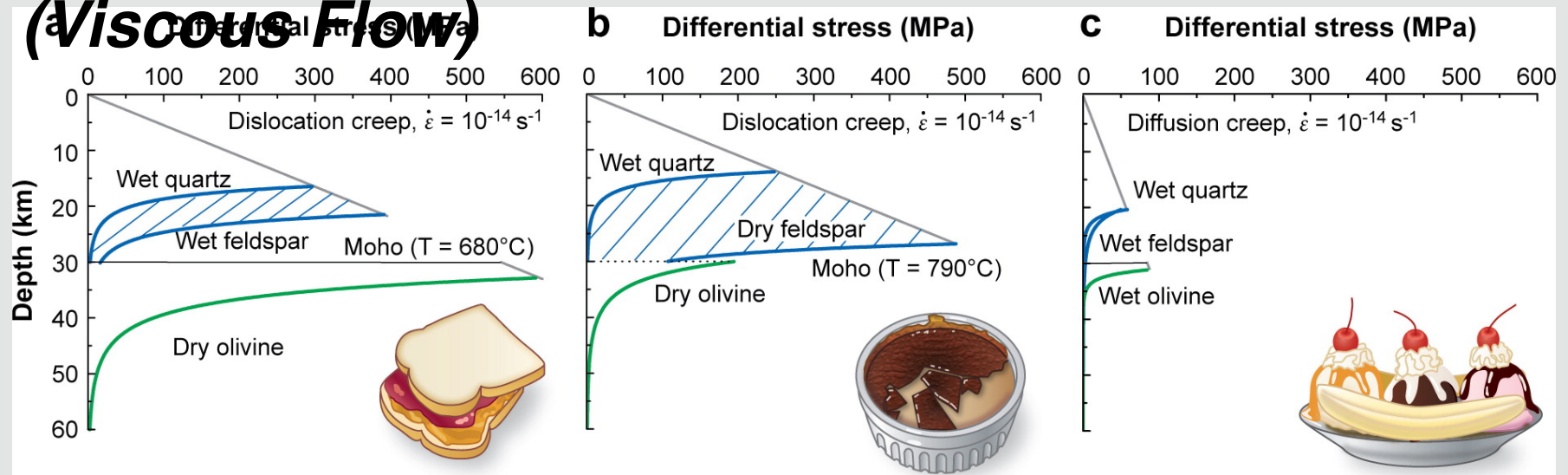
- ✓ Scales of heterogeneity
- ✓ Composition
- ✓ Grain-size
- ✓ Strength



Localization and delocalization of deformation in a biminerale material (Jammes et al., 2015)

## Rheological Uncertainty & Numerical Issues

### (Viscous Flow)



**AR** Bürgmann R, Dresen G. 2008.  
Annu. Rev. Earth Planet. Sci. 36:531–67.

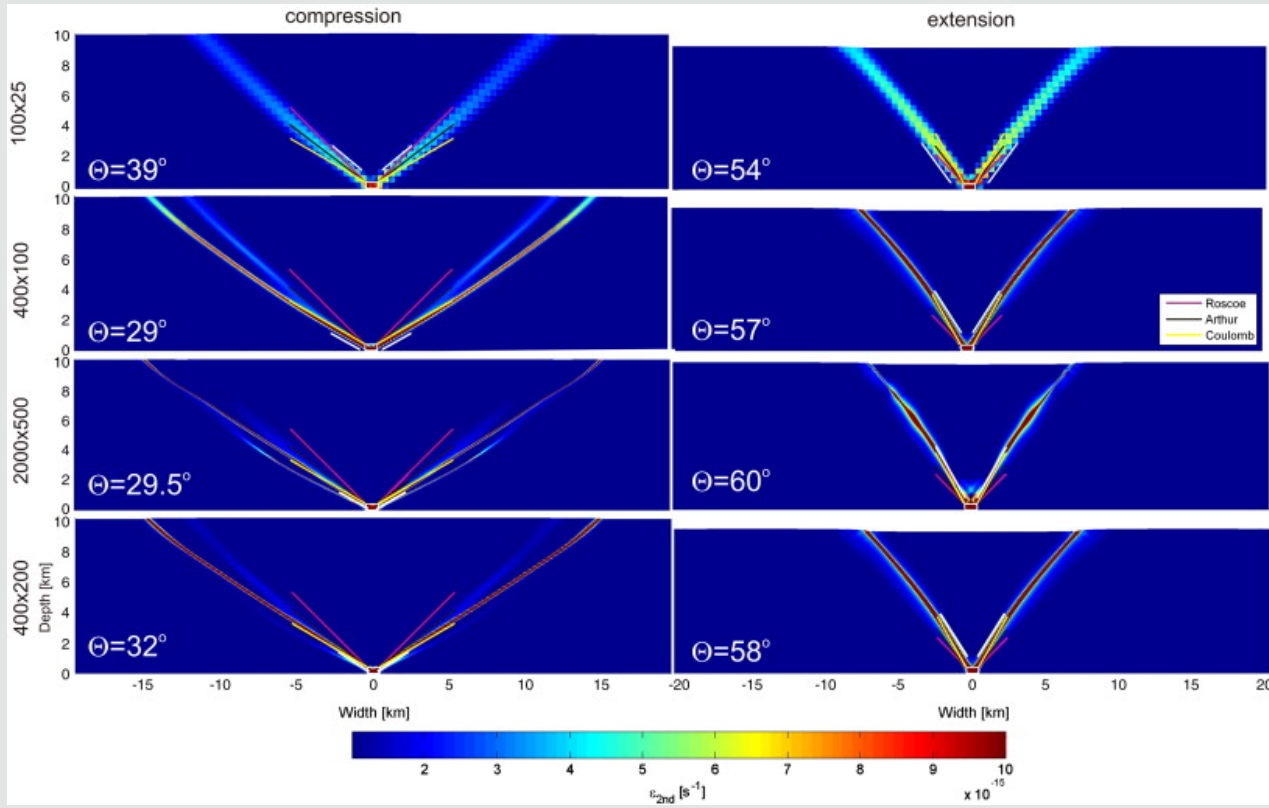
[Rheology of the Lower Crust and Upper Mantle:  
Evidence from Rock Mechanics, Geodesy, and Field  
Observations](#)

### Issues?

1. Scaling of flow laws (experiments done at high strain-rates)
2. Choice of flow laws (wet versus dry, composition, diffusion versus dislocation, etc)
3. Applicability to the lithosphere? (bimineralic material, length-scales of heterogeneity)



## *Rheological Uncertainty & Numerical Issues (Brittle Failure)*



### Issues?

- 1. Resolution-dependent
- 2. Convergence behavior
- 2. Rates, magnitudes and mechanisms of brittle weakening?
- 3. Reasonable approximation of integrated seismicity?

Factors that control the angle of shear bands in geodynamic numerical models of brittle deformation (Kaus 2010)



## Rheological Numerical Issues (Resolution-Dependence)

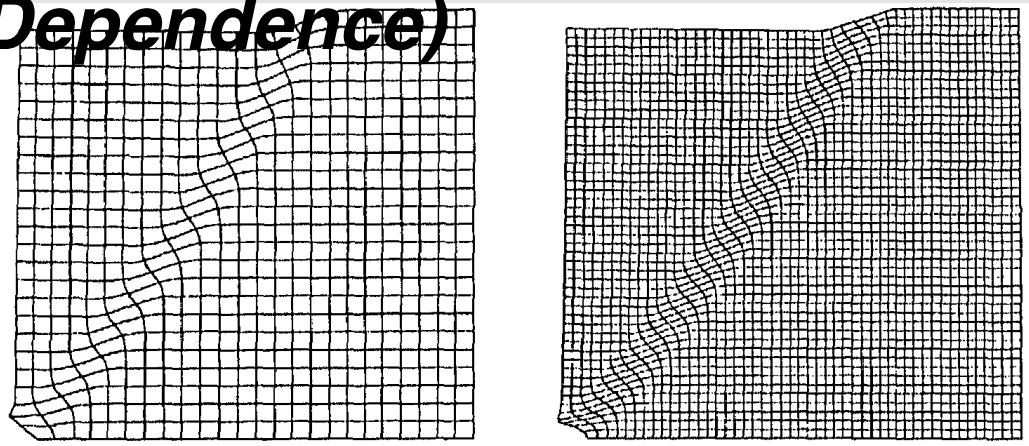


FIG. 13. Incremental deformation patterns for the medium and fine mesh ( $l = 0.02\text{m}$ ).

**Solution: Introduce a characteristic length-scale!**

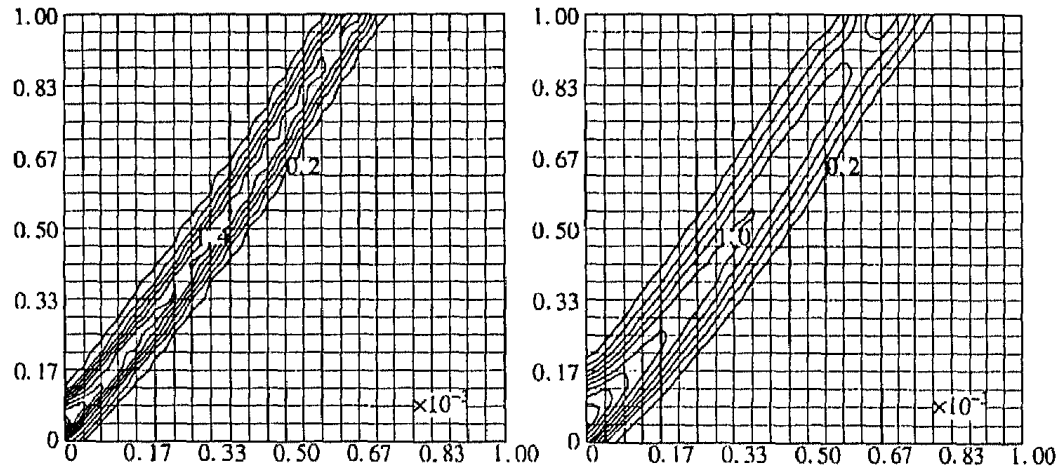
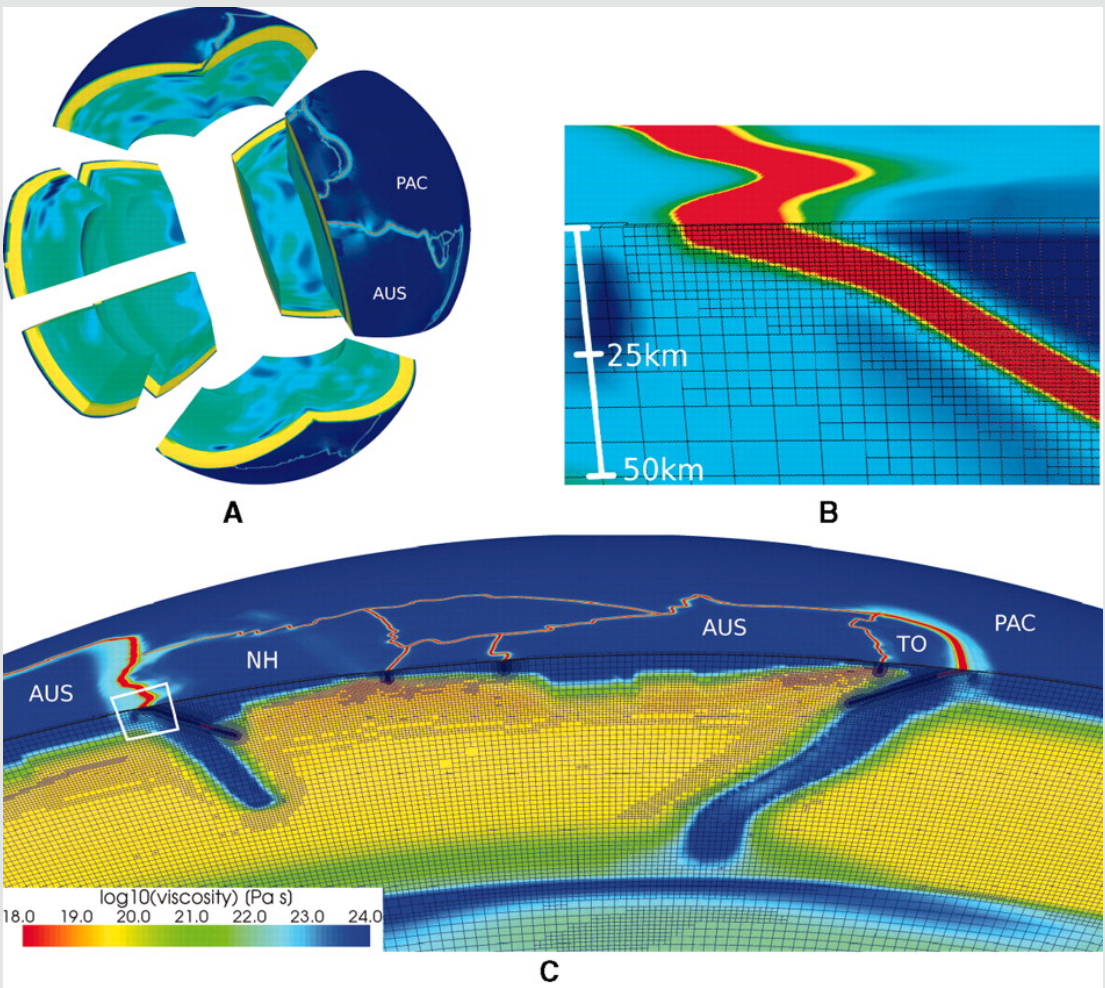


FIG. 14. Contour plots of equivalent plastic strain for  $l = 0.02\text{m}$  (left) and  $l = 0.04\text{m}$  (right).

Pamin and De Borst (1995),  
*Archives of Mechanics* 45,  
p. 353-377







## **Solution: AMR** **Adaptive Mesh Refinement**

### Advantages

- ▶ Significantly reduce model size
- ▶ Focus on regions of interest

### Disadvantages

- ▶ Reduced time-step size
- ▶ Mesh-dependent rheology?

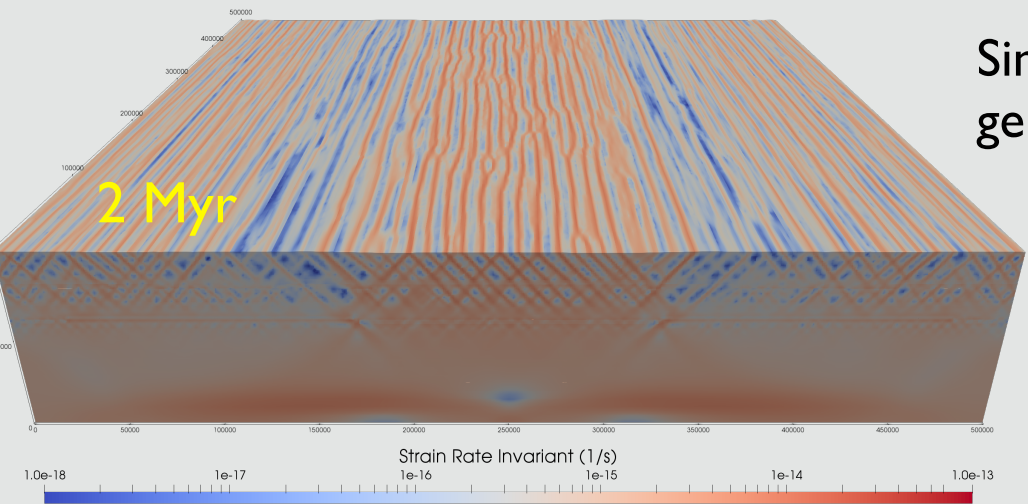
[The Dynamics of Plate Tectonics and Mantle Flow: From Local to Global Scales \(Stadler et al., 2010\)](#)



## *Uncertainty (Interpretation & Uniqueness)*

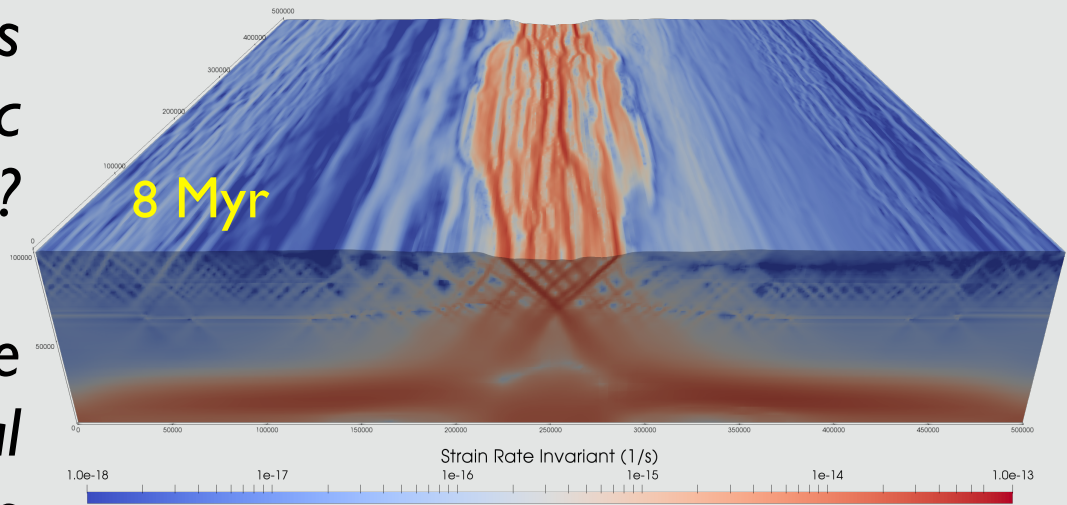
Simulations qualitatively reproduce general observations. However, ....

- Model criticisms
- ✓ Low-resolution (2.5 km)
  - ✓ Initial conditions play key role
  - ✓ 'Simple' rheology
  - ✓ Simplified boundary conditions

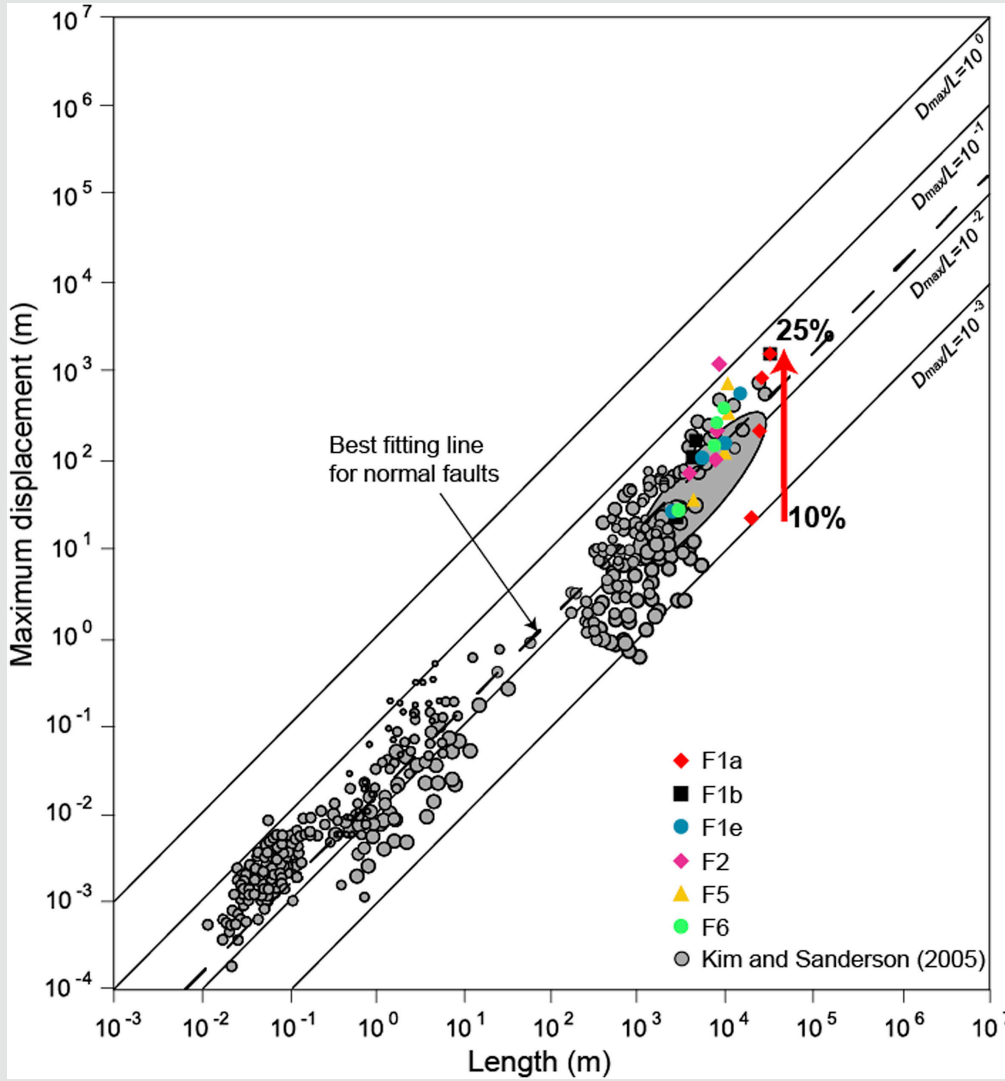


*Are these results relevant to lithospheric processes?*

*... requires quantitative comparisons to natural observations*



## Solution: Quantitative Comparisons to Natural

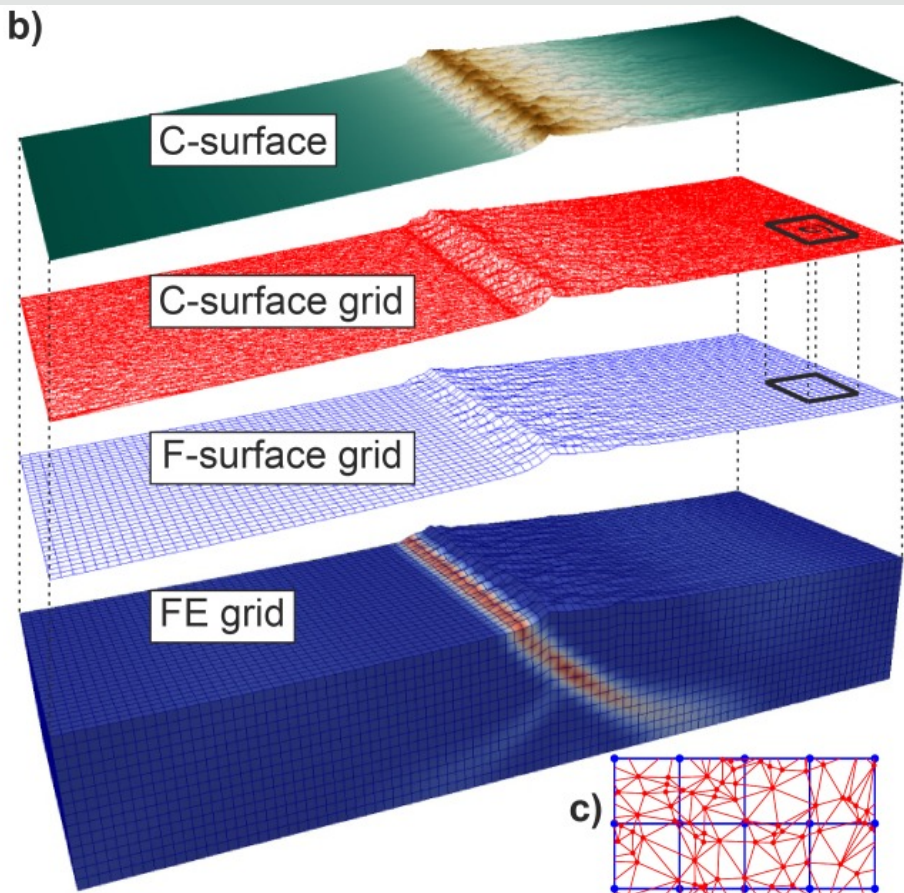
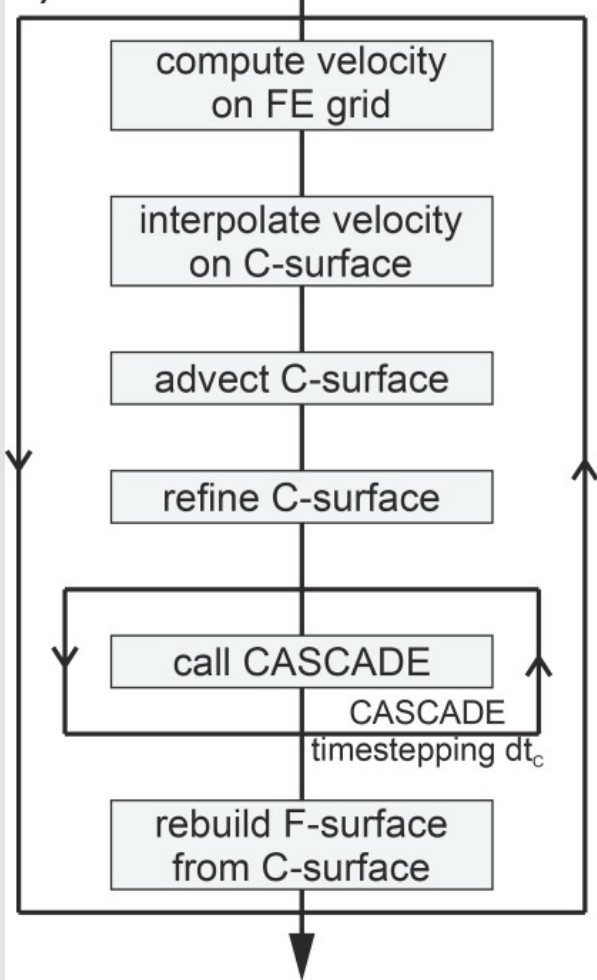


Normal Fault Maximum Displacement verse Length (Modeled & Observed)

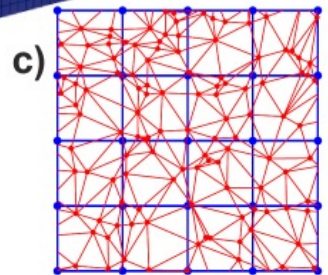
Influence of a pre-existing basement weakness on normal fault growth during oblique extension: Insights from discrete element modeling (Cheng et al., 2017)



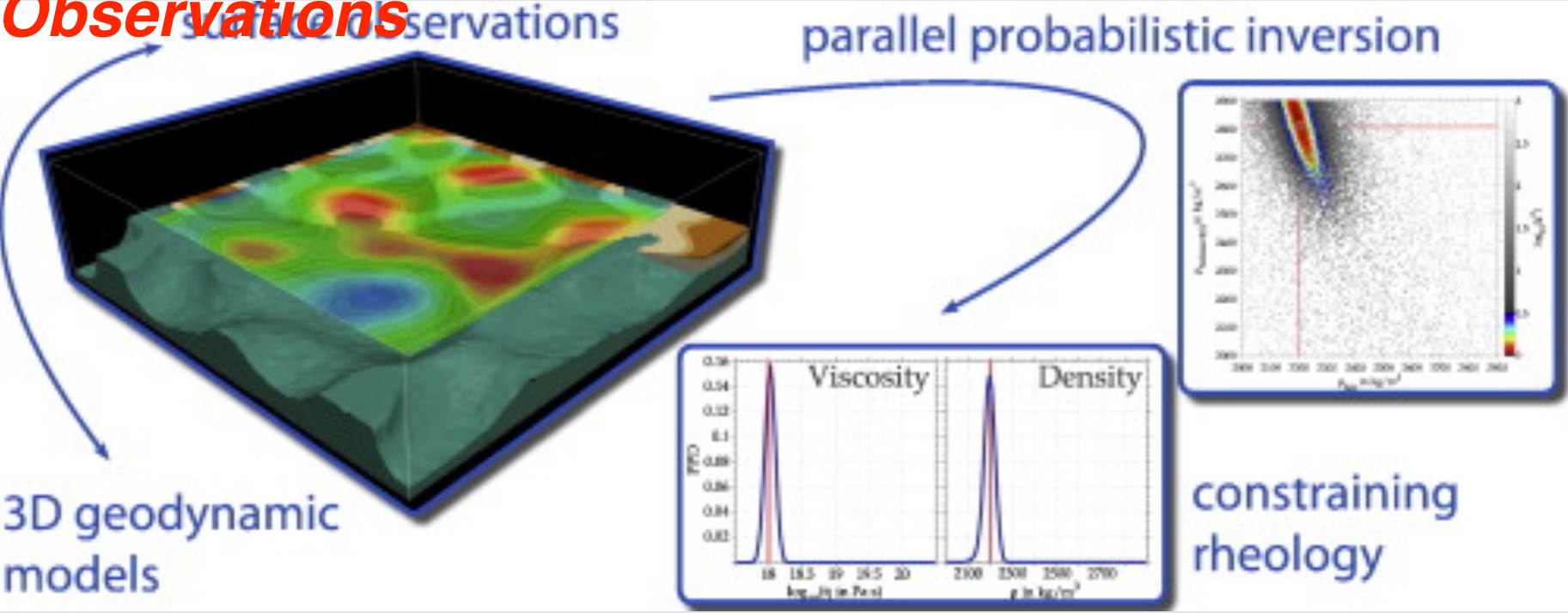
## *Solution: Quantitative Comparisons to Natural Observations*



**Thieulot et al. 2013 (G3)**



## *Solution: Quantitative Comparisons to Natural Observations*



Baumann, Kaus & Popov:

[Constraining effective rheology through parallel joint geodynamic inversion \(2014\)](#)

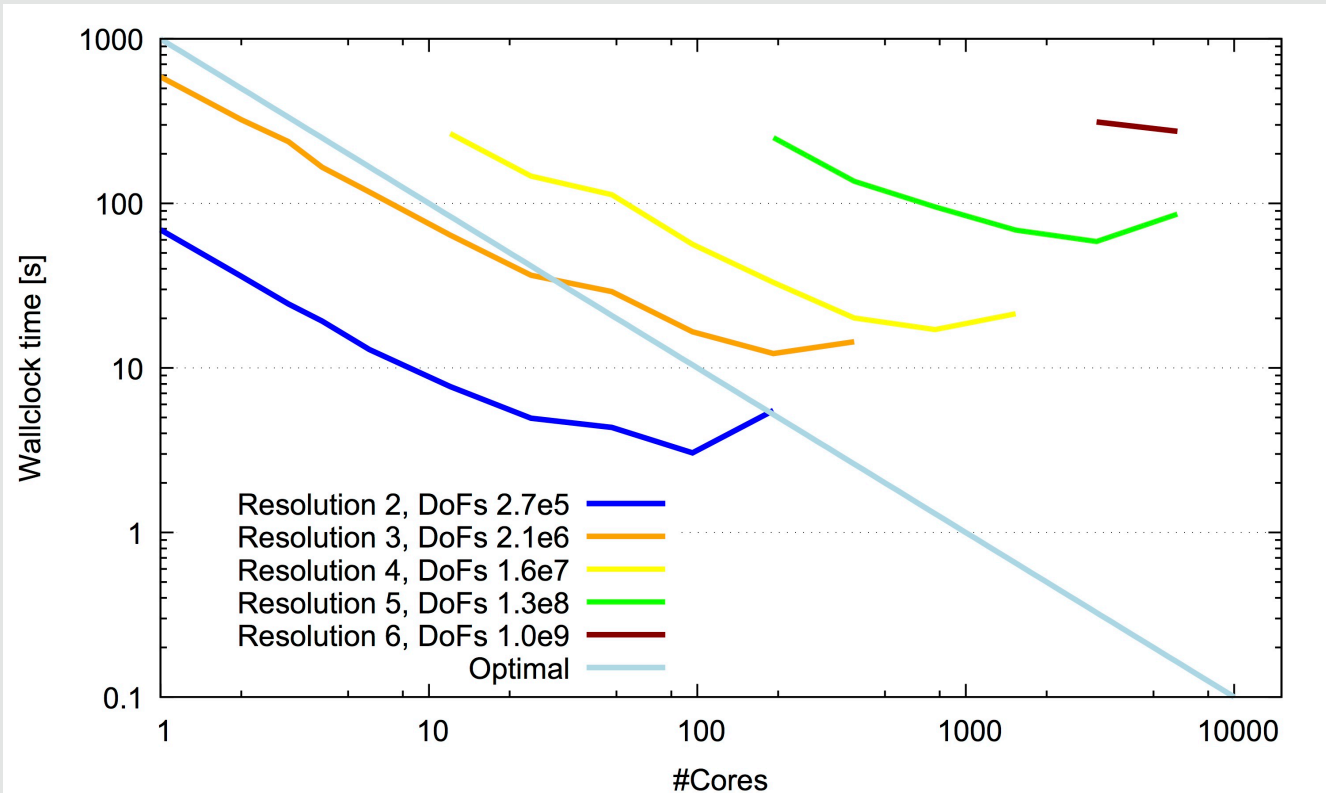
[Geodynamic inversion to constrain the non-linear rheology of the lithosphere \(2015\)](#)



High-resolution 3D simulations are computationally expensive

✓ One model can require  $10^4$ - $10^6$  core hours

## *Solution: Parallelism and Efficient Solvers*



Source: CIG  
software scaling  
results



High-resolution 3D simulations are computationally expensive

- ✓ One model can require  $10^4$ - $10^6$  core hours
- ✓ Data processing (visualization, analysis) can require HPC resources
- ✓ Storage requirements:  $10^3$ - $10^6$  Gigabytes

## ***Solution: NSF Funded HPC Facilities (XSEDE)***

- ❖ Obtain large allocations (computing time) through proposals
- ❖ Startup allocations provide time for initial testing; CIG Resources
- ❖ Short-term data storage and visualization (GPU nodes) are available
  - ▶ Long-term storage and hosting solutions? ... TBD



- ❖ Multiple open-source and open-access software packages are capable of simulating non-linear lithospheric dynamics in high-resolution 3D models. These models successfully reproduce many commonly observed features and processes.
- ❖ Challenges in validating the numerical models arise from uncertainty in rheological behavior and the scales (time & space) of geologic processes
- ❖ Solution: Quantitative comparisons between model results & observations
  - 3D and time-dependent comparisons are ideal
  - Multi-physics (e.g., surface, igneous & metamorphic processes) simulations enable more detailed comparisons, but also introduce additional uncertainty.

